

STATE OF ARIZONA
Single Audit Reporting Package
June 30, 2000

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STATE OF ARIZONA
Single Audit Reporting Package
June 30, 2000

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**Independent Auditors' Report on Compliance and on Internal Control over
Financial Reporting Based on an Audit of General Purpose Financial Statements
Performed in Accordance with *Government Auditing Standards***

The Honorable Jane Dee Hull, Governor
State of Arizona

The Honorable Randall Gnant, President
Arizona State Senate

The Honorable Jim Weiers, Speaker
Arizona House of Representatives

The Honorable Thomas A. Zlaket, Chief Justice
Arizona Supreme Court

We have audited the general purpose financial statements of the State of Arizona as of and for the year ended June 30, 2000, and have issued our report thereon dated December 12, 2000, which was modified due to our reliance on the work of other auditors as described therein. We conducted our audit in accordance with generally accepted auditing standards and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the State's general purpose financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grants, noncompliance with which could have a direct and material effect on the determination of general purpose financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests and the work of the other auditors disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance that we will report to various state agencies' management in separate letters.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the State's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the general purpose financial statements and not to provide assurance on internal control over financial reporting. However, we noted one matter involving internal control over financial reporting and its operation that we consider to be a reportable condition. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over financial reporting that, in our judgment, could adversely affect the State's ability to record, process, summarize, and report financial data consistent with the assertions of management in the general purpose financial statements. This reportable condition is described in the accompanying schedule of findings and questioned costs as item 00-01.

A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the general purpose financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over financial reporting would not necessarily disclose all such internal control matters that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe that the reportable condition described above is not a material weakness. We also noted other matters involving internal control over financial reporting that we will report to various state agencies' management in separate letters.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record and its distribution is not limited.

Debbie Davenport
Auditor General

December 12, 2000

**Independent Auditors' Report on Compliance with Requirements
Applicable to Each Major Program and on Internal Control over Compliance in
Accordance with OMB Circular A-133**

The Honorable Jane Dee Hull, Governor
State of Arizona

The Honorable Randall Gnant, President
Arizona State Senate

The Honorable Jim Weiers, Speaker
Arizona House of Representatives

The Honorable Thomas A. Zlaket, Chief Justice
Arizona Supreme Court

Compliance

We have audited the compliance of the State of Arizona with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2000, except for that portion of the federal programs administered by the Arizona Health Care Cost Containment System, the Arizona Department of Transportation, and the Water Infrastructure Financing Authority. Those departments were audited by other auditors, and our opinion, insofar as it relates to the compliance of those departments with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement*, is based solely on the work of the other auditors. The State's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit and the work of the other auditors.

We conducted our audit of compliance in accordance with generally accepted auditing standards; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred

to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit and the work of the other auditors provide a reasonable basis for our opinion. Our audit does not provide a legal determination on the State's compliance with those requirements.

As described in the following table, the State did not comply with certain compliance requirements that are applicable to the following major federal programs. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to those programs.

| <u>Program Title (CFDA No.)</u> | <u>Compliance Requirement</u> | <u>Finding No.</u> |
|---|---|---|
| Title I Grants to Local Educational Agencies (84.010) | Matching, Level of Effort, and Earmarking; Subrecipient Monitoring; Cash Management | 00-101, 00-104, 00-105, 00-106, 00-107 |
| Goals 2000—State and Local Education Systemic Improvement Grants (84.276) | Matching, Level of Effort, and Earmarking; Subrecipient Monitoring; Cash Management | 00-103, 00-104, 00-105, 00-106, 00-107 |
| School Breakfast Program (10.553) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| National School Lunch Program (10.555) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Child and Adult Care Food Program (10.558) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Special Education—Grants to States (84.027) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Vocational Education—Basic Grants to States (84.048) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Special Education—Preschool Grants (84.173) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Even Start—State Educational Agencies (84.213) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Tech-Prep Education (84.243) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Comprehensive School Reform Demonstration (84.332) | Subrecipient Monitoring; Cash Management | 00-104, 00-105, 00-106, 00-107 |
| Class Size Reduction (84.340) | Cash Management | 00-105, 00-106, 00-107 |
| Cooperative Agreements for State-Based | Matching, Level of Effort, | 00-108, 00-109 |

| <u>Program Title (CFDA No.)</u> | <u>Compliance Requirement</u> | <u>Finding No.</u> |
|--|--|--------------------|
| Comprehensive Breast and Cervical Cancer Early Detection Programs (93.919) | and Earmarking; Subrecipient Monitoring | |
| In our opinion, based on our audit and the work of the other auditors, except for the noncompliance described in the preceding table, the State complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2000. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and are described in the accompanying schedule of findings and questioned costs as items 00-102, 00-110, 00-111, 00-112, 00-113, and 00-114. | | |

Internal Control over Compliance

The State's management is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

We noted certain matters involving internal control over compliance and its operation that we consider to be reportable conditions. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of internal control over compliance that, in our judgment, could adversely affect the State's ability to administer a major federal program in accordance with applicable requirements of laws, regulations, contracts, and grants. Those reportable conditions are described in the accompanying schedule of findings and questioned costs as items 00-101, 00-102, 00-103, 00-104, 00-105, 00-106, 00-107, 00-108, 00-109, 00-110, and 00-111.

A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts, and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of internal control over compliance would not necessarily disclose all such internal control matters that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, of the reportable conditions described above, we consider items 00-101, 00-102, 00-103, 00-104, 00-105, 00-106, 00-107, 00-108, and 00-109 to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the State's general purpose financial statements as of and for the year ended June 30, 2000, and have issued our report thereon dated December 12, 2000, which was modified due to our reliance on the work of other auditors as described therein. Our audit was

made for the purpose of forming an opinion on the general purpose financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the general purpose financial statements. Such information has been subjected to the auditing procedures applied in the audit of the general purpose financial statements and, in our opinion, based on our audit and the work of the other auditors, is fairly stated, in all material respects, in relation to the general purpose financial statements taken as a whole.

This report is intended solely for your information and that of the Chairperson and Vice Chairperson of the Joint Legislative Audit Committee, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record and its distribution is not limited.

Debbie Davenport
Auditor General

March 23, 2001, except for the
schedule of expenditures of federal
awards for which the date is December 12, 2000

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|---|-------------------------|---------------------|
| <u>Agency for International Development</u> | | | |
| 02.PCE5063A0030330 | Development of Sustainable Agriculture in Arid Regions of Chile | UAA | \$ 54,779 |
| 02.SVSAGR111198 | Hosting of Macedonian Bankruptcy Delegation at Arizona State University, <i>International Insolvency Institute (III)</i> | ASA | 460 |
| 02.Unknown | World Learning, <i>Transit-US Aid</i> , Contract # LTR 10/22/99 | ASA | 72,700 |
| | Total Agency for International Development | | <u>\$ 127,939</u> |
| <u>Office of National Drug Control Policy</u> | | | |
| 07.I9PSAP549, I0PSAP549 | High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i> | AGA | \$ 575,512 |
| 07.I9PSAP549, I0PSAP549 | High Intensity Drug Trafficking Areas, <i>Arizona Alliance Planning Committee</i> | PSA | 1,492,564 |
| | Total Office of National Drug Control Policy | | <u>\$ 2,068,076</u> |
| <u>Peace Corps</u> | | | |
| 08.181973162 | Peace Corps Recruitment and Advertising | UAA | \$ 5,397 |
| 08.181993080 | Peace Corps Recruiting Office | UAA | 12,117 |
| 08.181983149, 181993079, 187973160 | Peace Corps | ASA | 14,610 |
| | Total Peace Corps | | <u>\$ 32,124</u> |
| <u>Department of Agriculture</u> | | | |
| <u>Food Stamp Cluster</u> | | | |
| 10.551 | Food Stamps | DEA | \$ 236,801,426 |
| 10.561 | State Administrative Matching Grants for Food Stamp Program | DEA | 21,227,920 |
| 10.561 | State Administrative Matching Grants for Food Stamp Program | HSA | 603,423 |
| | 10.561 Subtotal | | <u>21,831,343</u> |
| | Food Stamp Cluster Subtotal | | <u>258,632,769</u> |
| <u>Child Nutrition Cluster</u> | | | |
| 10.553 | School Breakfast Program | DCA | 37,957 |
| 10.553 | School Breakfast Program | DJA | 304,175 |
| 10.553 | School Breakfast Program | EDA | 23,420,278 |
| | 10.553 Subtotal | | <u>23,762,410</u> |
| 10.555 | National School Lunch Program | ASA | 285 |
| 10.555 | National School Lunch Program | DCA | 61,588 |
| 10.555 | National School Lunch Program | DJA | 503,602 |
| 10.555 | National School Lunch Program | EDA | 110,885,280 |
| 10.555 | National School Lunch Program | SDA | 67,856 |
| | 10.555 Subtotal | | <u>111,518,611</u> |
| 10.556 | Special Milk Program for Children | EDA | 204,109 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|--------------|
| 10.559 | Summer Food Service Program for Children | ASA | 9,391 |
| 10.559 | Summer Food Service Program for Children | EDA | 3,817,549 |
| | 10.559 Subtotal | | 3,826,940 |
| | Child Nutrition Cluster Subtotal | | 139,312,070 |
| | <u>Emergency Food Assistance Cluster</u> | | |
| 10.568 | Emergency Food Assistance Program (Administrative Costs) | DEA | 740,569 |
| 10.569 | Emergency Food Assistance Program (Food Commodities) | DEA | 2,897,862 |
| | Emergency Food Assistance Cluster Subtotal | | 3,638,431 |
| | <u>Other Department of Agriculture Programs</u> | | |
| 10.025 | Plant and Animal Disease, Pest Control, and Animal Care | AHA | 340,664 |
| 10.200 | Grants for Agricultural Research, Special Research Grants, <i>Utah State University</i> , Contract # C018730 | UAA | 1,854 |
| 10.206 | Grants for Agricultural Research—Competitive Research Grants | UAA | 5,000 |
| 10.215 | Sustainable Agriculture Research and Education, <i>Utah State University</i> , Contract #'s 96107, C166660 | UAA | 7,070 |
| 10.217 | Higher Education Challenge Grants | ASA | 20,009 |
| 10.217 | Higher Education Challenge Grants | UAA | 23,551 |
| 10.217 | Higher Education Challenge Grants, <i>Louisiana State University Agricultural Center</i> , Contract # 0751679699AZ | UAA | 5,108 |
| | 10.217 Subtotal | | 48,668 |
| 10.224 | Fund for Rural America—Research, Education, and Extension Activities | UAA | 55,571 |
| 10.435 | State Mediation Grants | ASA | 79,812 |
| 10.475 | Cooperative Agreements with States for Intrastate Meat and Poultry Inspection | AHA | 45,771 |
| 10.500 | Cooperative Extension Service | UAA | 3,589,901 |
| 10.500 | Cooperative Extension Service, <i>Auburn University</i> , Contract # ACESASATPT2 | UAA | 86,066 |
| 10.500 | Cooperative Extension Service, <i>Kansas State University</i> , Contract #'s S98009, S99002 | UAA | 48,014 |
| 10.500 | Cooperative Extension Service, <i>New Mexico State University</i> , Contract # NMSUB10 | UAA | 2,220 |
| | 10.500 Subtotal | | 3,726,201 |
| 10.501 | Agricultural Telecommunications Program, <i>University of Minnesota</i> , Contract # U4279012301 | UAA | 5,793 |
| 10.550 | Food Distribution | EDA | 414,279 |
| 10.557 | Special Supplemental Nutrition Program for Women, Infants, and Children | HSA | 93,087,063 |
| 10.558 | Child and Adult Care Food Program | EDA | 38,914,995 |
| 10.560 | State Administrative Expenses for Child Nutrition | ASA | 22,087 |
| 10.560 | State Administrative Expenses for Child Nutrition | EDA | 2,151,109 |
| | 10.560 Subtotal | | 2,173,196 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|-----------------------|
| 10.564 | Nutrition Education and Training Program | EDA | 24,000 |
| 10.564 | Nutrition Education and Training Program | HSA | 17,880 |
| | 10.564 Subtotal | | <u>41,880</u> |
| 10.565 | Commodity Supplemental Food Program | HSA | 4,115,459 |
| 10.567 | Food Distribution Program on Indian Reservations, <i>Inter Tribal Council of Arizona Inc.</i> , Contract # 1 | UAA | 508 |
| 10.570 | Nutrition Program for the Elderly (Commodities) | DEA | 1,641,762 |
| 10.652 | Forestry Research | ASA | 12,680 |
| 10.652 | Forestry Research | GFA | 32,323 |
| | 10.652 Subtotal | | <u>45,003</u> |
| 10.664 | Cooperative Forestry Assistance | EPA | 14,042 |
| 10.664 | Cooperative Forestry Assistance | LDA | 848,412 |
| | 10.664 Subtotal | | <u>862,454</u> |
| 10.769 | Rural Development Grants | EPA | 8,809 |
| 10.855 | Distance Learning and Telemedicine Loans and Grants | UAA | 13,219 |
| 10.962 | International Training—Foreign Participant | ASA | 21,138 |
| 10.223-94-4403 | Mammography Inspections | AEA | 108,526 |
| 10.Unknown | Water Management Study Tour 024 for Indian Scientists | UAA | 2,598 |
| | Other Department of Agriculture Programs Subtotal | | <u>145,767,293</u> |
| | Total Department of Agriculture | | <u>\$ 547,350,563</u> |
| | <u>Department of Commerce</u> | | |
| 11.302 | Economic Development—Support for Planning Organizations | EPA | \$ 85,857 |
| 11.303 | Economic Development—Technical Assistance | UAA | 20,529 |
| 11.307 | Economic Adjustment Assistance | ASA | 52,528 |
| 11.455 | Cooperative Science and Education Program | UAA | 40,476 |
| 11.550 | Public Telecommunications Facilities—Planning and Construction | ASA | 330,661 |
| 11.550 | Public Telecommunications Facilities—Planning and Construction | NAA | 192,386 |
| | 11.550 Subtotal | | <u>523,047</u> |
| 11.552 | Technology Opportunities, <i>Pima Community College</i> , Contract # SUB005 | UAA | 105,555 |
| 11.Unknown | National Ocean Atmospheric Administration, <i>Arizona-Mexico Commission</i> | EVA | 1,544 |
| | Total Department of Commerce | | <u>\$ 829,536</u> |
| | <u>Department of Defense</u> | | |
| 12.106 | Flood Control Projects | MAA | \$ 98,368 |
| 12.110 | Planning Assistance to States | GFA | 118,086 |
| 12.113 | State Memorandum of Agreement Program for the Reimbursement of Technical Services | EVA | 317,779 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--------------------------------------|--|-------------------------|----------------------|
| 12.300 | Basic and Applied Scientific Research | ASA | 10,000 |
| 12.300 | Basic and Applied Scientific Research | GFA | 12,096 |
| 12.300 | Basic and Applied Scientific Research, <i>SCEEE Services Corporation</i> | ASA | 28,880 |
| | 12.300 Subtotal | | <u>50,976</u> |
| 12.400 | Military Construction, National Guard | MAA | 6,417,481 |
| 12.401 | National Guard Military Operations and Maintenance (O&M) Projects | MAA | 15,205,978 |
| 12.404 | National Guard Civilian Youth Opportunities Program | MAA | 1,814,818 |
| 12.405 | National Guard Drug Interdiction and Counter Drug Activities | MAA | 2,021 |
| 12.800 | Air Force Defense Research Sciences Program | GFA | 64,947 |
| 12.800 | Air Force Defense Research Sciences Program | UAA | 14,908 |
| 12.800 | Air Force Defense Research Sciences Program, <i>IT Corporation</i> | ASA | 162 |
| | 12.800 Subtotal | | <u>80,017</u> |
| 12.901 | Mathematical Sciences Grants Program | NAA | 500 |
| 12.DABT63-98-D-0002 | Business Enterprise Program/Fort Huachuca | DEA | 1,273,579 |
| 12.DAAB3200P0100 | Administrative Services and Facilities Rental for USAISEC Video Campus with University of Arizona - Professional | UAA | 24,273 |
| 12.USZA9299D0005 | BMLC Course Redesign-Spanish and French | UAA | 782,089 |
| 12.DASW019710001 | Courseware Development for Less Commonly Taught Languages | UAA | 32,331 |
| 12.DAAB3200P0101 | Professional Engineering Examination Preparation Short Course | UAA | 17,021 |
| 12.RODMER078036 | SWBSADIS Terminal Deployment | PSA | 61,890 |
| 12.KR94-2406ALS | Troops to Teachers | EDA | 142,595 |
| 12.DABT6399P0269 | Water Wise & Energy Smart Education Program | UAA | 27,675 |
| 12.DASC0199P0043 | Web-Based Distance Learning Program in the Serbo-Croatian Language | UAA | 163,165 |
| 12.990101 | Basic Military Language Course-Russian, <i>Universal Systems and Technology Inc.</i> | UAA | 232,496 |
| 12.DAHAX 00 2 3003, DAHA2 98 3003 | Camp Navajo | MAA | 7,606,441 |
| 12.Unknown | Uniform Commutation Fund | UAA | 45,038 |
| | Total Department of Defense | | <u>\$ 34,514,617</u> |
| | Department of Housing and Urban Development | | |
| 14.228 | Community Development Block Grants/State's Program | EPA | \$ 10,213,577 |
| 14.231 | Emergency Shelter Grants Program | DEA | 669,103 |
| 14.235 | Supportive Housing Program | CMC | 673,910 |
| 14.235 | Supportive Housing Program | EPA | 980,719 |
| | 14.235 Subtotal | | <u>1,654,629</u> |
| 14.238 | Shelter Plus Care | CMC | 1,404,520 |
| 14.238 | Shelter Plus Care | EPA | 3,245,852 |
| | 14.238 Subtotal | | <u>4,650,372</u> |
| 14.239 | HOME Investment Partnerships Program | EPA | 6,415,365 |
| 14.241 | Housing Opportunities for Persons with AIDS | EPA | 108,191 |
| 14.401 | Fair Housing Assistance Program—State and Local | AGA | 365,847 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|----------------------|
| 14.864 | Economic Development and Supportive Services Program, <i>City of Tucson</i> , Contract # 18299 | UAA | 41,883 |
| 14.872 | Public Housing Capital Fund | EPA | 179,501 |
| 14.CH-ARIZ-49 | Department of Housing and Urban Development | NAA | 47,582 |
| 14.Unknown | CDBG Grant - Project Soar - Frye Elementary School, <i>City of Chandler</i> , Contract # PO13902 | UAA | 490 |
| | Total Department of Housing and Urban Development | | <u>\$ 24,346,540</u> |
| | <u>Department of the Interior</u> | | |
| | <u>Fish and Wildlife Cluster</u> | | |
| 15.605 | Sport Fish Restoration | GFA | \$ 5,979,536 |
| 15.611 | Wildlife Restoration | GFA | 3,916,817 |
| | Fish and Wildlife Cluster Subtotal | | <u>9,896,353</u> |
| | <u>Other Department of the Interior Programs</u> | | |
| 15.059 | Indian Graduate Student Scholarships | ASA | 207,133 |
| 15.130 | Indian Education—Assistance to Schools | EDA | 482,719 |
| 15.143 | Training and Technical Assistance—Indian Tribal Governments | ASA | 49,183 |
| 15.219 | Wildlife Habitat Management Technical Assistance | GFA | 162,246 |
| 15.222 | Cooperative Inspection Agreements with States and Tribes | MIA | 45,313 |
| 15.224 | Cultural Resource Management | ASA | 193 |
| 15.225 | Recreation Resource Management | ASA | 4,560 |
| 15.504 | Reclamation and Water Reuse Program | MAA | 703 |
| 15.504 | Reclamation and Water Reuse Program | UAA | 1,696 |
| | 15.504 Subtotal | | <u>2,399</u> |
| 15.615 | Cooperative Endangered Species Conservation Fund | AHA | 21,312 |
| 15.615 | Cooperative Endangered Species Conservation Fund | GFA | 405,258 |
| | 15.615 Subtotal | | <u>426,570</u> |
| 15.617 | Wildlife Conservation and Appreciation | GFA | 90,556 |
| 15.808 | U.S. Geological Survey—Research and Data Acquisition | GFA | 46,241 |
| 15.808 | U.S. Geological Survey—Research and Data Acquisition | GSA | 145,728 |
| 15.808 | U.S. Geological Survey—Research and Data Acquisition | LDA | 33,072 |
| | 15.808 Subtotal | | <u>225,041</u> |
| 15.904 | Historic Preservation Fund Grants-In-Aid | PRA | 23,281 |
| 15.914 | National Register of Historic Places | ASA | 16,845 |
| 15.FAA990004 | Bureau of Land Management | NAA | 21,225 |
| 15.507-30-W0330 | Bureau of Reclamation Restricted Endowment — Arizona State University | ASA | 5,999,802 |
| 15.99FC320140 | Arizona Flood Warning System | WCA | 9,768 |
| 15.1425-99-FC-30-0027 | Augment of Razorback Sucker | GFA | 93,431 |
| 15.00-FG-32-0070 | Bald Eagle Surveys | GFA | 34,797 |
| 15.SF-182 | Bilingual Advantage, <i>Seba Dalkai School</i> | NAA | 52,665 |
| 15.99-FG-32-0060 | Breeding Bird Atlas | GFA | 16,138 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|---|---|-------------------------|----------------------|
| 15.CA98TUE1800 | Casa Del Agua, Public Outreach and Water Wisdom Program at Tucson AMA Schools | UAA | 6,516 |
| 15.CA702950014SA2 | Cultural Landscape Inventory | UAA | 7,476 |
| 15.99FG3400014 | Development of Mobile Water Conservation Kiosks and Displays | UAA | 21,609 |
| 15.937183 | Environmental and Natural Resources Building | UAA | 31,804 |
| 15.99-FC-32-0070 | Fish Monitoring Salt and Gila | GFA | 15,851 |
| 15.98CRAG1005 | Gila River Basin Workshop | UAA | 5,098 |
| 15.1425-98-FC-22690 | Grand Canyon Backwater Study | GFA | 5,469 |
| 15.99-FG-32-0030 | Information and Education for Conservation of Native Fish | GFA | 777 |
| 15.1450CMH007019 | Inventory of Burial Collections From Tribal Lands at the Arizona State Museum | UAA | 18,882 |
| 15.7-FC-CU-AZ010 | Kanab Ambersnail Refugia | GFA | 44,651 |
| 15.97-FG-40-21760 | Kanab Ambersnail Refugia Population | GFA | 53,305 |
| 15.99-FG-34-0005 | Lower Colorado Fish Project | GFA | 65,684 |
| 15.1425-98-FC-40-22690 | Monitoring Rainbow Trout Fishery | GFA | 167,937 |
| 15.1-07-34-CO165 | Mumme Farm Maintenance | GFA | 29,774 |
| 15.98-FG-32-0240 | Native/Non-Native Fish | GFA | 70,796 |
| 15.98-FG-32-0010 | Partners in Flight—Conservation Plan | GFA | 6,464 |
| 15.99-FG-30-00035 | Publication of Arizona Partners in Flight Conservation Plan | GFA | 5,878 |
| 15.98-FC-30-01630 | Razorback Sucker—Parker Dam | GFA | 81,750 |
| 15.99-FG-32-0200 | Review of Sampling Design | GFA | 20,345 |
| 15.99-FG-32-0090 | Rio Grande Leopard Frog in Arizona | GFA | 4,161 |
| 15.1425-98-FG-32-00540 | Roosevelt Lake—Goose | GFA | 6,957 |
| 15.98-FC-0050 | Southwestern Willow Flycatcher Study | GFA | 652,793 |
| 15.1443CA125098006 | State Historic Preservation Office—Certified Local Government Charettes | PRA | 16,500 |
| 15.99-FG-32-0080 | Top Minnow Project | GFA | 53,030 |
| 15.0499GP216 | Tribal Workshop on Contaminated Cultural Materials in Museum Collections | UAA | 32,028 |
| 15.99-FG-32-0100 | Yellow-Billed Cuckoo Study | GFA | 8,475 |
| 15.1200-99-009NAU03, 1200-99-009NAU07, 1200-99-009NAU10 | National Park Service | NAA | 66,101 |
| 15.99 FG 320050, AG KR94 0020 LNR PAR | Site Stewardship | PRA | 32,911 |
| 15.Unknown | Bureau of Indian Affairs | NAA | 31,525 |
| 15.Unknown | Navajo Nation 4-H Program Coordinator Position | UAA | 7,400 |
| 15.Unknown | National Park Service Student Grant, <i>University of Maine</i> , Contract # 034395 | NAA | 2,879 |
| 15.Unknown | Rivers and Trails Conservation | PRA | 3,570 |
| Other Department of the Interior Programs Subtotal | | | <u>9,542,261</u> |
| Total Department of the Interior | | | <u>\$ 19,438,614</u> |
| <u>Department of Justice</u> | | | |
| 16.523 | Juvenile Accountability Incentive Block Grants | GVA | \$ 436,298 |
| 16.523 | Juvenile Accountability Incentive Block Grants | SPA | 885 |
| 16.523 Subtotal | | | <u>437,183</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|------------------|
| 16.540 | Juvenile Justice and Delinquency Prevention—Allocation to States | ASA | 43,450 |
| 16.540 | Juvenile Justice and Delinquency Prevention—Allocation to States | GVA | 1,258,935 |
| 16.540 | Juvenile Justice and Delinquency Prevention—Allocation to States | SPA | 15,000 |
| | 16.540 Subtotal | | <u>1,317,385</u> |
| 16.544 | Gang-Free Schools and Communities—Community-Based Gang Intervention | SPA | 135,864 |
| 16.547 | Victims of Child Abuse | SPA | 38,550 |
| 16.548 | Title V—Delinquency Prevention Program | GVA | 441,734 |
| 16.550 | State Justice Statistics Program for Statistical Analysis Centers | JCA | 25,429 |
| 16.550 | State Justice Statistics Program for Statistical Analysis Centers | PSA | 17,381 |
| | 16.550 Subtotal | | <u>42,810</u> |
| 16.554 | National Criminal History Improvement Program (NCHIP) | DCA | 300,176 |
| 16.554 | National Criminal History Improvement Program (NCHIP) | JCA | 17,021 |
| 16.554 | National Criminal History Improvement Program (NCHIP) | PSA | 125,719 |
| 16.554 | National Criminal History Improvement Program (NCHIP) | SPA | 28,189 |
| | 16.554 Subtotal | | <u>471,105</u> |
| 16.560 | National Institute of Justice Research, Evaluation, and Development Project Grants | DCA | 47,400 |
| 16.575 | Crime Victim Assistance | AGA | 99,224 |
| 16.575 | Crime Victim Assistance | GVA | 36,998 |
| 16.575 | Crime Victim Assistance | PSA | 3,989,547 |
| | 16.575 Subtotal | | <u>4,125,769</u> |
| 16.579 | Byrne Formula Grant Program | AGA | 946,658 |
| 16.579 | Byrne Formula Grant Program | DCA | 147,445 |
| 16.579 | Byrne Formula Grant Program | JCA | 5,311,517 |
| 16.579 | Byrne Formula Grant Program | SPA | 21,718 |
| 16.579 | Byrne Formula Grant Program, <i>City of Tucson</i> , Contract # 26690 | UAA | 90,443 |
| | 16.579 Subtotal | | <u>6,517,781</u> |
| 16.580 | Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program | PSA | 269,860 |
| 16.588 | Violence Against Women Formula Grants | ASA | 2,101 |
| 16.588 | Violence Against Women Formula Grants | GVA | 3,284,820 |
| 16.588 | Violence Against Women Formula Grants | HSA | 2,000 |
| 16.588 | Violence Against Women Formula Grants | UAA | 132,008 |
| | 16.588 Subtotal | | <u>3,420,929</u> |
| 16.592 | Local Law Enforcement Block Grants Program | DCA | 5,115 |
| 16.592 | Local Law Enforcement Block Grants Program | JCA | 169,851 |
| 16.592 | Local Law Enforcement Block Grants Program | PSA | 21,400 |
| | 16.592 Subtotal | | <u>196,366</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|---|-------------------------|----------------------|
| 16.593 | Residential Substance Abuse Treatment for State Prisoners | DCA | 47,703 |
| 16.593 | Residential Substance Abuse Treatment for State Prisoners | DJA | 1,232,839 |
| | 16.593 Subtotal | | <u>1,280,542</u> |
| 16.595 | Executive Office for Weed and Seed, <i>City of Tucson</i> , Contract # 020199S | UAA | 1,545 |
| 16.597 | Motor Vehicle Theft Protection Act Program | ATA | 56,980 |
| 16.598 | State Identification Systems Grant Program | DCA | 92,885 |
| 16.598 | State Identification Systems Grant Program | PSA | 57,812 |
| 16.598 | State Identification Systems Grant Program | SPA | 77,256 |
| | 16.598 Subtotal | | <u>227,953</u> |
| 16.602 | Corrections—Research and Evaluation and Policy Formulation | DCA | 260,391 |
| 16.606 | State Criminal Alien Assistance Program | DCA | 10,347,501 |
| 16.607 | Bulletproof Vest Partnership Program | ASA | 4,329 |
| 16.607 | Bulletproof Vest Partnership Program | DCA | 8,214 |
| 16.607 | Bulletproof Vest Partnership Program | NAA | 556 |
| | 16.607 Subtotal | | <u>13,099</u> |
| 16.610 | Regional Information Sharing Systems | PSA | 3,195,264 |
| 16.710 | Public Safety Partnership and Community Policing Grants | ASA | 182,923 |
| 16.710 | Public Safety Partnership and Community Policing Grants | PSA | 906,521 |
| 16.710 | Public Safety Partnership and Community Policing Grants | UAA | 101,699 |
| 16.710 | Public Safety Partnership and Community Policing Grants, <i>Arizona Peace Officers Standards Training Board</i> | ASA | 134,520 |
| | 16.710 Subtotal | | <u>1,325,663</u> |
| 16.711 | Troops to COPS | ASA | 9,632 |
| 16.726 | Juvenile Mentoring Program, <i>Arizona Cactus Pine Girl Scout Council</i> , Contract # 1999JGFX0001 | UAA | 40,540 |
| 16.727 | Enforcing Underage Drinking Laws Program | PSA | 314,294 |
| 16.97-LB-VX-K023 | COPLINK: Database Integration and Access for a Law Enforcement Intranet, <i>City of Tucson</i> , Contract # 23298 | UAA | 229,974 |
| 16.9815 | Drug Enforcement Agency Tactical Diversion Squad | PSA | 37,230 |
| 16.99433 | Drug Enforcement Agency Tucson Task Force | PSA | 1,332 |
| 16.98133 | FBI Forensics IGA | PSA | 436,760 |
| 16.98RSCX0006 | RMIN Technology Grant | PSA | 13,050 |
| 16.99243 | United States Marshals Service | PSA | 5,841 |
| 16.USCA58005974337 50, USCA5800599452209 | Federal Court Interpreter Certification Project-Spanish/English Oral Certification | UAA | 310,977 |
| 16.Unknown | RICO Funds | MAA | <u>24,770</u> |
| | Total Department of Justice | | <u>\$ 35,596,074</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|---|-------------------------|-------------------|
| <u>Department of Labor</u> | | | |
| <u>Employment Services Cluster</u> | | | |
| 17.207 | Employment Service | AFA | \$ 65,000 |
| 17.207 | Employment Service | DEA | 10,350,917 |
| 17.207 | Employment Service | DFA | 14,727 |
| 17.207 | Employment Service | GVA | 1,202,063 |
| | 17.207 Subtotal | | <u>11,632,707</u> |
| 17.801 | Disabled Veterans' Outreach Program (DVOP) | DEA | 1,095,689 |
| 17.804 | Local Veterans' Employment Representative Program | DEA | 895,932 |
| | Employment Services Cluster Subtotal | | <u>13,624,328</u> |
| <u>Job Training Partnership Act Cluster</u> | | | |
| 17.246 | Employment and Training Assistance—Dislocated Workers | DEA | 15,203,521 |
| 17.250 | Job Training Partnership Act | ASA | 48,565 |
| 17.250 | Job Training Partnership Act | DEA | 31,866,334 |
| 17.250 | Job Training Partnership Act | DJA | 57,671 |
| 17.250 | Job Training Partnership Act | EDA | 1,403,935 |
| 17.250 | Job Training Partnership Act | EPA | 243,571 |
| 17.250 | Job Training Partnership Act, <i>Yuma Private Industry Council</i> | ASA | 79,236 |
| 17.250 | Job Training Partnership Act, <i>Pima County</i> , Contract # 0139A1251251098 | UAA | 8,843 |
| | 17.250 Subtotal | | <u>33,708,155</u> |
| | Job Training Partnership Act Cluster Subtotal | | <u>48,911,676</u> |
| <u>Other Department of Labor Programs</u> | | | |
| 17.002 | Labor Force Statistics | DEA | 910,152 |
| 17.005 | Compensation and Working Conditions | ICA | 32,749 |
| 17.203 | Labor Certification for Alien Workers | DEA | 323,745 |
| 17.225 | Unemployment Insurance | DEA | 211,338,842 |
| 17.235 | Senior Community Service Employment Program, <i>National Council on Aging</i> , Contract # D-7513-9-00-81-55-02 | DEA | 2,636,212 |
| 17.245 | Trade Adjustment Assistance—Workers | EDA | 1,516,345 |
| 17.245 | Trade Adjustment Assistance—Workers, <i>National Council on Aging</i> , Contract # D-613344-7-00-81-55-02 | DEA | 117,308 |
| | 17.245 Subtotal | | <u>1,633,653</u> |
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research | ASA | 93,368 |
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research | EPA | 3,114,312 |
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research | HUA | 3,071 |
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research | NAA | 4,465 |
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research, <i>School to Work Tucson Literacy</i> | SDA | 6,325 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|-----------------------|
| 17.249 | Employment Services and Job Training Pilots—Demonstrations and Research, <i>Microage</i> | ASA | 2,744 |
| | 17.249 Subtotal | | <u>3,224,285</u> |
| 17.253 | Welfare-to-Work Grants to States and Localities, <i>National Council on Aging</i> , Contract # D-613344-7-00-81-55-02 | DEA | 958,070 |
| 17.255 | Workforce Investment Act | DEA | 396 |
| 17.503 | Occupational Safety and Health—State Program | ICA | 1,180,325 |
| 17.504 | Consultation Agreements | ICA | 180,517 |
| 17.600 | Mine Health and Safety Grants | MIA | 147,146 |
| 17.E-9-4-5-46-04 | National Occupational Information Coordinating Committee | DEA | <u>136,696</u> |
| | Other Department of Labor Programs Subtotal | | <u>222,702,788</u> |
| | Total Department of Labor | | <u>\$ 285,238,792</u> |
| | <u>Department of State</u> | | |
| 19.300 | Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>American Council of Learned Societies</i> | ASA | \$ 4,026 |
| 19.300 | Program for Study of Eastern Europe and the Independent States of the Former Soviet Union, <i>Social Science Research Council</i> | ASA | 9,038 |
| | 19.300 Subtotal | | <u>13,064</u> |
| 19.406 | College and University Affiliations Program | ASA | 9,994 |
| 19.Unknown | 1998-1999 USIA Freedom Support Act Undergraduate Program, <i>American Council of Teachers of Russian</i> , Contract #USIACNTR | ASA | 931 |
| 19.Unknown | Presidential Management Training Institute, <i>Pima County Community College</i> , Contract # USIACNTRIAPSPSG7190220 | ASA | 4,239 |
| | Total Department of State | | <u>\$ 28,228</u> |
| | <u>Department of Transportation</u> | | |
| 20.005 | Boating Safety Financial Assistance | GFA | \$ 703,081 |
| 20.106 | Airport Improvement Program | DTA | 6,500 |
| 20.107 | Airway Science | ASA | 11,883 |
| 20.205 | Highway Planning and Construction | ADA | 35,033 |
| 20.205 | Highway Planning and Construction | DTA | 425,444,235 |
| 20.205 | Highway Planning and Construction | PRA | 111,457 |
| 20.205 | Highway Planning and Construction, <i>Maricopa Association of Governments (MAG)</i> | ADA | 92,111 |
| 20.205 | Highway Planning and Construction, <i>Maricopa Association of Governments (MAG)</i> | ASA | 41,669 |
| | 20.205 Subtotal | | <u>425,724,505</u> |
| 20.215 | Highway Training and Education, <i>South Carolina State University</i> | ASA | 12,649 |
| 20.218 | National Motor Carrier Safety | ASA | 16,921 |
| 20.218 | National Motor Carrier Safety | PSA | 1,660,457 |
| | 20.218 Subtotal | | <u>1,677,378</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|-----------------------|
| 20.308 | Local Rail Freight Assistance | DTA | 1,836 |
| 20.505 | Federal Transit—Metropolitan Planning Grants | DTA | 636,548 |
| 20.509 | Formula Grants for Other Than Urbanized Areas | DTA | 2,182,867 |
| 20.513 | Capital Assistance Program for Elderly Persons and Persons with Disabilities | DTA | 897,477 |
| 20.600 | State and Community Highway Safety | ASA | 11,017 |
| 20.600 | State and Community Highway Safety | DTA | 64,789 |
| 20.600 | State and Community Highway Safety | PSA | 3,174,867 |
| 20.600 | State and Community Highway Safety | UAA | 30,303 |
| | 20.600 Subtotal | | <u>3,280,976</u> |
| 20.700 | Pipeline Safety | CCA | 469,124 |
| 20.702 | University Research Institutes Program | ASA | 54,181 |
| 20.703 | Interagency Hazardous Materials Public Sector Training and Planning Grants | MAA | 89,033 |
| 20.TEA 900-0(191)P | AZSite Database | PRA | 11,517 |
| 20.ISA99138 | AZ Site Statewide Cultural Resources Inventory—Site and Project Database and Geographic Information System (GIS) | UAA | 67,604 |
| 20.DTCG37 99 P 656001 | Coast Guard Water Safety Center | PRA | 8,700 |
| 20.DDEGFP98X00719 | 1999 Dwight David Eisenhower Graduate Fellowship | UAA | <u>30,072</u> |
| | Total Department of Transportation | | <u>\$ 435,865,931</u> |
| | <u>Department of the Treasury</u> | | |
| 21.SWAZT218 | US Customs-OCDETF | PSA | \$ 6,201 |
| 21.99 125, 99 302 | US Customs Task Force | PSA | <u>70,163</u> |
| | Total Department of Treasury | | <u>\$ 76,364</u> |
| | <u>Office of Personnel Management</u> | | |
| 27.011 | Intergovernmental Personnel Act (IPA) Mobility Program | ASA | <u>\$ 127,325</u> |
| | <u>Equal Employment Opportunity Commission</u> | | |
| 30.001 | Employment Discrimination—Title VII of the Civil Rights Act of 1964 | AGA | <u>\$ 254,239</u> |
| | <u>General Services Administration</u> | | |
| 39.003 | Donation of Federal Surplus Personal Property | ADA | \$ 447,735 |
| 39.GS00P95BQG001 | Expansion of University of Arizona's Special Collections & Archives | UAA | 133,141 |
| 39.MDA90499PN334 | Learn And Sustain Language Skills; And Obtain Language Teaching Materials Through the Internet | UAA | 22,657 |
| 39. GS09P94KTC0046 | A Real-Time Case Study Documentation of the Phoenix Federal Courthouse, O'Brien Kreitzberg, Contract # NAZ03600 | UAA | 6,701 |
| | Total General Services Administration | | <u>\$ 610,234</u> |
| | <u>National Aeronautics and Space Administration</u> | | |
| 43.001 | Aerospace Education Services Program | ASA | \$ 191,761 |
| 43.001 | Aerospace Education Services Program, <i>Cornell University</i> | ASA | 27,623 |
| 43.001 | Aerospace Education Services Program, <i>New Mexico Highlands University</i> | ASA | <u>73,546</u> |
| | 43.001 Subtotal | | <u>292,930</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|-------------------|
| 43.NAG58157 | Support an Extension Specialist in Geo-Spatial Info Technology | UAA | 66,011 |
| 43.S34075G | I3RC Workshop/Atmospheric Radiation | UAA | 8,960 |
| 43.NAS5-26555 | Project Mars: Mars Activities and Resources for Students, <i>Space Telescope Science Institute</i> , Contract # ED901770198A | UAA | 12,681 |
| | Total National Aeronautics and Space Administration | | <u>\$ 380,582</u> |
| | National Foundation on the Arts and the Humanities | | |
| 45.024 | Promotion of the Arts—Grants to Organizations and Individuals | ASA | \$ 30,073 |
| 45.024 | Promotion of the Arts—Grants to Organizations and Individuals | HUA | 27,503 |
| | 45.024 Subtotal | | <u>57,576</u> |
| 45.025 | Promotion of the Arts—Partnership Agreements | ASA | 3,325 |
| 45.025 | Promotion of the Arts—Partnership Agreements | HUA | 540,392 |
| 45.025 | Promotion of the Arts—Partnership Agreements, <i>New England Foundation for the Arts</i> | ASA | 5,000 |
| | 45.025 Subtotal | | <u>548,717</u> |
| 45.026 | Promotion of the Arts—Leadership Initiatives | HUA | 2,865 |
| 45.129 | Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> | ASA | 7,712 |
| 45.129 | Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , P46 2454 1974, P03-2852-1999, P82-2744-2297, P72-2723-2276 | PRA | 33,080 |
| 45.129 | Promotion of the Humanities—Federal/State Partnership, <i>Arizona Humanities Council</i> , Contract #'s GG0730582000, GG4631832000, GG3831372000 | UAA | 3,142 |
| | 45.129 Subtotal | | <u>43,934</u> |
| 45.149 | Promotion of the Humanities—Division of Preservation and Access, <i>Cornell University</i> , Contract # 332195906 | UAA | 1,117 |
| 45.130 | Promotion of the Humanities—Challenge Grants | ASA | 14,234 |
| 45.160 | Promotion of the Humanities—Fellowships and Stipends | ASA | 30,027 |
| 45.162 | Promotion of the Humanities—Education Development and Demonstration | ASA | 37,415 |
| 45.163 | Promotion of the Humanities—Seminars and Institutes | ASA | 109,345 |
| 45.163 | Promotion of the Humanities—Seminars and Institutes | UAA | 51,508 |
| | 45.163 Subtotal | | <u>160,853</u> |
| 45.301 | Institute of Museum and Library Services | PRA | 10,748 |
| 45.301 | Institute of Museum and Library Services | UAA | 24,830 |
| | 45.301 Subtotal | | <u>35,578</u> |
| 45.303 | Conservation Project Support | ASA | 12,578 |
| 45.310 | State Library Program | ASA | 12,566 |
| 45.310 | State Library Program | HIA | 14,694 |
| 45.310 | State Library Program | LAA | 2,309,251 |
| 45.310 | State Library Program | SDA | 19,045 |
| | 45.310 Subtotal | | <u>2,355,556</u> |
| 45.312 | Institute of Museum and Library Services—National Leadership Grants | LAA | 228,302 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|---|-------------------------|---------------------|
| 45.24900 | Africa: The Photographs of Lynn Davis | UAA | 3,800 |
| 45.CK215192951 | DLAPR and SIRLS Survey of Salary Data for Professional Librarians and Knowledge Workers in the State of Arizona | UAA | 1,773 |
| 45.11200 | Millennial Influences: Visiting Poets and Writers | UAA | 3,425 |
| 45.11100 | Multi-Disciplinary Artist Residency Projects, UA Presents 1999-2000 Season | UAA | 8,000 |
| 45.24000 | Writers' Journeys: Real and Imagined | UAA | 2,275 |
| 45.11400 | 2000 Desert Blues Concert | UAA | 2,275 |
| 45.IG9018699, IG9048299 | General Operating Support | UAA | 31,612 |
| 45.Unknown | National Dance Project: Ballet Hispanico - New David Rousseve Work, <i>New England Foundation for the Arts</i> , Contract # CK19745 | UAA | 7,000 |
| 45.Unknown | National Dance Project: Merideth Monk, <i>New England Foundation for the Arts</i> , Contract # CK19747 | UAA | 1,920 |
| Total National Foundation on the Arts and the Humanities | | | <u>\$ 3,590,832</u> |
| <u>National Science Foundation</u> | | | |
| 47.041 | Engineering Grants | ASA | \$ 85,132 |
| 47.041 | Engineering Grants | UAA | 12,297 |
| 47.041 | Engineering Grants | NAA | 79,752 |
| 47.041 | Engineering Grants, <i>Texas Engineer Experiment Station</i> | ASA | 209,069 |
| 47.041 Subtotal | | | <u>386,250</u> |
| 47.049 | Mathematical and Physical Sciences | ASA | 4,001 |
| 47.049 | Mathematical and Physical Sciences | UAA | 220,266 |
| 47.049 | Mathematical and Physical Sciences, <i>Louisiana State University</i> | ASA | 3,185 |
| 47.049 Subtotal | | | <u>227,452</u> |
| 47.050 | Geosciences | ASA | 5,833 |
| 47.050 | Geosciences, <i>Incorporated Research Institutions for Seismology</i> , Contract # 117 | UAA | 624 |
| 47.050 Subtotal | | | <u>6,457</u> |
| 47.070 | Computer and Information Science and Engineering | ASA | 378,320 |
| 47.070 | Computer and Information Science and Engineering, <i>Texas Engineer Experiment Station</i> | ASA | 164,569 |
| 47.070 Subtotal | | | <u>542,889</u> |
| 47.073 | Science and Technology Centers | UAA | 46,861 |
| 47.074 | Biological Sciences | ASA | 32,659 |
| 47.075 | Social, Behavioral, and Economic Sciences | ASA | 4,290 |
| 47.076 | Education and Human Resources | ASA | 2,823,096 |
| 47.076 | Education and Human Resources | SDA | 5,110 |
| 47.076 | Education and Human Resources | UAA | 543,940 |
| 47.076 | Education and Human Resources | NAA | 351,555 |
| 47.076 | Education and Human Resources, <i>New Mexico Highlands University</i> | ASA | 286,491 |
| 47.076 | Education and Human Resources, <i>Harvard Consortium Materials</i> , Contract # P48874 | NAA | 11,925 |
| 47.076 | Education and Human Resources, <i>Association of American Colleges and Universities</i> , Contract # CK48183 | UAA | 7,852 |
| 47.076 Subtotal | | | <u>4,029,969</u> |
| 47.DGE0075193 | Cloud Properties Study Using Satellite Remote Sensing-Postdoctoral Fellowship | UAA | 547 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|---------------------|
| 47.DBI9988009 | Research Internship | NAA | 20,978 |
| 47.DGE9906479 | SEAP: Science Education Assessment Project, <i>Julie C. Libarkin</i> , Contract # CK289 | UAA | 678 |
| 47.Unknown | ED Innovation Simulation ED, <i>University of Utah</i> , Contract # 9905046 | NAA | 6,738 |
| 47.Unknown | Girls at the Center, <i>Franklin Institute for Girls</i> , Contract # CK094587 | UAA | 7,035 |
| 47.Unknown | Utah, Colorado, Arizona, New Mexico Rural System Initiative, <i>New Mexico Highlands University</i> , Contract #'s 65320, 77291, 87822 | NAA | 18,373 |
| | Total National Science Foundation | | <u>\$ 5,331,176</u> |
| | <u>Department of Veterans Affairs</u> | | |
| 64.124 | All-Volunteer Force Educational Assistance | VSA | \$ 166,747 |
| 64.P0626D31851 | Disbursement Agreement for Residents | UAA | 2,876,160 |
| 64.V678P3648 | Provide Scarce Medical Specialist Resources | UAA | 280,277 |
| 64.V678P3583, V678P4024 | Service Contract Between P.I. and V.A. for Veterinarian Services | UAA | 6,622 |
| 64.Unknown | VA Headcount | UAA | <u>5,482</u> |
| | Total Department of Veterans Affairs | | <u>\$ 3,335,288</u> |
| | <u>Environmental Protection Agency</u> | | |
| 66.001 | Air Pollution Control Program Support | EVA | \$ 2,419,658 |
| 66.006 | Air Pollution Control—Technical Training | EVA | 135,491 |
| 66.032 | State Indoor Radon Grants | AEA | 55,662 |
| 66.032 | State Indoor Radon Grants | GSA | <u>1,026</u> |
| | 66.032 Subtotal | | <u>56,688</u> |
| 66.419 | Water Pollution Control—State and Interstate Program Support | EVA | 199,516 |
| 66.438 | Construction Management Assistance | EVA | 101,502 |
| 66.454 | Water Quality Management Planning | EVA | 66,144 |
| 66.458 | Capitalization Grants for State Revolving Funds | WFA | 434,742 |
| 66.460 | Nonpoint Source Implementation Grants | EVA | 443,751 |
| 66.461 | Wetlands Grants | EVA | 102,874 |
| 66.463 | Water Quality Cooperative Agreements | EVA | 156,551 |
| 66.468 | Capitalization Grants for Drinking Water State Revolving Fund | WFA | 1,160,153 |
| 66.470 | Hardship Grants Program for Rural Communities | WFA | 142,860 |
| 66.500 | Environmental Protection—Consolidated Research | ASA | 11,461 |
| 66.605 | Performance Partnership Grants | EVA | 3,474,589 |
| 66.606 | Surveys, Studies, Investigations and Special Purpose Grants | EVA | 90,943 |
| 66.606 | Surveys, Studies, Investigations and Special Purpose Grants | NAA | 678,468 |
| 66.606 | Surveys, Studies, Investigations and Special Purpose Grants | WCA | <u>114,527</u> |
| | 66.606 Subtotal | | <u>883,938</u> |
| 66.607 | Training and Fellowships for the Environmental Protection Agency | AGA | 231,417 |
| 66.607 | Training and Fellowships for the Environmental Protection Agency | ASA | 17,109 |
| 66.607 | Training and Fellowships for the Environmental Protection Agency | NAA | 725,570 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|---|-------------------------|----------------------|
| | 66.607 Subtotal | | <u>974,096</u> |
| 66.608 | One Stop Reporting | EVA | 26,400 |
| 66.700 | Consolidated Pesticide Enforcement Cooperative Agreements | AHA | 270,395 |
| 66.700 | Consolidated Pesticide Enforcement Cooperative Agreements | SBA | 109,500 |
| | 66.700 Subtotal | | <u>379,895</u> |
| 66.701 | Toxic Substances Compliance Monitoring Cooperative Agreements | MAA | 5,636 |
| 66.707 | TSCA Title IV State Lead Grants—Certification of Lead-Based Paint Professionals | HSA | 10,220 |
| 66.708 | Pollution Prevention Grants Program | EVA | 66,748 |
| 66.801 | Hazardous Waste Management State Program Support | EVA | 1,209,546 |
| 66.801 | Hazardous Waste Management State Program Support | MAA | 3,487 |
| | 66.801 Subtotal | | <u>1,213,033</u> |
| 66.802 | Superfund State Site—Specific Cooperative Agreements | EVA | 1,257,000 |
| 66.802 | Superfund State Site—Specific Cooperative Agreements | MAA | 30,141 |
| | 66.802 Subtotal | | <u>1,287,141</u> |
| 66.804 | State and Tribal Underground Storage Tanks Program | EVA | 202,257 |
| 66.805 | Leaking Underground Storage Tank Trust Fund Program | EVA | 864,572 |
| 66.808 | Solid Waste Management Assistance | EPA | 14,121 |
| 66.808 | Solid Waste Management Assistance | EVA | 20,821 |
| | 66.808 Subtotal | | <u>34,942</u> |
| 66.810 | CEPP Technical Assistance Grants Program | MAA | 92,052 |
| 66.930 | U.S.-Mexico Border Grants Program | EVA | 3,514 |
| 66.950 | Environmental Education and Training Program | ASA | 9,948 |
| 66.U91560101 | Characterizing Fire Regimes Using Optical and Microwave Remote Sensing | UAA | 11,609 |
| 66.X-999588-01-0 | Partnership 2000 | EVA | 5,600 |
| 66.HAZ-6051, X005110-01-0, T980422-01-0, OS- 0095-NAEX, 825918- 01-1, X990777-01-4, X1-98062001-0, 95- 0183-NTSA, X- 98954201-0, T82824701, U915220- 01-0, X-82811501-0 | Environmental Protection Programs | NAA | 399,067 |
| 66.U91494801, U91494301, U91559601 | Graduate Fellowship | UAA | 10,482 |
| | Total Environmental Protection Agency | | <u>\$ 15,387,130</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|------------------------------|---|-------------------------|---------------------|
| | <u>Department of Energy</u> | | |
| 81.041 | State Energy Program | EPA | \$ 553,144 |
| 81.041 | State Energy Program, <i>Institute for Sustainable Communities</i> , Contract # KR992072 | UAA | 4,686 |
| | 81.041 Subtotal | | <u>557,830</u> |
| 81.042 | Weatherization Assistance for Low-Income Persons | EPA | 672,763 |
| 81.086 | Conservation Research and Development | ASA | 29,343 |
| 81.086 | Conservation Research and Development | EPA | 93,367 |
| 81.086 | Conservation Research and Development, <i>University City Science Center</i> | ASA | 147,842 |
| | 81.086 Subtotal | | <u>270,552</u> |
| 81.106 | Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions | AEA | 10,059 |
| 81.119 | State Energy Program Special Projects | EPA | 38,755 |
| 81.DE FG0797ID13500 | Heavy Duty Alternative Fuel Vehicle Demonstration Program | EPA | 6,747 |
| 81.DEFG0294ER1447 4/14371 | Fellowship Program in Integrated Manufacturing, <i>National Research Council</i> , Contract # 8140001/8135001 | UAA | 38,364 |
| 81.Unknown | Petroleum Escrow Funds | EPA | 1,127,201 |
| 81.Unknown | Petroleum Escrow Funds | HSA | 21,768 |
| | 81. Unknown Subtotal | | <u>1,148,969</u> |
| 81.Unknown | Career Pathways FY99, <i>Arizona Department of Education</i> , Contract # ISA99026ED | ASA | 25,980 |
| 81.Unknown | Retrofit Lighting Fixtures in the Fletcher Library at Arizona State University West, <i>Arizona Department of Commerce</i> , Contract # CNTR20998 | ASA | 52,027 |
| 81.Unknown | Retrofit Lighting Fixtures - Stauffer Hall, <i>Arizona Department of Commerce</i> , Contract # CNTR21198 | ASA | 21,819 |
| 81.Unknown | Internet/GIS Training for Teachers, <i>Xavier University</i> , Contract # DE-FC02-99CH10997 | NAA | 32,707 |
| 81.Unknown | Technical Qualifications Program Phase IV Course Development, and the Interactive TV (ITV) Program, <i>Clark Atlantic University</i> , Contract # OSP-90-10-668-013 | NAA | 62,053 |
| | Total Department of Energy | | <u>\$ 2,938,625</u> |
| | <u>Federal Emergency Management Agency</u> | | |
| 83.011 | Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 | MAA | \$ 67,504 |
| 83.105 | Community Assistance Program—State Support Services Element (CAP-SSSE) | MAA | 11,979 |
| 83.105 | Community Assistance Program—State Support Services Element (CAP-SSSE) | WCA | 116,721 |
| | 83.105 Subtotal | | <u>128,700</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|----------------------|
| 83.536 | Flood Mitigation Assistance | MAA | 138,721 |
| 83.544 | Public Assistance Grants | MAA | 13,410,850 |
| 83.548 | Hazard Mitigation Grant | MAA | 2,940,482 |
| 83.550 | National Dam Safety Program | WCA | 10,554 |
| 83.551 | Project Impact—Building Disaster Resistant Communities | MAA | 23,659 |
| 83.552 | Emergency Management Performance Grants | ASA | 9,857 |
| 83.552 | Emergency Management Performance Grants | MAA | 2,211,650 |
| | 83.552 Subtotal | | <u>2,221,507</u> |
| | Total Federal Emergency Management Agency | | <u>\$ 18,941,977</u> |
| | <u>Department of Education</u> | | |
| | <u>Special Education Cluster</u> | | |
| 84.027 | Special Education—Grants to States | ASA | \$ 46,751 |
| 84.027 | Special Education—Grants to States | DCA | 23,228 |
| 84.027 | Special Education—Grants to States | DJA | 73,067 |
| 84.027 | Special Education—Grants to States | EDA | 54,763,323 |
| 84.027 | Special Education—Grants to States | NAA | 85,944 |
| 84.027 | Special Education—Grants to States | SPA | 21,643 |
| 84.027 | Special Education—Grants to States | UAA | 54,990 |
| | 84.027 Subtotal | | <u>55,068,946</u> |
| 84.173 | Special Education—Preschool Grants | EDA | 5,406,682 |
| 84.173 | Special Education—Preschool Grants | HSA | 5,983 |
| 84.173 | Special Education—Preschool Grants | SDA | 13,508 |
| | 84.173 Subtotal | | <u>5,426,173</u> |
| | Special Education Cluster Subtotal | | <u>60,495,119</u> |
| | <u>TRIO Cluster</u> | | |
| 84.042 | TRIO—Student Support Services | ASA | 407,114 |
| 84.042 | TRIO—Student Support Services | NAA | 215,737 |
| 84.042 | TRIO—Student Support Services | UAA | 204,950 |
| | 84.042 Subtotal | | <u>827,801</u> |
| 84.044 | TRIO—Talent Search | NAA | 270,954 |
| 84.047 | TRIO—Upward Bound | ASA | 665,403 |
| 84.047 | TRIO—Upward Bound | NAA | 587,049 |
| | 84.047 Subtotal | | <u>1,252,452</u> |
| 84.066 | TRIO—Educational Opportunity Centers | ASA | 459,621 |
| 84.066 | TRIO—Educational Opportunity Centers | NAA | 349,582 |
| | 84.066 Subtotal | | <u>809,203</u> |
| 84.217 | McNair Post-Baccalaureate Achievement | UAA | 69,432 |
| | TRIO Cluster Subtotal | | <u>3,229,842</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|--------------------|
| | <u>Other Department of Education Programs</u> | | |
| 84.002 | Adult Education—State Grant Program | ASA | 314,131 |
| 84.002 | Adult Education—State Grant Program | EDA | 5,309,383 |
| | 84.002 Subtotal | | <u>5,623,514</u> |
| 84.009 | Education of Children with Disabilities in State Operated or Supported Schools | SDA | 768,677 |
| 84.010 | Title I Grants to Local Educational Agencies | EDA | 119,992,451 |
| 84.010 | Title I Grants to Local Educational Agencies | SDA | 252,426 |
| | 84.010 Subtotal | | <u>120,244,877</u> |
| 84.011 | Migrant Education—Basic State Grant Program | ASA | 41,718 |
| 84.011 | Migrant Education—Basic State Grant Program | EDA | 6,594,881 |
| | 84.011 Subtotal | | <u>6,636,599</u> |
| 84.013 | Title I Program for Neglected and Delinquent Children | DCA | 266,714 |
| 84.013 | Title I Program for Neglected and Delinquent Children | DJA | 424,758 |
| 84.013 | Title I Program for Neglected and Delinquent Children | SPA | 216,319 |
| | 84.013 Subtotal | | <u>907,791</u> |
| 84.015 | National Resource Centers and Fellowships Program for Language and Area or Language and International Studies | ASA | 278,547 |
| 84.015 | National Resource Centers and Fellowships Program for Language and Area or Language and International Studies | UAA | 310,612 |
| | 84.015 Subtotal | | <u>589,159</u> |
| 84.021 | International: Overseas—Group Projects Abroad | UAA | 40,931 |
| 84.025 | Services for Children with Deaf-Blindness | SDA | 95,939 |
| 84.029 | Special Education—Personnel Development and Parent Training | ASA | 208,121 |
| 84.029 | Special Education—Personnel Development and Parent Training | EDA | 94,751 |
| 84.029 | Special Education—Personnel Development and Parent Training | UAA | 362,678 |
| | 84.029 Subtotal | | <u>665,550</u> |
| 84.048 | Vocational Education—Basic Grants to States | ASA | 272,106 |
| 84.048 | Vocational Education—Basic Grants to States | CMA | 2,169,222 |
| 84.048 | Vocational Education—Basic Grants to States | DJA | 133,664 |
| 84.048 | Vocational Education—Basic Grants to States | EDA | 14,457,794 |
| 84.048 | Vocational Education—Basic Grants to States | NAA | 294,340 |
| 84.048 | Vocational Education—Basic Grants to States | UAA | 78,084 |
| | 84.048 Subtotal | | <u>17,405,210</u> |
| 84.069 | Leveraging Educational Assistance Partnership | ASA | 56,486 |
| 84.069 | Leveraging Educational Assistance Partnership | NAA | 47,473 |
| 84.069 | Leveraging Educational Assistance Partnership | PEA | 434,820 |
| | 84.069 Subtotal | | <u>538,779</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|-------------------|
| 84.116 | Fund for the Improvement of Postsecondary Education | ASA | 109,681 |
| 84.116 | Fund for the Improvement of Postsecondary Education | UAA | 299,276 |
| 84.116 | Fund for the Improvement of Postsecondary Education, <i>Washington State University</i> | ASA | 5,810 |
| 84.116 | Fund for Improvement of Postsecondary Education, <i>Southwest Texas State University</i> , Contract # G652-13 | NAA | 4,555 |
| 84.116 | Fund for the Improvement of Postsecondary Education, <i>University of California San Francisco</i> , Contract # P116N7000197 | UAA | 11,307 |
| | 84.116 Subtotal | | <u>430,629</u> |
| 84.126 | Rehabilitation Services—Vocational Rehabilitation Grants to States | ASA | 305,438 |
| 84.126 | Rehabilitation Services—Vocational Rehabilitation Grants to States | DEA | 38,249,646 |
| 84.126 | Rehabilitation Services—Vocational Rehabilitation Grants to States | DJA | 9,047 |
| 84.126 | Rehabilitation Services—Vocational Rehabilitation Grants to States | SDA | 521,580 |
| | 84.126 Subtotal | | <u>39,085,711</u> |
| 84.129 | Rehabilitation Long-Term Training | UAA | 532,707 |
| 84.153 | International Business Education | ASA | 93,884 |
| 84.154 | Public Library Construction and Technology Enhancement | LAA | 33,000 |
| 84.158 | Secondary Education and Transitional Services for Youth with Disabilities | ADA | 10,425 |
| 84.158 | Secondary Education and Transitional Services for Youth with Disabilities | ASA | 599,987 |
| 84.158 | Secondary Education and Transitional Services for Youth with Disabilities | EDA | 159,517 |
| | 84.158 Subtotal | | <u>769,929</u> |
| 84.162 | Immigrant Education | EDA | 4,223,909 |
| 84.164 | Eisenhower Mathematics and Science Education—State Grants | SDA | 921 |
| 84.169 | Independent Living—State Grants | DEA | 257,321 |
| 84.170 | Javits Fellowships | ASA | 70,444 |
| 84.170 | Javits Fellowships | UAA | 22,073 |
| | 84.170 Subtotal | | <u>92,517</u> |
| 84.177 | Rehabilitation Services—Independent Living Services for Older Individuals Who are Blind | DEA | 194,708 |
| 84.181 | Special Education—Grants for Infants and Families with Disabilities | DEA | 5,512,260 |
| 84.181 | Special Education—Grants for Infants and Families with Disabilities | HSA | 625,012 |
| 84.181 | Special Education—Grants for Infants and Families with Disabilities | SDA | 493,841 |
| | 84.181 Subtotal | | <u>6,631,113</u> |
| 84.184 | Safe and Drug-Free Schools and Communities—National Programs | ASA | 206,881 |
| 84.184 | Safe and Drug-Free Schools and Communities—National Programs | UAA | 191,210 |
| | 84.184 Subtotal | | <u>398,091</u> |
| 84.185 | Byrd Honors Scholarships | EDA | 585,000 |
| 84.185 | Byrd Honors Scholarships | UAA | 9,000 |
| | 84.185 Subtotal | | <u>594,000</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|------------------|
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | AGA | 15,055 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | ASA | 623,993 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | DCA | 967 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | DJA | 6,097 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | EDA | 5,316,250 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | GVA | 1,167,662 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | MAA | 31,217 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | SDA | 1,767 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | SPA | 2,773 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants | UAA | 8,011 |
| 84.186 | Safe and Drug-Free Schools and Communities—State Grants, <i>Maricopa County Regional School District</i> | ASA | 91,349 |
| | 84.186 Subtotal | | <u>7,265,141</u> |
| 84.187 | Supported Employment Services for Individuals with Severe Disabilities | DEA | 417,586 |
| 84.190 | Christa McAuliffe Fellowships | EDA | 664 |
| 84.194 | Bilingual Education Support Services | EDA | 161,703 |
| 84.195 | Bilingual Education—Professional Development | ASA | 144,947 |
| 84.195 | Bilingual Education—Professional Development | NAA | 266,423 |
| 84.195 | Bilingual Education—Professional Development | UAA | 447,252 |
| | 84.195 Subtotal | | <u>858,622</u> |
| 84.196 | Education for Homeless Children and Youth | EDA | 457,904 |
| 84.200 | Graduate Assistance in Areas of National Need | ASA | 147,210 |
| 84.203 | Star Schools, <i>Gallup-McKinley County Schools</i> | ASA | 168,350 |
| 84.203 | Star Schools, <i>Los Angeles County Office of Education</i> | ASA | 2,596 |
| 84.203 | Star School, <i>Gallup-McKinley County Schools</i> , Contract # PO922194 | NAA | 104,451 |
| | 84.203 Subtotal | | <u>275,397</u> |
| 84.206 | Javits Gifted and Talented Students Education Grant Program | UAA | 181,308 |
| 84.213 | Even Start—State Educational Agencies | EDA | 1,751,972 |
| 84.215 | Fund for the Improvement of Education | EDA | 30,353 |
| 84.215 | Fund for the Improvement of Education | UAA | 104,285 |
| | 84.215 Subtotal | | <u>134,638</u> |
| 84.216 | Capital Expenses | EDA | 230,312 |
| 84.224 | Assistive Technology | NAA | 725,779 |
| 84.243 | Tech-Prep Education | CMA | 639,783 |
| 84.243 | Tech-Prep Education | EDA | 1,217,739 |
| | 84.243 Subtotal | | <u>1,857,522</u> |
| 84.264 | Rehabilitation Training—Continuing Education, <i>San Diego State University Foundation</i> , Contract # P0001654 | UAA | 68,581 |
| 84.265 | Rehabilitation Training—State Vocational Rehabilitation Unit In-Service Training | DEA | 102,984 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|------------------|
| 84.276 | Goals 2000—State and Local Education Systemic Improvement Grants | EDA | 8,342,662 |
| 84.276 | Goals 2000—State and Local Education Systemic Improvement Grants, <i>Tempe Union High School District</i> | ASA | 446,289 |
| | 84.276 Subtotal | | <u>8,788,951</u> |
| 84.281 | Eisenhower Professional Development State Grants | BRA | 824,210 |
| 84.281 | Eisenhower Professional Development State Grants | DCA | 3,089 |
| 84.281 | Eisenhower Professional Development State Grants | DJA | 1,870 |
| 84.281 | Eisenhower Professional Development State Grants | EDA | 4,273,347 |
| 84.281 | Eisenhower Professional Development State Grants | NAA | 133,112 |
| 84.281 | Eisenhower Professional Development State Grants | SDA | 3,327 |
| 84.281 | Eisenhower Professional Development State Grants | SPA | 10,242 |
| 84.281 | Eisenhower Professional Development State Grants | UAA | 237,031 |
| | 84.281 Subtotal | | <u>5,486,228</u> |
| 84.295 | Ready-To-Learn Television, <i>Public Broadcasting Service</i> , Contract # CK213210 | UAA | 22,955 |
| 84.298 | Innovative Education Program Strategies | ASA | 37,755 |
| 84.298 | Innovative Education Program Strategies | DCA | 3,243 |
| 84.298 | Innovative Education Program Strategies | DJA | 7,809 |
| 84.298 | Innovative Education Program Strategies | EDA | 6,411,683 |
| 84.298 | Innovative Education Program Strategies | SDA | 7,884 |
| 84.298 | Innovative Education Program Strategies | SPA | 5,440 |
| | 84.298 Subtotal | | <u>6,473,814</u> |
| 84.303 | Technology Innovation Challenge Grants, <i>Creighton School District</i> | ASA | 74,388 |
| 84.303 | Technology Innovation Challenge Grants, <i>Maricopa Community College</i> | ASA | 19,197 |
| 84.303 | Technology Innovation Challenge Grants, <i>Gallup-McKinley County School</i> , Contract #'s PO902750, PO922193 | NAA | 217,298 |
| | 84.303 Subtotal | | <u>310,883</u> |
| 84.310 | Goals 2000: Parental Information Resource Centers, <i>Chandler Education Foundation</i> | ASA | 91,289 |
| 84.310 | Goals 2000: Parental Information Resource Centers, <i>Chandler Unified School District</i> | ASA | 6,906 |
| | 84.310 Subtotal | | <u>98,195</u> |
| 84.315 | Capacity Building for Traditionally Underserved Populations | NAA | 187,478 |
| 84.318 | Technology Literacy Challenge Fund Grants | EDA | 6,249,684 |
| 84.324 | Special Education—Research and Innovation to Improve Services and Results for Children with Disabilities, <i>University of Maryland</i> | ASA | 40,658 |
| 84.325 | Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities | ASA | 155,292 |
| 84.325 | Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities | NAA | 376,620 |
| 84.325 | Special Education—Personnel Preparation to Improve Services and Results for Children with Disabilities | UAA | 1,195,677 |
| | 84.325 Subtotal | | <u>1,727,589</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|-------------------|
| 84.332 | Comprehensive School Reform Demonstration | EDA | 2,210,756 |
| 84.333 | Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education | UAA | 140,612 |
| 84.334 | Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Gila Crossing Community School</i> | ASA | 6,547 |
| 84.334 | Gaining Early Awareness and Readiness for Undergraduate Programs, <i>Sacaton School District</i> | ASA | 15,787 |
| | 84.334 Subtotal | | <u>22,334</u> |
| 84.336 | Teacher Quality Enhancement Grants | ASA | 212,613 |
| 84.336 | Teacher Quality Enhancement Grants | NAA | 143,866 |
| 84.336 | Teacher Quality Enhancement Grants | UAA | 4,220 |
| | 84.336 Subtotal | | <u>360,699</u> |
| 84.339 | Learning Anytime Anywhere Partnerships | ASA | 236,168 |
| 84.339 | Learning Anytime Anywhere Partnerships | UAA | 235,282 |
| | 84.339 Subtotal | | <u>471,450</u> |
| 84.340 | Class Size Reduction | DJA | 12,097 |
| 84.340 | Class Size Reduction | EDA | 15,209,843 |
| 84.340 | Class Size Reduction | SPA | 28,266 |
| | 84.340 Subtotal | | <u>15,250,206</u> |
| 84.221 | Arizona Department of Education Training Facility, <i>Arizona Department of Education</i> , Contract # CNTR366 | ASA | 21,048 |
| 84.E5319048 | Community Education and Information 1999-2001 | UAA | 241,885 |
| 84.98-0000-02 | Meeting New Face of Algebra, Integrated Science, Sustaining Science, Physics and Technology at NAU | NAA | 69,501 |
| 84.99-0000-02 | Models, Motivators, Mentors, Secondary School Geometry, Chinle Leadership Project, STD based Modeling, Globe NAN II, Shareware-Secondary School | NAA | 102,200 |
| 84.00-21-ED, 99-031-ED | Special Education Learning Experience for Competency in Teaching | NAA | 75,512 |
| 84.ED000003001 | Non Traditional Employment For Women—Direct Services | UAA | 85,770 |
| 84.99-014-ED | Transition SVC Youth with Disability | NAA | 1,863 |
| 84.92.AZ02 | Northern Arizona Writing Project, <i>University of California at Berkley</i> | NAA | 31,187 |
| 84.567A 8735 D1591 | Pacific DBTAC, <i>Pacific Disability and Business Technical Assistance Center</i> | ADA | 4,650 |
| 84.ED99000072 | National Center for Education Statistics | EDA | 29,221 |
| 84.Unknown | Maintenance and Support for the Arizona Department of Education Internal and External Websites, <i>Arizona Department of Education</i> , Contract # CNTR99025ED | ASA | 50,068 |
| 84.Unknown | Application for National Writing Project Matching Funds, <i>National Writing Project</i> , Contract # 928 | ASA | 18,428 |
| 84.Unknown | Application for National Writing Project Matching Funds, <i>National Writing Project</i> , Contract # 92AZ03 | UAA | 22,955 |
| 84.Unknown | Building the Future/Gear Up, <i>Tucson Unified School District</i> , Contract # 9342 | UAA | 92,011 |
| 84.Unknown | Educational Partnership Between Arizona State Museum/UA and Tucson Unified School District, <i>Tucson Unified School District</i> , Contract # 4505 | UAA | 9,196 |
| 84.Unknown | Network of Colleges & Universities Committed to the Elimination of Drug & Alcohol, <i>Education Development Center</i> , Contract # 4236 | UAA | 706 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|-----------------------|
| 84.Unknown | 99/00 Ready to Learn Service, <i>Public Broadcasting Service</i> , Contract #'s CK213210, 220884 | UAA | 8,813 |
| 84.Unknown | Technical Assistance Program to Implement the Americans with Disabilities Act, <i>Public Health Institute</i> , Contract # 567B8736S2346 | UAA | 464 |
| | Other Department of Education Programs Subtotal | | <u>270,200,759</u> |
| | Total Department of Education | | <u>\$ 333,925,720</u> |
| | <u>National Archives and Records Administration</u> | | |
| 89.003 | National Historical Publications and Records Grants | UAA | <u>\$ 6,474</u> |
| | <u>Department of Health and Human Services</u> | | |
| | <u>Aging Cluster</u> | | |
| 93.044 | Special Programs for the Aging—Title III, Part B—Grants for Supportive Services and Senior Centers | DEA | \$ 4,813,090 |
| 93.045 | Special Programs for the Aging—Title III, Part C—Nutrition Services | DEA | 4,913,810 |
| 93.045 | Special Programs for the Aging—Title III, Part C—Nutrition Services | HSA | <u>7,982</u> |
| | 93.045 Subtotal | | <u>4,921,792</u> |
| | Aging Cluster Subtotal | | <u>9,734,882</u> |
| | <u>Child Care Cluster</u> | | |
| 93.575 | Child Care and Development Block Grant | DEA | 56,633,679 |
| 93.575 | Child Care and Development Block Grant | GVA | 128,412 |
| 93.575 | Child Care and Development Block Grant | HSA | <u>376,149</u> |
| | 93.575 Subtotal | | <u>57,138,240</u> |
| 93.596 | Child Care Mandatory and Matching Funds of the Child Care and Development Fund | DEA | 34,534,791 |
| | Child Care Cluster Subtotal | | <u>91,673,031</u> |
| | <u>Medicaid Cluster</u> | | |
| 93.775 | State Medicaid Fraud Control Units | AGA | 731,410 |
| 93.777 | State Survey and Certification of Health Care Providers and Suppliers | BNA | 364,700 |
| 93.777 | State Survey and Certification of Health Care Providers and Suppliers | HSA | <u>3,465,916</u> |
| | 93.777 Subtotal | | <u>3,830,616</u> |
| | Medicaid Cluster Subtotal | | <u>4,562,026</u> |
| | <u>Other Health and Human Services Programs</u> | | |
| 93.041 | Special Programs for the Aging —Title VII, Chapter 3—Programs for Prevention of Elder Abuse, Neglect, and Exploitation | DEA | 60,503 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|----------------|
| 93.042 | Special Programs for the Aging—Title VII, Chapter 2—Long Term Care Ombudsman Services for Older Individuals | DEA | 115,064 |
| 93.043 | Special Programs for the Aging—Title III, Part F—Disease Prevention and Health Promotion Services | DEA | 265,067 |
| 93.046 | Special Programs for the Aging—Title III, Part D—In-Home Services for Frail Older Individuals | DEA | 114,082 |
| 93.048 | Special Programs for the Aging—Title IV—Training, Research and Discretionary Projects and Programs | DEA | 168,535 |
| 93.104 | Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) | HSA | 557,622 |
| 93.107 | Model State-Supported Area Health Education Centers | UAA | 357,491 |
| 93.110 | Maternal and Child Health Federal Consolidated Programs | HSA | 190,460 |
| 93.110 | Maternal and Child Health Federal Consolidated Programs, <i>University of Colorado</i> , Contract #'s H30MC00008, H46MC00132 | UAA | 67,050 |
| | 93.110 Subtotal | | <u>257,510</u> |
| 93.113 | Biological Response to Environmental Health Hazards, <i>University of Medicine & Dentistry of New Jersey</i> , Contract # R25ESO8221 | UAA | 55,468 |
| 93.116 | Project Grants and Cooperative Agreements for Tuberculosis Control Programs | HSA | 716,721 |
| 93.119 | Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services—Technical Assistance Centers for Evaluation | HSA | 93,892 |
| 93.125 | Mental Health Planning and Demonstration Projects | UAA | 450,301 |
| 93.127 | Emergency Medical Services for Children | HSA | 76,499 |
| 93.130 | Primary Care Services—Resource Coordination and Development—Primary Care Offices | HSA | 172,946 |
| 93.136 | Injury Prevention and Control Research and State and Community Based Programs | HSA | 97,223 |
| 93.139 | Financial Assistance for Disadvantaged Health Professions Students | UAA | 19,150 |
| 93.142 | NIEHS Hazardous Waste Worker Health and Safety Training, <i>University of California, Los Angeles (UCLA)</i> | ASA | 106,160 |
| 93.143 | NIEHS Superfund Hazardous Substances—Basic Research and Education | ASA | 10,138 |
| 93.144 | Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations | UAA | 46,788 |
| 93.145 | AIDS Education and Training Centers, <i>University of California, San Francisco</i> , Contract #'s PA029SC, PA003SC | UAA | 123,695 |
| 93.150 | Projects for Assistance in Transition from Homelessness (PATH) | HSA | 314,000 |
| 93.157 | Centers of Excellence | UAA | 279,787 |
| 93.165 | Grants for State Loan Repayment | HSA | 70,780 |
| 93.172 | Human Genome Research | ASA | 37,668 |
| 93.173 | Research Related to Deafness and Communication Disorders | UAA | 1,593 |
| 93.189 | Health Education and Training Centers | UAA | 158,664 |
| 93.192 | Quentin N. Burdick Programs for Rural Interdisciplinary Training | ASA | 22,933 |
| 93.192 | Quentin N. Burdick Programs for Rural Interdisciplinary Training | UAA | <u>186,030</u> |
| | 93.192 Subtotal | | <u>208,963</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|------------------|
| 93.194 | Community Prevention Coalitions (Partnership) Demonstration Grant | HSA | 57,478 |
| 93.197 | Childhood Lead Poisoning Prevention Projects—State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children | HSA | 203,310 |
| 93.200 | Environmental Health Education Activities for Health Professionals and Communities | HSA | 171,219 |
| 93.218 | Substance Abuse Treatment Conference Grants | UAA | 13,775 |
| 93.229 | Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks | HSA | 1,565,469 |
| 93.230 | Consolidated Knowledge Development and Application (KD&A) Program | ASA | 221,451 |
| 93.230 | Consolidated Knowledge Development and Application (KD&A) Program | GVA | 103,129 |
| 93.230 | Consolidated Knowledge Development and Application (KD&A) Program | HSA | 3,095,971 |
| 93.230 | Consolidated Knowledge Development and Application (KD&A) Program, <i>Metropolitan Education Commission</i> , Contract # KD1SM5300601 | UAA | 12,754 |
| | 93.230 Subtotal | | <u>3,433,305</u> |
| 93.234 | Traumatic Brain Injury—State Demonstration Grant Program | HSA | 221,714 |
| 93.235 | Abstinence Education | HSA | 651,964 |
| 93.235 | Abstinence Education | NAA | 34,655 |
| 93.235 | Abstinence Education | UAA | 69,025 |
| | 93.235 Subtotal | | <u>755,644</u> |
| 93.238 | Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement | HSA | 520,397 |
| 93.239 | Policy Research and Evaluation Grants | DEA | 243,773 |
| 93.241 | State Rural Hospital Flexibility Program | UAA | 48,986 |
| 93.263 | Occupational Safety and Health—Training Grants | UAA | 45,288 |
| 93.268 | Immunization Grants | HSA | 15,170,579 |
| 93.272 | Alcohol National Research Service Awards for Research Training | ASA | 15,001 |
| 93.273 | Alcohol Research Programs, <i>University of Wisconsin</i> , Contract # L355456 | UAA | 112 |
| 93.278 | Drug Abuse National Research Service Awards for Research Training | ASA | 35,248 |
| 93.279 | Drug Abuse Research Programs | ASA | 133,695 |
| 93.282 | Mental Health National Research Service Awards for Research Training | ASA | 178,509 |
| 93.283 | Centers for Disease Control and Prevention—Investigations and Technical Assistance | HSA | 673,200 |
| 93.283 | Centers for Disease Control and Prevention—Investigations and Technical Assistance | NAA | 9,548 |
| 93.283 | Centers for Disease Control and Prevention—Investigations and Technical Assistance | UAA | 186,624 |
| 93.283 | Centers for Disease Control and Prevention—Investigations and Technical Assistance, <i>University of Colorado</i> , Contract # U27CCU813109 | UAA | 100,621 |
| | 93.283 Subtotal | | <u>969,993</u> |
| 93.358 | Advanced Education Nursing Traineeships | ASA | 85,894 |
| 93.358 | Advanced Education Nursing Traineeships | NAA | 30,818 |
| 93.358 | Advanced Education Nursing Traineeships | UAA | 34,686 |
| | 93.358 Subtotal | | <u>151,398</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|--------------------|
| 93.359 | Basic Nurse Education and Practice Grants | ASA | 134,229 |
| 93.361 | Nursing Research | UAA | 231,721 |
| 93.389 | Research Infrastructure | UAA | 284,406 |
| 93.392 | Cancer Construction | ASA | 1,095,784 |
| 93.393 | Cancer Cause and Prevention Research | ASA | 21,629 |
| 93.395 | Cancer Treatment Research | ASA | 10,789 |
| 93.398 | Cancer Research Manpower | UAA | 235,070 |
| 93.399 | Cancer Control | UAA | 1,658 |
| 93.399 | Cancer Control, <i>Oregon Health Sciences University</i> , Contract # 8406626 | UAA | 313 |
| | 93.399 Subtotal | | <u>1,971</u> |
| 93.556 | Promoting Safe and Stable Families | DEA | 5,111,887 |
| 93.556 | Promoting Safe and Stable Families, <i>Navajo Nation-Division of Social Services</i> | ASA | 147,455 |
| | 93.556 Subtotal | | <u>5,259,342</u> |
| 93.558 | Temporary Assistance for Needy Families | DEA | 156,742,948 |
| 93.558 | Temporary Assistance for Needy Families | HSA | 2,211,095 |
| | 93.558 Subtotal | | <u>158,954,043</u> |
| 93.563 | Child Support Enforcement | DEA | 39,663,522 |
| 93.566 | Refugee and Entrant Assistance—State Administered Programs | DEA | 6,645,414 |
| 93.568 | Low-Income Home Energy Assistance | DEA | 5,228,975 |
| 93.568 | Low-Income Home Energy Assistance | EPA | 834,254 |
| | 93.568 Subtotal | | <u>6,063,229</u> |
| 93.569 | Community Services Block Grant | DEA | 4,204,307 |
| 93.570 | Community Services Block Grant—Discretionary Awards | DEA | 31,012 |
| 93.570 | Community Services Block Grant—Discretionary Awards, <i>National Collegiate Athletic Association (NCAA)</i> | ASA | 42,189 |
| | 93.570 Subtotal | | <u>73,201</u> |
| 93.571 | Community Services Block Grant Discretionary Awards—Community Food and Nutrition | DEA | 41,047 |
| 93.576 | Refugee and Entrant Assistance—Discretionary Grants | DEA | 229,304 |
| 93.584 | Refugee and Entrant Assistance—Targeted Assistance | DEA | 722,948 |
| 93.585 | Empowerment Zones Program | DEA | 111,610 |
| 93.585 | Empowerment Zones Program | EPA | 1,915 |
| | 93.585 Subtotal | | <u>113,525</u> |
| 93.586 | State Court Improvement Program | SPA | 139,880 |
| 93.590 | Community-Based Family Resource and Support Grants | DEA | 2,581 |
| 93.595 | Welfare Reform Research, Evaluations and National Studies | DEA | 362,364 |
| 93.597 | Grants to States for Access and Visitation Programs | DEA | 638 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|----------------------|
| 93.600 | Head Start | GVA | 109,529 |
| 93.600 | Head Start, <i>Southwest Human Development</i> | ASA | 78,920 |
| | 93.600 Subtotal | | <u>188,449</u> |
| 93.623 | Runaway and Homeless Youth | DEA | 28,541 |
| 93.630 | Developmental Disabilities Basic Support and Advocacy Grants | DEA | 851,099 |
| 93.631 | Developmental Disability Projects of National Significance, <i>Oregon Health Science University</i> , Contract # 8999561C | NAA | 4,902 |
| 93.632 | Developmental Disabilities University Affiliated Programs | NAA | 282,568 |
| 93.632 | Developmental Disabilities University Affiliated Programs | UAA | 92,325 |
| | 93.632 Subtotal | | <u>374,893</u> |
| 93.643 | Children's Justice Grants to States | ASA | 24,806 |
| 93.643 | Children's Justice Grants to States | GVA | 210,453 |
| | 93.643 Subtotal | | <u>235,259</u> |
| 93.645 | Child Welfare Services—State Grants | DEA | 4,885,487 |
| 93.658 | Foster Care—Title IV-E | ASA | 1,371,885 |
| 93.658 | Foster Care—Title IV-E | DEA | 44,183,350 |
| | 93.658 Subtotal | | <u>45,555,235</u> |
| 93.659 | Adoption Assistance | DEA | 14,460,042 |
| 93.667 | Social Services Block Grant | ADA | 230,490 |
| 93.667 | Social Services Block Grant | DEA | 67,188,647 |
| | 93.667 Subtotal | | <u>67,419,137</u> |
| 93.669 | Child Abuse and Neglect State Grants | DEA | 434,584 |
| 93.669 | Child Abuse and Neglect State Grants | HSA | 108,776 |
| | 93.669 Subtotal | | <u>543,360</u> |
| 93.670 | Child Abuse and Neglect Discretionary Activities | DEA | 112,892 |
| 93.671 | Family Violence Prevention and Services/Grants for Battered Women's Shelters—Grants to States and Indian Tribes | HSA | 929,799 |
| 93.674 | Independent Living | DEA | 963,391 |
| 93.767 | State Children's Insurance Program | HCA | 24,301,529 |
| 93.779 | Health Care Financing Research, Demonstrations and Evaluations | DEA | 34,506,659 |
| 93.779 | Health Care Financing Research, Demonstrations and Evaluations | HCA | 1,394,605,173 |
| 93.779 | Health Care Financing Research, Demonstrations and Evaluations | HSA | 120,648,164 |
| | 93.779 Subtotal | | <u>1,549,759,996</u> |
| 93.822 | Health Careers Opportunity Program | NAA | 246,729 |
| 93.822 | Health Careers Opportunity Program | UAA | 15,467 |
| | 93.822 Subtotal | | <u>262,196</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|-------------------|
| 93.837 | Heart and Vascular Diseases Research | UAA | 2,415 |
| 93.866 | Aging Research | ASA | 21,458 |
| 93.879 | Medical Library Assistance | UAA | 1,529 |
| 93.880 | Minority Access to Research Careers | ASA | 372,519 |
| 93.895 | Grants for Faculty Development in Family Medicine | UAA | 12,846 |
| 93.896 | Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) | UAA | 83,500 |
| 93.912 | Rural Health Outreach and Rural Network Development Program, <i>Hualapai Tribe</i> , Contract # R097135CSDR00047 | UAA | 20,723 |
| 93.912 | Rural Health Outreach and Rural Network Development Program, <i>Yavapai County Health Department</i> , Contract # 000615 | UAA | 3,771 |
| | 93.912 Subtotal | | <u>24,494</u> |
| 93.913 | Grants to States for Operation of Offices of Rural Health | UAA | 200,971 |
| 93.915 | HIV Emergency Relief Formula Grants | HSA | 223,230 |
| 93.917 | HIV Care Formula Grants | HSA | 6,184,554 |
| 93.919 | Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs | HSA | 2,718,298 |
| 93.926 | Healthy Start Initiative | HCA | 333,000 |
| 93.933 | Demonstration Projects for Indian Health | UAA | 317,168 |
| 93.938 | Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems | ASA | 107,639 |
| 93.938 | Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems | EDA | 183,788 |
| 93.938 | Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems, <i>Association of American Colleges and Universities</i> , Contract # CK51396 | UAA | 1,176 |
| | 93.938 Subtotal | | <u>292,603</u> |
| 93.940 | HIV Prevention Activities—Health Department Based | HSA | 2,357,306 |
| 93.944 | Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance | HSA | 496,015 |
| 93.945 | Assistance Program for Chronic Disease Prevention and Control | HSA | 40,641 |
| 93.958 | Block Grants for Community Mental Health Services | HSA | 4,431,291 |
| 93.959 | Block Grants for Prevention and Treatment of Substance Abuse | ASA | 137,026 |
| 93.959 | Block Grants for Prevention and Treatment of Substance Abuse | HSA | <u>27,052,592</u> |
| | 93.959 Subtotal | | <u>27,189,618</u> |
| 93.962 | Health Administration Traineeships and Special Projects Program | ASA | 34,909 |
| 93.970 | Health Professions Recruitment Program for Indians | ASA | 160,981 |
| 93.977 | Preventive Health Services—Sexually Transmitted Diseases Control Grants | HSA | 722,810 |
| 93.984 | Academic Administrative Units in Primary Care | UAA | 97,478 |
| 93.988 | Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems | HSA | 228,205 |

See accompanying notes to schedule.

| STATE OF ARIZONA SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2000 | | | |
|--|---|-------------------------|-------------------------|
| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
| 93.991 | Preventive Health and Health Services Block Grant | HSA | 2,104,489 |
| 93.991 | Preventive Health and Health Services Block Grant | UAA | 16,738 |
| | 93.991 Subtotal | | <u>2,121,227</u> |
| 93.994 | Maternal and Child Health Services Block Grant to the States | ASA | 3,537 |
| 93.994 | Maternal and Child Health Services Block Grant to the States | HSA | 5,757,063 |
| 93.994 | Maternal and Child Health Services Block Grant to the States | UAA | 7,353 |
| | 93.994 Subtotal | | <u>5,767,953</u> |
| 93.995 | Adolescent Family Life—Demonstration Projects | UAA | 138,052 |
| 93.980614P | AHEC Sponsored Outreach Cancer Education Programs in the Hispanic Community of AZ | UAA | 28,928 |
| 93.970598PBHPR | Development of a Strategic Plan for a Western Regional AHEC Distance Learning System | UAA | 3,727 |
| 93.0600-98-32781 | Enumeration at Birth | HSA | 128,334 |
| 93.980719P | Global Conference on Universities and the Disadvantaged | UAA | 4,332 |
| 93.98M00209701D | Managed Behavioral Health Care for Native Americans | UAA | 13,696 |
| 93.200-96-7255 | National Death Index | HSA | 15,309 |
| 93.2060200 | Navajo Area Mental Health Meeting | UAA | 14,874 |
| 93.270-94-0026 | State Demands and Needs Assessment | HSA | 415,871 |
| 93.240950043 | U.S.-Mexico Border Health Outreach Demonstration | UAA | 332,237 |
| 93.200-95-7202 | Vital Statistics Cooperative Program | HSA | 253,524 |
| 93.UD1SM53054 | Women With Psychological, Substance Use or Co-occurring Disorders | UAA | 115,630 |
| 93.990532P, D15PE89044 | Sponsorship of Universities and Disadvantaged Border Communities Symposium | UAA | 16,500 |
| 93.Unknown | Arizona Public Health Leadership Institute, <i>Association of Teachers of Prevention</i> , Contract # TS3111515 | UAA | 4,049 |
| 93.Unknown | IHS Epidemiological Coop Agreement, <i>Inter Tribal Council of Arizona Inc.</i> , Contract # 9801 | UAA | 1,883 |
| 93.Unknown | Improving Access to Health Information for Consumers of Pima County, <i>National Library of Medicine</i> , Contract # 541SGAB64900 | UAA | 13,800 |
| 93.Unknown | National Diabetes Prevention Center: The Challenges for Tribal Communities, <i>University of New Mexico</i> , Contract # 402282 | UAA | 22,887 |
| 93.Unknown | National Youth Sports Program 99-00, <i>National Collegiate Athletic Association</i> , Contract #s 9900029, 00485 | UAA | 84,110 |
| 93.Unknown | Pathways-Full Scale Study, <i>Gila River Indians</i> , Contract # CK263268 | UAA | 243,706 |
| 93.Unknown | Phase III...Mmvt-III...Polyvalent Melanoma Vaccine (C-Vax) Vs. Interferon a-2b I, <i>John Wayne Cancer Institute</i> , Contract # MMVTIII01 | UAA | 23,526 |
| 93.Unknown | Radiation Therapy Oncology Group, <i>American College of Radiology</i> , Contract # 4701 | UAA | 3,994 |
| 93.Unknown | SMI Services, <i>La Frontera Inc.</i> , Contract # CK0005774 | UAA | 312 |
| 93.Unknown | Strategies for Reaching American Indian/Alaska Native Women - A Case Study, <i>Battelle Memorial Institute</i> , Contract # 146545 | UAA | 32,230 |
| 93.Unknown | White Mountain Apache Tribal Mental Health Technical Assistance and Program Evaluation, <i>Apache Tribe</i> , Contract # 1009638 | UAA | 4,929 |
| | Other Health and Human Services Programs Subtotal | | <u>2,020,591,813</u> |
| | Total Department of Health and Human Services | | <u>\$ 2,126,561,752</u> |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|----------------------|
| | <u>Corporation for National and Community Service</u> | | |
| | <u>Foster Grandparent/Senior Companion Cluster</u> | | |
| 94.011 | Foster Grandparent Program | DEA | \$ 324,689 |
| 94.011 | Foster Grandparent Program | NAA | 102,035 |
| | 94.011 Subtotal | | <u>426,724</u> |
| 94.016 | Senior Companion Program | NAA | 171,826 |
| | Foster Grandparent/Senior Companion Cluster Subtotal | | <u>598,550</u> |
| | <u>Other Corporation for National and Community Service Programs</u> | | |
| 94.002 | Retired and Senior Volunteer Program | NAA | 47,667 |
| 94.003 | State Commissions | ASA | 1,440 |
| 94.003 | State Commissions | GVA | 210,190 |
| | 94.003 Subtotal | | <u>211,630</u> |
| 94.004 | Learn and Serve America—School and Community Based Programs | ASA | 18,870 |
| 94.004 | Learn and Serve America—School and Community Based Programs | EDA | 220,346 |
| 94.004 | Learn and Serve America—School and Community Based Programs | GVA | 193,709 |
| | 94.004 Subtotal | | <u>432,925</u> |
| 94.005 | Learn and Serve America—Higher Education, <i>Campus Compact</i> | ASA | 10,101 |
| 94.006 | AmeriCorps | GVA | 1,695,433 |
| 94.006 | AmeriCorps | NAA | 346,671 |
| 94.006 | AmeriCorps | UAA | 51,794 |
| | 94.006 Subtotal | | <u>2,093,898</u> |
| 94.007 | Planning and Program Development Grants | GVA | 62,347 |
| 94.009 | Training and Technical Assistance | ASA | 136,332 |
| 94.009 | Training and Technical Assistance | GVA | 227,690 |
| | 94.009 Subtotal | | <u>364,022</u> |
| | Other Corporation for National and Community Service Programs Subtotal | | <u>3,222,590</u> |
| | Total Corporation for National and Community Service | | <u>\$ 3,821,140</u> |
| | <u>Social Security Administration</u> | | |
| 96.001 | Social Security—Disability Insurance | DEA | \$ <u>20,591,893</u> |
| | <u>Student Financial Aid Cluster</u> | | |
| 84.007 | Federal Supplemental Educational Opportunity Grants | ASA | \$ 2,300,498 |
| 84.007 | Federal Supplemental Educational Opportunity Grants | NAA | 529,427 |
| 84.007 | Federal Supplemental Educational Opportunity Grants | UAA | 1,017,299 |
| | 84.007 Subtotal | | <u>3,847,224</u> |
| 84.033 | Federal Work-Study Program | AGA | 6,780 |
| 84.033 | Federal Work-Study Program | ASA | 1,488,171 |
| 84.033 | Federal Work-Study Program | DJA | 3,127 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|--|--|-------------------------|----------------------|
| 84.033 | Federal Work-Study Program | NAA | 778,484 |
| 84.033 | Federal Work-Study Program | UAA | 1,477,116 |
| | 84.033 Subtotal | | <u>3,753,678</u> |
| 84.038 | Federal Perkins Loan Program—Federal Capital Contributions | ASA | 58,135 |
| 84.038 | Federal Perkins Loan Program—Federal Capital Contributions | NAA | 70,418 |
| 84.038 | Federal Perkins Loan Program—Federal Capital Contributions | UAA | 27,000 |
| | 84.038 Subtotal | | <u>155,553</u> |
| 84.063 | Federal Pell Grant Program | ASA | 16,535,424 |
| 84.063 | Federal Pell Grant Program | NAA | 8,477,722 |
| 84.063 | Federal Pell Grant Program | UAA | 13,439,857 |
| | 84.063 Subtotal | | <u>38,453,003</u> |
| 93.820 | Scholarships for Students of Exceptional Financial Need | UAA | 19,240 |
| 93.925 | Scholarships for Health Professions Students from Disadvantaged Backgrounds | UAA | 106,513 |
| | Total Student Financial Aid Cluster | | <u>\$ 46,335,211</u> |
| <u>Research and Development Cluster</u> | | | |
| <u>Agency for International Development</u> | | | |
| 02.RD | Agency for International Development | UAA | \$ 112,973 |
| 02.RD | <i>Associates In Rural Development</i> , Contract # ARDUA04035 | UAA | 134,352 |
| 02.RD | <i>International Institute of Tropical Agriculture</i> , Contract # G0001602305501 | UAA | 4,777 |
| 02.RD | <i>Purdue University</i> , Contract # 5531460 | UAA | 3,016 |
| 02.RD | <i>Volunteers In Overseas Cooperative Assistance</i> , Contract # FAOA00969003800 | UAA | 207,096 |
| | Subtotal Agency for International Development | | <u>462,214</u> |
| <u>Inter-American Foundation</u> | | | |
| 04.RD | Inter-American Foundation | UAA | 80,805 |
| <u>Department of Agriculture</u> | | | |
| 10.RD | Department of Agriculture | UAA | 6,936,577 |
| 10.RD | Agricultural Research Service | ASA | 84,085 |
| 10.RD | Agricultural Research Service | NAA | 83,050 |
| 10.RD | Cooperative State Research, Education, and Extension Service | ASA | 589,127 |
| 10.RD | Cooperative State Research, Education, and Extension Service | NAA | 291,327 |
| 10.RD | Economic Research Service | ASA | 98,566 |
| 10.RD | Foreign Agriculture Service | NAA | 16,267 |
| 10.RD | Forest Service | ASA | 152,484 |
| 10.RD | Forest Service | NAA | 715,511 |
| 10.RD | Forest Service | UAA | 803,028 |
| 10.RD | Rural Business-Cooperative Service | ASA | 73,609 |
| 10.RD | <i>Arizona Department of Agriculture</i> , Contract # NPL984 | UAA | 4,657 |
| 10.RD | <i>Aculight Corporation</i> , Contract # 932709 | UAA | 13,017 |
| 10.RD | <i>Binational Agricultural Research and Development Fund</i> , Contract #'s US272096CR, IS255295, IS272696 | UAA | 112,329 |
| 10.RD | <i>Clemson University</i> , Contract # 2976120136689 | UAA | 10,799 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|-------------------------------|---|-------------------------|-------------------|
| 10.RD | <i>Cornell University</i> , Contract # 99343197396 | ASA | 5,580 |
| 10.RD | <i>New Mexico State University</i> , Contract #'s NMSUA24, Q00528, Q00526, SWC98N03, NMSUSUBA3, SWC96N05 | UAA | 68,192 |
| 10.RD | <i>Oceanic Institute</i> , Contract # 95388081424 | UAA | 140,009 |
| 10.RD | <i>Rutgers University</i> , Contract # 5864355080 | UAA | 27,865 |
| 10.RD | <i>Southwest Consortium on Plant Genetics</i> , Contract #'s SWC97N06, SWC97N04 | UAA | 34,515 |
| 10.RD | <i>University of California, Davis</i> , Contract #'s 97RA1810WR337, 99RA0879WR340, 99RA0879WR345 | UAA | 61,212 |
| 10.RD | <i>University of California, Riverside</i> , Contract # 9908 | UAA | 28,723 |
| 10.RD | <i>University of Hawaii</i> , Contract # C000072 | UAA | 19,438 |
| 10.RD | <i>University of Illinois</i> , Contract # 98139 | UAA | 13,298 |
| 10.RD | <i>University of Washington</i> , Contract #'s 716193, 502824 | UAA | 23,124 |
| 10.RD | <i>Utah State University</i> , Contract #'s 97045, 99040, 63, 98108, 99035 | UAA | 55,694 |
| | Subtotal Department of Agriculture | | <u>10,462,083</u> |
| Department of Commerce | | | |
| 11.RD | Economic Development Administration | UAA | 92,416 |
| 11.RD | National Institute of Standards and Technology | ASA | 63,091 |
| 11.RD | National Institute of Standards and Technology | UAA | 24,368 |
| 11.RD | National Oceanic and Atmospheric Administration | ASA | 60,798 |
| 11.RD | National Oceanic and Atmospheric Administration | UAA | 1,115,075 |
| 11.RD | <i>Motorola, Inc.</i> , Contract # 70NANB9H3012 | ASA | 51,400 |
| 11.RD | <i>National Storage Industry Consortium</i> , Contract # 9701MORE | UAA | 180,649 |
| 11.RD | <i>Sebra Engineering and Associates, Inc.</i> , Contract # 70NANB8H4050 | UAA | 41,742 |
| 11.RD | <i>Texas A & M University</i> , Contract # NA86RG0058 | UAA | 47,972 |
| 11.RD | <i>University Corporation for Atmospheric Research</i> , Contract # S9786982 | UAA | 21,826 |
| 11.RD | <i>University of California, San Diego</i> , Contract # 10174477 | UAA | 10,092 |
| 11.RD | <i>University of Southern Mississippi</i> , Contract # USM0315001101B10 | UAA | 32,638 |
| 11.RD | <i>University of Washington</i> , Contract # 156823 | UAA | 25,090 |
| | Subtotal Department of Commerce | | <u>1,767,157</u> |
| Department of Defense | | | |
| 12.RD | Defense Advanced Research Projects Agency | ASA | 685,903 |
| 12.RD | Defense Advanced Research Projects Agency | UAA | 2,988,353 |
| 12.RD | Department of Defense | UAA | 5,665 |
| 12.RD | Department of the Air Force | UAA | 5,244,179 |
| 12.RD | Department of the Air Force, Materiel Command | ASA | 757,919 |
| 12.RD | Department of the Army | UAA | 2,622,895 |
| 12.RD | Department of the Army | NAA | 176,111 |
| 12.RD | Department of the Army, Office of the Chief of Engineers | ASA | 109,976 |
| 12.RD | Department of the Navy | UAA | 3,640,176 |
| 12.RD | Department of the Navy, Office of the Chief of Naval Research | ASA | 2,414,116 |
| 12.RD | National Security Agency | ASA | 628,906 |
| 12.RD | National Security Agency | UAA | 6,069 |
| 12.RD | Office of the Secretary of Defense | ASA | 337,816 |
| 12.RD | Spawar Systems Center—San Diego | UAA | 676,798 |
| 12.RD | Tank Automotive Command | UAA | 19,846 |
| 12.RD | U.S. Army Materiel Command | ASA | 537,768 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|--------------|
| 12.RD | U.S. Army Medical Command | ASA | 22,478 |
| 12.RD | Advanced Ceramics Research Incorporated, Contract #'s 42643, 221137 | UAA | 23,397 |
| 12.RD | Anteon Corporation, Contract # F3361596D5608 | ASA | 314,305 |
| 12.RD | Applied Research Associates, Incorporated, Contract # S53451 | UAA | 7,752 |
| 12.RD | Atlantic Aerospace Electronics, Contract # F1962899C0080 | ASA | 77,481 |
| 12.RD | Battelle Pacific Northwest Laboratory, Contract # F08637-95-C6043 | ASA | 12,000 |
| 12.RD | Boeing Aerospace Company, Contract # JY6218 | UAA | 240,522 |
| 12.RD | Booz, Allen, and Hamilton, Contract # 31771CV202DO9 | UAA | 338,721 |
| 12.RD | California Institute of Technology, Contract #'s 1002117, PC290433 | UAA | 393,604 |
| 12.RD | Carnegie-Mellon University, Contract # N000149610925 | ASA | 47,600 |
| 12.RD | Composite Optics, Incorporated, Contract #'s 55161, 55160 | UAA | 93,451 |
| 12.RD | Computer Sciences Corporation, Contract #'s M000163, S511417TO060, C002368 | UAA | 203,493 |
| 12.RD | Demaria ElectroOptics Systems, Incorporated, Contract # N0002400C4045 | UAA | 7,506 |
| 12.RD | Desert Research Institute, Contract # 99Q04 | UAA | 46,083 |
| 12.RD | General Electric, Inc., Contract # DABT63C0001 | ASA | 38,901 |
| 12.RD | Georgia Institute of Technology, Contract # MDA9729310030 | ASA | 6,050 |
| 12.RD | Geosystems Analysis, Inc., Contract # DABT6398T0158 | ASA | 11,305 |
| 12.RD | I Technology Applications, Contract # DASG6099M0119 | UAA | 28,952 |
| 12.RD | IBIS Technology Co., Contract # 2500 | UAA | 709 |
| 12.RD | IBM, Contract #'s 2042 12/4/98, MDA97299C0009 | ASA | 77,437 |
| 12.RD | Kigre, Incorporated, Contract # 2075 | UAA | 112,996 |
| 12.RD | Intelligent Auto, Contract #'s DAAD1700C0038, F4962099C0030, LTR 02/08/99 | ASA | 46,052 |
| 12.RD | L-3 Communications, Contract # F4162497D5000-0001 | ASA | 30,305 |
| 12.RD | Lawrence Semiconductor Research Laboratory, Contract # DTRA0199P0041 | ASA | 21,525 |
| 12.RD | Lockheed Martin Corporation, Contract #'s SN01J8601F, HCCHJ4901F | UAA | 35,778 |
| 12.RD | Mayo Foundation, Contract #'s N6600199C-8605, 511825099 | ASA | 119,249 |
| 12.RD | Microlab, Inc., Contract # F2960199C0101 | ASA | 13,481 |
| 12.RD | Motorola, Inc., Contract # F3060298C0097 | ASA | 55,774 |
| 12.RD | National Association of Self-Instructional Language Program, Contract # DASW010010003 | UAA | 19,800 |
| 12.RD | National Storage Industry Consortium, Contract # MDA9729530004 | UAA | 141,414 |
| 12.RD | New York University, Contract #'s F306029610320-P00003, F306029910517 - P00001, N560010018920-01 | ASA | 211,924 |
| 12.RD | Nichols Research Corporation, Contract # NRCCR990001 | UAA | 595 |
| 12.RD | North Carolina State University, Contract #'s 94188301-004, F496209510447 | ASA | 241,904 |
| 12.RD | NP Phototonic Technologies LLC, Contract #'s NP1005C0042, DASG6098M0090, NP1004C0064 | UAA | 62,683 |
| 12.RD | Optical Research Associates, Contract # 6998 | UAA | 27,434 |
| 12.RD | Planar America, Inc., Contract # LTR 01/07/99 | ASA | 102,460 |
| 12.RD | Purdue University, Contract # F496200010016 | ASA | 11,479 |
| 12.RD | Raytheon Company, Contract #'s LSC6840640SN4, 106146996, 106701LW, RC6840649SN4 | UAA | 70,601 |
| 12.RD | Rockwell International, Contract # B0U428378 | UAA | 9,995 |
| 12.RD | San Diego State University Foundation, Contract # N6600197D5028 | ASA | 28,906 |
| 12.RD | San Jose State University, Contract # 2113000046 | UAA | 9,733 |
| 12.RD | SCEEE Services Corporation, Contract # NDSEG1998 | UAA | 26,911 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|-------------------|
| 12.RD | <i>Science Applications International Corp.</i> , Contract #'s 4400028520, 4500358605 | UAA | 38,796 |
| 12.RD | <i>Select Tech</i> , Contract # F3360197DJ012 | ASA | 10,580 |
| 12.RD | <i>Spectrum Astro Inc.</i> , Contract # 99045 | UAA | 196,570 |
| 12.RD | <i>Suny Stony Brook</i> , Contract # 4310305A-006 | ASA | 45,415 |
| 12.RD | <i>Uniformed Services University of the Health Sciences</i> , Contract # MDA90596Z0035 | UAA | 18,756 |
| 12.RD | <i>University of Connecticut</i> , Contract # F496209710161 | UAA | 68,153 |
| 12.RD | <i>University of Delaware</i> , Contract # B146109 | UAA | 97,799 |
| 12.RD | <i>University of Illinois</i> , Contract # 98128 | UAA | 358,695 |
| 12.RD | <i>University Of Illinois-Chicago</i> , Contract # F30602980005 | ASA | 45,000 |
| 12.RD | <i>University of New Mexico</i> , Contract # 872465892051 | UAA | 10,495 |
| 12.RD | <i>University of Oregon</i> , Contract # 235751B | UAA | 62,686 |
| 12.RD | <i>University of Pennsylvania</i> , Contract # 534683 | UAA | 20,663 |
| 12.RD | <i>University of Washington</i> , Contract # EPRI # WO8333-01 | ASA | 158,916 |
| 12.RD | <i>University of Vermont</i> , Contract #'s N00014961251, N00014970238 | ASA | 96,072 |
| 12.RD | <i>Universities Space Research Association</i> , Contract # F2960198D0210 | ASA | 18,600 |
| 12.RD | <i>Vanderbilt University</i> , Contract # 14236S1 | UAA | 63,659 |
| 12.RD | <i>Zona Technology Inc.</i> , Contract # F3361599C3203 | ASA | 27,527 |
| | Subtotal Department of Defense | | <u>25,583,624</u> |
| | <u>Department of Housing and Urban Development</u> | | |
| 14.RD | <i>Williams Housing Authority Tenant Survey, City of Williams Housing Authority</i> , Contract # Memo | NAA | 1,661 |
| 14.RD | <i>City of Tucson</i> , Contract # 23299 | UAA | 8,465 |
| | Subtotal Department of Housing and Urban Development | | <u>10,126</u> |
| | <u>Department of the Interior</u> | | |
| 15.RD | Bureau of Land Management | ASA | 514 |
| 15.RD | Bureau of Land Management | NAA | 694,735 |
| 15.RD | Bureau of Land Management | UAA | 27,468 |
| 15.RD | Bureau of Reclamation | ASA | 214,176 |
| 15.RD | Bureau of Reclamation | NAA | 588,224 |
| 15.RD | Bureau of Reclamation | UAA | 404,273 |
| 15.RD | Department of Interior | UAA | 174,613 |
| 15.RD | Geological Survey | ASA | 113,206 |
| 15.RD | National Biological Services | UAA | 58,312 |
| 15.RD | National Park Service | UAA | 439,009 |
| 15.RD | National Park Service | NAA | 502,786 |
| 15.RD | U.S. Fish and Wildlife Service | ASA | 42,326 |
| 15.RD | U.S. Fish and Wildlife Service | UAA | 169,328 |
| 15.RD | U.S. Fish and Wildlife Service | NAA | 33,615 |
| 15.RD | U.S. Geological Survey | UAA | 1,628,409 |
| 15.RD | U.S. Geological Survey | NAA | 580,616 |
| 15.RD | <i>Arizona State Land Department</i> , Contract #'s DO14CS3001380, 991 | UAA | 4,356 |
| 15.RD | <i>University of Arizona</i> , Contract # Y502224 | NAA | 8,195 |
| 15.RD | <i>University of Arizona Water Resource Center</i> , Contract # HQ-96-GR-02657 | ASA | 13,238 |
| 15.RD | <i>Instituto Tecnológico Y De Estudios</i> , Contract # 144898210G349 | UAA | 19,327 |
| 15.RD | <i>Lawrence Livermore National Lab</i> , Contract # B338664 | ASA | 3,426 |
| 15.RD | <i>National Fish and Wildlife Foundation</i> , Contract # 99017024 | ASA | 1,000 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|------------------|
| 15.RD | Nature Conservancy, Contract #'s AZFO991029, AZFO990316 | UAA | 6,205 |
| 15.RD | Southern Paiute Consortium, Contract # CK10996 | UAA | 4,418 |
| 15.RD | Southwest Parks and Monuments Association, Contract #'s 994, 007 | UAA | 7,638 |
| 15.RD | State of Utah, Contract # 976475 | NAA | 49,260 |
| 15.RD | Techlaw Incorporated, Contract # 1435000130808 | UAA | 7,681 |
| 15.RD | University of California, Davis, Contract # 1448-11330-97-J045 A002 | ASA | 61,476 |
| 15.RD | University of Iowa, Contract # GNK097A-99 | NAA | 77,556 |
| 15.RD | University of Southern California, Contract # 1434-HQ-97-AG-01718 | ASA | 29,939 |
| | Subtotal Department of the Interior | | <u>5,965,325</u> |
| | <u>Department of Justice</u> | | |
| 16.RD | Department of Justice | UAA | 122,674 |
| 16.RD | National Institute of Justice | ASA | 209,865 |
| 16.RD | National Institute of Justice | UAA | 17,503 |
| 16.RD | Office of the Governor, Contract # CK4389791 | UAA | 8,018 |
| 16.RD | ABT Associates, Inc., Contract # OJP-98-C-001 | ASA | 240,619 |
| 16.RD | American Statistical Association, Contract # 96-BJ-CX-K011 | ASA | 13,196 |
| 16.RD | City of Mesa, Contract #'s LTR 02/15/00, LTR 02/17/00 | ASA | 61,118 |
| 16.RD | City Of Tucson, Contract #'s 001080, 030300 | UAA | 180,397 |
| 16.RD | New Mexico State University, Contract # J-FBI-98-111 | ASA | 122,269 |
| 16.RD | United States/Mexico Border Counties Coalition, Contract # CK1020 | UAA | 19,922 |
| 16.RD | University of Pittsburgh, Contract # 1999-IJ-CX-0053 | ASA | 30,832 |
| | Subtotal Department of Justice | | <u>1,026,413</u> |
| | <u>Department of Transportation</u> | | |
| 20.RD | Federal Aviation Administration | ASA | 15,800 |
| 20.RD | Federal Highway Administration | UAA | 26,683 |
| 20.RD | Arizona Department of Transportation, Contract #'s JPA99166, JPA98156, JPA94109 | UAA | 412,685 |
| 20.RD | Arizona State University, Contract # 99161 | UAA | 69,137 |
| 20.RD | Governor's Office of Highway Safety, Contract # DTNH2299H27159 | UAA | 100,257 |
| 20.RD | CH2MHILL, Contract # 37133 | UAA | 58,449 |
| 20.RD | ERES Consultants, Contract # NAS contract HR 1-37A | ASA | 538,285 |
| 20.RD | Iowa State University, Contract #'s 97C001, 4362503010003 | ASA | 56,715 |
| 20.RD | National Academy of Sciences, Contract # DOT 7900-099 | ASA | 18,346 |
| 20.RD | National Academy of Sciences, Contract # NCHRP54 | UAA | 40,362 |
| 20.RD | Ohio State University Research Foundation, Contract # 739155 | UAA | 18,413 |
| 20.RD | SRI International, Contract # DTFA0398D00008 | ASA | 32,757 |
| 20.RD | State of Hawaii, Contract # STP-092-1(24) | ASA | 15,804 |
| 20.RD | University of Maryland, Contract # NAS contract NCHRP 9-19 | ASA | 774,785 |
| | Subtotal Department of Transportation | | <u>2,178,478</u> |
| | <u>Postal Service</u> | | |
| 22.RD | United States Postal Service | UAA | 3,487 |
| | <u>Office of Personnel Management</u> | | |
| 27.RD | Office of Personnel Management | ASA | 53,952 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|--------------|
| 39.RD | <u>General Services Administration</u> General Services Administration | ASA | 836,250 |
| | <u>National Aeronautics and Space Administration</u> | | |
| 43.RD | National Aeronautics and Space Administration | ASA | 3,660,103 |
| 43.RD | National Aeronautics and Space Administration | NAA | 191,621 |
| 43.RD | National Aeronautics and Space Administration | UAA | 2,856,577 |
| 43.RD | Ames Research Center | UAA | 361,899 |
| 43.RD | Goddard Space Flight Center | UAA | 12,181,779 |
| 43.RD | Jet Propulsion Laboratory | NAA | 153,082 |
| 43.RD | Johnson Space Center | UAA | 386,601 |
| 43.RD | Kennedy Space Center | UAA | 199,783 |
| 43.RD | Langley Research Center | UAA | 493,546 |
| 43.RD | Lewis Research Center | UAA | 138,912 |
| 43.RD | Marshall Space Flight Center | UAA | 2,832,108 |
| 43.RD | NASA Headquarters | UAA | 48,314 |
| 43.RD | Arizona State University, Contract #'s 00103SC, 98121SG | UAA | 56,820 |
| 43.RD | University of Arizona, Contract #'s JPL# 1203132 Mod 1, NAG58308 | ASA | 29,554 |
| 43.RD | University of Arizona, Contract #'s Y460920, POQ147170 | NAA | 107,619 |
| 43.RD | Advanced Ceramics Research Incorporated, Contract # 56795 | UAA | 33,099 |
| 43.RD | American College of Sports Medicine, Contract # LTR 05/15/99 | ASA | 2,379 |
| 43.RD | Aura, Inc., Contract # P15109B | UAA | 38,856 |
| 43.RD | Boeing Aerospace Company, Contract # S01593 | UAA | 17,794 |
| 43.RD | Carnegie Institution of Washington, Contract # NASW00002 | UAA | 11,676 |
| 43.RD | Composite Optics, Incorporated, Contract # 55365 | UAA | 89,333 |
| 43.RD | Dartmouth College, Contract # 536090 | UAA | 48,054 |
| 43.RD | George Washington University, Contract # 97S31 | UAA | 3,385 |
| 43.RD | Jet Propulsion Laboratory, Contract #'s 1206564-003, 1212089-002, 957624, 957624-075, 958509, 961396, NAS71407, NAS7918, Task Order No. 10057 | ASA | 6,841,227 |
| 43.RD | Jet Propulsion Laboratory, Contract #'s 957564, 958503, 958696, 959969, 960164, 960173, 960317, 960487, 960489, 960550, 960785, 960943, 961006, 961040, 961057, 961126, 961160, 961163, 961164, 961165, 961166, 961167, 961223, 961225, 961286, 961364, 961408, 961414, 961415, 961449, 961450, 961476, 961523, 961610, 961633, 961659, 961664, 1202790, 1203376, 1205220, 1205424, 1206279, 1206967, 1207213, 1207698, 1208566, 1208632, 1208673, 1211440, 1211447, 1213259, 1216369, 1217713 | UAA | 14,971,382 |
| 43.RD | Johns Hopkins University, Contract #'s 851263416, 782694, 779936, 890419443 | UAA | 305,976 |
| 43.RD | Lawrence Livermore Laboratory, Contract # B338658 | UAA | 27,481 |
| 43.RD | Lawrence Semiconductor Research Laboratory, Contract # NAS299007 | ASA | 613 |
| 43.RD | Lockheed Martin Corporation, Contract #'s SX01M4901R, SY01F0501R, C135021851 | UAA | 121,921 |
| 43.RD | Lockheed Missile and Space Company, Contract #'s HG30A1070R, SXCCM3601F | UAA | 12,891 |
| 43.RD | Lowell Observatory, Contract # 9847871513810 | UAA | 8,597 |
| 43.RD | Lunar Research Institute, Contract # 99102 | UAA | 19,477 |
| 43.RD | New Mexico State University, Contract #'s Q00444, Q00554 | UAA | 39,977 |
| 43.RD | McDonnell Douglas, Contract # NCCW0076 | ASA | 6,860 |
| 43.RD | Raytheon Company, Contract #'s 012100MJ7, F0470199R0018, 300623 | UAA | 282,447 |
| 43.RD | Science and Engineering Services, Contract # SCNASA29901 | UAA | 50,876 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|--------------|
| 43.RD | SETI Institute, Contract # 5659601 | ASA | 17,016 |
| 43.RD | SETI Institute, Contract # 9049901 | UAA | 19,078 |
| 43.RD | Smithsonian-Astrophysical Observatory, Contract # NAS839073 | ASA | 14,364 |
| 43.RD | Southwest Research Institute, Contract #'s 83818, 83819, X99253Q | UAA | 615,058 |
| 43.RD | Space Telescope Science Institute, Contract #'s GO074590296A, GO078310196A, NAS526555 | ASA | 334,387 |
| 43.RD | Space Telescope Science Institute, Contract #'s AR079730196A, AR083700197A, G0059280194A, GO054630293A, GO064440295A, GO065920195A, GO066040495A, GO066230395A, GO066520195A, GO067190195A, GO067300295A, GO067820195A, GO067930195A, GO068880195A, GO072960196A, GO073210196A, GO073610196A, GO073650296A, GO073970196A, GO074030496A, GO074170196A, GO074430196A, GO074480296A, GO074650296A, GO074950296A, GO078220196A, GO078220296A, GO078230196A, GO078420196A, GO078540196A, GO078680196A, GO078690196A, GO078840196A, GO078850296A, GO078860196A, GO078870296A, GO081690497A, GO081760197A, GO081790197A, GO081810197A, GO082660197A, GO082670497A, GO082680197A, GO082850297A, GO082950297A, GO083290197A, GO22270687A, HF010720194A, HF010980197A, HF011060198A, HF011100198A | UAA | 753,288 |
| 43.RD | Swales & Associates, Incorporated, Contract #'s 98839, 31595, 34581 | UAA | 110,354 |
| 43.RD | Universities Space Research Association, Contract #'s 7600015, 760036, 341300108 | UAA | 223,783 |
| 43.RD | University of California, Los Angeles, Contract # 1203132 | ASA | 67,535 |
| 43.RD | University of California, Los Angeles, Contract #'s 0995G9C263, 0995G9C245, 0145G8C365 | UAA | 1,430,610 |
| 43.RD | University of California, Santa Barbara, Contract # KK8014 | UAA | 83,515 |
| 43.RD | University of Maryland, Contract # Z624502 | UAA | 5,387 |
| 43.RD | University of Washington, Contract # 887480 | UAA | 25,000 |
| | Subtotal National Aeronautics and Space Administration | | 50,331,994 |
| | <u>National Foundation on the Arts and the Humanities</u> | | |
| 45.RD | Institute of Museum and Library Services | UAA | 1,654 |
| 45.RD | National Endowment for the Humanities | ASA | 49,743 |
| 45.RD | Arizona Department of Library, Archives and Public Records, Contract # 981123 | UAA | 14,304 |
| | Subtotal National Foundation on the Arts and the Humanities | | 65,701 |
| | <u>National Science Foundation</u> | | |
| 47.RD | National Science Foundation | ASA | 14,456,515 |
| 47.RD | National Science Foundation | NAA | 1,794,185 |
| 47.RD | National Science Foundation | UAA | 29,069,655 |
| 47.RD | Arizona State University, Contract #'s KMD52701120V98UR007, 112, KMD52701118F97UR026, KMD52701120V98UR019, KMD52701120V98UR022, KMD52701121F98UR003, KMD52701121F98UR005, KMD52701121F98UR009, KMD52701121F98UR019, KMD52701121F98UR024, KMD52701121F98UR034, KMD52701122S99UR007, KMD52701122S99UR008, KMD52701122S99UR014, KMD52701122S99UR019, KMD52701122S99UR026, KMD52701123V99UR006, KMD52701123V99UR007, KMD52701124F99UR005, KMD52701124F99UR016 | UAA | 26,722 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|------------------------------------|--|---------------------------------|---------------------|
| 47.RD | University of Arizona, Contract #'s EAR9876800, EEC9528813, EEC9815075, PO P432551 | ASA | 219,173 |
| 47.RD | University of Arizona, Contract # Y542104 | NAA | 10,642 |
| 47.RD | Advanced Ceramics Research, Contract # DMI9860715 | ASA | 8,852 |
| 47.RD | American Geophysical Union, Contract # DUE9555205 | UAA | 36,738 |
| 47.RD | American Mathematical Society, Contract # DUE9813876 | ASA | 1,373 |
| 47.RD | Aura, Inc., Contract #'s P34418B, GF100198, 9414257GEM00180, 9414257GEM00209, P15132N | UAA | 143,118 |
| 47.RD | California Polytechnic State University, Contract # 51188166 | ASA | 41,760 |
| 47.RD | Carnegie Mellon University, Contract # CCR-9900304 | ASA | 15,446 |
| 47.RD | Carnegie Mellon University, Contract # 54227955123 | UAA | 56,643 |
| 47.RD | Cornell University, Contract # 301046191 | UAA | 21,725 |
| 47.RD | Highland University, Contract # PO93272 | NAA | 956 |
| 47.RD | Intelligent Auto, Contract # CK5841 | ASA | 5,647 |
| 47.RD | Kansas State University, Contract # IBN9636851-013 | ASA | 12,633 |
| 47.RD | Lawrence Semiconductor Research Lab, Contract # DMI9961397 | ASA | 5,058 |
| 47.RD | Lehigh University, Contract # DMR9972670 | ASA | 27,323 |
| 47.RD | Maricopa Community College, Contract #'s ESI9632604, HRD9555733, Subgrant Agreement 6/4/97 | ASA | 66,269 |
| 47.RD | Michigan Technological University, Contract # BES9727651 | ASA | 25,319 |
| 47.RD | New Mexico State University, Contract # Q00406 | UAA | 25,664 |
| 47.RD | Northern Arizona University, Contract # IBN9809942 | ASA | 2,865 |
| 47.RD | Northwestern University, Contract # REC9806020 | ASA | 154,237 |
| 47.RD | NP Phototonic Technologies LLC, Contract #'s NP1002P0005, NP1001M0079 | UAA | 13,710 |
| 47.RD | Ohio State University Research Foundation, Contract # OSURF97L7348850 | ASA | 12,221 |
| 47.RD | Oregon State University, Contract # OCE9520956 | ASA | 20,009 |
| 47.RD | Pennsylvania State University, Contract # DMS9706951 | ASA | 124 |
| 47.RD | Pennsylvania State University, Contract #'s 1531UANSF9714575, NSFTPSUUA9521952 | UAA | 26,072 |
| 47.RD | Pima Community College, Contract # DUE9602368 | UAA | 55,300 |
| 47.RD | Rutgers University, Contract # 9713003 | ASA | 16,024 |
| 47.RD | San Diego State University, Contract # 5225728501SEA | UAA | 15,465 |
| 47.RD | Sandia National Laboratories, Contract # 4293 | UAA | 14,161 |
| 47.RD | Southern Illinois University, Contract # CCR0096119 | UAA | 6,320 |
| 47.RD | Stanford University, Contract # PR0833 | UAA | 363,001 |
| 47.RD | Texas A & M Research Foundation, Contract #'s USSSP229180F000815, USSSP229180F000898, USSSP229183F001003 | UAA | 43,000 |
| 47.RD | Universidad De Sonora, Contract # ATM9209181 | UAA | 12,112 |
| 47.RD | University Corporation for Atmospheric Research, Contract # S9679892 | UAA | 49,326 |
| 47.RD | University of Arkansas, Contract # 624576 | UAA | 1,834 |
| 47.RD | University of Florida, Contract # UF99094 | UAA | 74,874 |
| 47.RD | University of Illinois, Contract # 00-156 | NAA | 148,594 |
| 47.RD | University of Illinois, Contract # 95124 | UAA | 11,492 |
| 47.RD | University of Illinois at Urbana-Champaign, Contract # SUBAWARD752-002 | ASA | 70,884 |
| 47.RD | University of Kansas, Contract # F496209810400 | ASA | 39,240 |
| 47.RD | University of Minnesota, Contract # DMS9701653 | ASA | 20,082 |
| 47.RD | University of Minnesota, Contract # V5286044131 | UAA | 20,746 |
| 47.RD | University of Missouri, Contract # DBI9975808UAC532798 | UAA | 160,077 |
| 47.RD | University of New Mexico, Contract # 9850310 | ASA | 68,807 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|-------------------|
| 47.RD | University of Pittsburgh, Contract # 101163 | UAA | 9,746 |
| 47.RD | University of Texas at Austin, Contract # 9514331 | ASA | 2,537 |
| 47.RD | University of Wisconsin, Contract # DBI9804155 | UAA | 24,243 |
| 47.RD | University of Washington, Contract # 580769 | UAA | 40,072 |
| 47.RD | Woods Hole Oceanographic Institution, Contract # OCE9973212 | ASA | 4,068 |
| | Subtotal National Science Foundation | | <u>47,572,659</u> |
| | <u>Smithsonian Institution</u> | | |
| 60.RD | Smithsonian Astrophysical Observatory | UAA | 476,500 |
| 60.RD | Smithsonian Institution | UAA | 657,983 |
| | Subtotal Smithsonian Institution | | <u>1,134,483</u> |
| | <u>Department of Veterans Affairs</u> | | |
| 64.RD | Department of Veterans Affairs | UAA | 120,355 |
| 64.RD | Biomedical Research Foundation of Southern Arizona, Contract # CK6756 | UAA | 38,436 |
| | Subtotal Department of Veterans Affairs | | <u>158,791</u> |
| | <u>Environmental Protection Agency</u> | | |
| 66.RD | Environmental Protection Agency | NAA | 28,787 |
| 66.RD | Environmental Protection Agency | UAA | 1,880,782 |
| 66.RD | Office of Administration | ASA | 468,399 |
| 66.RD | Office of Research and Development | ASA | 262,132 |
| 66.RD | Office of Research and Development | NAA | 76,979 |
| 66.RD | Arizona Department of Environmental Quality, Contract #'s 98-0040, 95-0123 | NAA | 206,639 |
| 66.RD | Arizona Department of Environmental Quality, Contract #'s 970045, 980045, 970063 | UAA | 9,237 |
| 66.RD | Arizona State University, Contract # 99115SG | UAA | 99,673 |
| 66.RD | Arizona Structural Pest Control Commission, Contract # E00941799 | UAA | 6,083 |
| 66.RD | University of Arizona, Contract # R827150-01-0 | ASA | 96,501 |
| 66.RD | Naco Fire District, Contract # BP989066-01-0 | ASA | 51,180 |
| 66.RD | National Environmental Education & Training Foundation, Contract # 9918W | UAA | 14,191 |
| 66.RD | New Mexico Environmental Department, Contract # 99667-5000-0013 | NAA | 6,743 |
| 66.RD | New Mexico State University, Contract # CR826386010 | ASA | 5,990 |
| 66.RD | Pima County Department of Environmental Quality, Contract # 1151U1259380699 | UAA | 91,498 |
| 66.RD | Pima County Wastewater Management Department, Contract # 0703U1235671097 | UAA | 139,783 |
| 66.RD | San Diego State University Foundation, Contract #'s CR82386-01-0, CR826386-01-0, CR826389-01-0, CR856386-01-0, CX825457-01-0, CX827370-01-0 | ASA | 182,421 |
| 66.RD | University of Alabama, Contract # CX824933-01-3 | NAA | 4,184 |
| 66.RD | University of Nevada, Reno, Contract # R825289 | ASA | 2,522 |
| 66.RD | University of Texas at El Paso, Contract #'s 981285, CX 827370-01-0 | ASA | 269,625 |
| | Subtotal Environmental Protection Agency | | <u>3,903,349</u> |
| | <u>Nuclear Regulatory Commission</u> | | |
| 77.RD | Nuclear Regulatory Commission | UAA | 559,636 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|------------------|
| | <u>Department of Energy</u> | | |
| 81.RD | Department of Energy | ASA | 1,480,268 |
| 81.RD | Department of Energy | NAA | 272,593 |
| 81.RD | Department of Energy | UAA | 3,756,293 |
| 81.RD | Arizona Department of Commerce, Contract # 19898 | UAA | 7,292 |
| 81.RD | Battelle Memorial Institute, Contract # 401592A9E | UAA | 174,345 |
| 81.RD | Brookhaven National Laboratory, Contract # 4054 | UAA | 100,945 |
| 81.RD | Clark Atlanta University, Contract # OSP-90-10-668-013 | NAA | 31,005 |
| 81.RD | Clark Atlanta University, Contract # OSP-90-10-668-013 No.23 | NAA | 47,945 |
| 81.RD | Clemson University, Contract # DEFC2192MC29061 | ASA | 39,065 |
| 81.RD | Electric Power Research Institute (EPRI), Contract # WO722201-001 | ASA | 46,112 |
| 81.RD | Elizabeth City State University, Contract #'s 1999200055272219903, DEFG397ER25340 | ASA | 119,120 |
| 81.RD | Fermi National Accelerator Lab, Contract #'s B85060, 513061, 519045, 521692 | UAA | 420,850 |
| 81.RD | Lawrence Berkeley Laboratory, Contract # 6496612 | UAA | 46,465 |
| 81.RD | Lawrence Livermore National Laboratory, Contract #'s 98218C, W7405ENG48 | ASA | 65,119 |
| 81.RD | Lawrence Livermore National Laboratory, Contract #'s B506252, B333188 | UAA | 26,669 |
| 81.RD | Lockheed Idaho Technologies Company, Contract # K97176228TO001 | UAA | 106,124 |
| 81.RD | Los Alamos National Laboratory, Contract #'s C754500183C, 07574001992G, 683CB001997 | UAA | 65,301 |
| 81.RD | Mactec Environmental Restoration Services, Contract #'s 22383, 21808, 20926, 22535, 22322 | UAA | 154,484 |
| 81.RD | Massachusetts Institute of Technology, Contract # DEFC0294ER40818 | ASA | 13,444 |
| 81.RD | National Renewable Energy Lab, Contract #'s DEAC3683CH10093, DEAC3698GO10337, DEAC3699GO10337 | ASA | 124,822 |
| 81.RD | Pacific Northwest National Lab, Contract # 296696-A-N4 | NAA | 101,214 |
| 81.RD | Physical Sciences, Inc., Contract # SC115371245 | UAA | 69,269 |
| 81.RD | Praxair, Incorporated, Contract # DEFT2600NT40756 | UAA | 1,528 |
| 81.RD | Sandia National Laboratory, Contract #'s 9177, AO349, BF4618, BG2581, DEAC0494AL85000, DEAC04AL85000, LF-9877 | ASA | 130,299 |
| 81.RD | Sandia National Laboratory, Contract #'s A03342704, A03343786, A0334BA0460, A0334BE5297, A0334BE7087, A0334BF1809, AS7012, AV0655 | UAA | 356,519 |
| 81.RD | Southern Universities Research Association, Inc., Contract # Fellowship | UAA | 6,489 |
| 81.RD | University City Science Center, Contract # DEFC0197EE41319 | ASA | 3,704 |
| 81.RD | University of California, Davis, Contract # DEFC0390ER61010 | ASA | 34,886 |
| 81.RD | University of Chicago, Contract # 15285B341495 | UAA | 55,360 |
| 81.RD | University of Illinois, Chicago, Contract # F35660019-97 | NAA | 99,015 |
| 81.RD | University of New Mexico, Contract # 3151417810 | UAA | 13,626 |
| 81.RD | University of Utah, Contract # 98-E-18 | NAA | 112,579 |
| 81.RD | University of Wisconsin, Contract # A019493 | UAA | 22,581 |
| | Subtotal Department of Energy | | <u>8,105,330</u> |
| | <u>United States Information Agency</u> | | |
| 82.RD | United States Information Agency | UAA | 18,373 |
| | <u>Department of Education</u> | | |
| 84.RD | Department of Education | ASA | 457,191 |
| 84.RD | Department of Education | NAA | 635,354 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|--------------|
| 84.RD | Department of Education | UAA | 133,826 |
| 84.RD | Arizona Board of Regents, Contract's # 990000-02, 1997-IKE Grants | NAA | 43,783 |
| 84.RD | Arizona Department of Economic Security, Contract # E5320022 | UAA | 3,599 |
| 84.RD | Arizona Department of Education, Contract # 379-003 | ASA | 102,794 |
| 84.RD | Clark Atlanta University, Contract # OSP-90-10-668-013, Mod#25 | NAA | 24,429 |
| 84.RD | Eastern Washington University, Contract # LTR 09/22/98 | ASA | 3,359 |
| 84.RD | Emory Riddle Aeronautical University, Contract # P116D990161 | ASA | 24,325 |
| 84.RD | San Diego State University Foundation, Contract # 5222598504DIF | UAA | 834 |
| 84.RD | University of California, Santa Cruz, Contract # SC96243D | UAA | 76,944 |
| 84.RD | University of Illinois, Chicago, Contract # H133A60051 | NAA | 11,628 |
| 84.RD | University of Virginia, Contract # P342A990068 | ASA | 4,924 |
| | Subtotal Department of Education | | 1,522,990 |
| | <u>Consumer Product Safety Commission</u> | | |
| 87.RD | Consumer Product Safety Commission | UAA | 58,725 |
| | <u>National Archives and Records Administration</u> | | |
| 89.RD | National Archives and Records Administration | UAA | 56,366 |
| | <u>Department of Health and Human Services</u> | | |
| 93.RD | Administration for Children and Families | ASA | 158,517 |
| 93.RD | Administration for Children and Families | NAA | 11,535 |
| 93.RD | Administration for Children and Families | UAA | 35,923 |
| 93.RD | Agency for Health Care Policy and Research | UAA | 218,870 |
| 93.RD | Centers for Disease Control and Prevention | UAA | 605,230 |
| 93.RD | Department of Health and Human Services | UAA | 947,114 |
| 93.RD | Fogarty International Fellowships | UAA | 671,104 |
| 93.RD | Health Care Financing Administration | ASA | 118,984 |
| 93.RD | Health Care Financing Administration | UAA | 61,140 |
| 93.RD | Health Resources & Services Administration | UAA | 283,483 |
| 93.RD | National Cancer Institute | UAA | 16,275,321 |
| 93.RD | National Center for Complementary & Alternative Medicine | UAA | 4,069 |
| 93.RD | National Center for Research Resources | UAA | 1,001,379 |
| 93.RD | National Eye Institute | UAA | 771,079 |
| 93.RD | National Heart, Lung, & Blood Institute | UAA | 5,695,628 |
| 93.RD | National Institute of Allergy & Infectious Diseases | UAA | 3,034,693 |
| 93.RD | National Institute of Arthritis and Musculoskeletal and Skin Diseases | UAA | 703,016 |
| 93.RD | National Institute of Child Health and Human Development | UAA | 1,233,502 |
| 93.RD | National Institute of Diabetes and Digestive and Kidney Diseases | UAA | 2,133,395 |
| 93.RD | National Institute of Environmental Health Sciences | UAA | 4,488,627 |
| 93.RD | National Institute of General Medical Services | UAA | 4,268,549 |
| 93.RD | National Institute of Mental Health | UAA | 1,699,900 |
| 93.RD | National Institute of Neurological Disorders and Stroke | UAA | 2,256,876 |
| 93.RD | National Institute of Nursing Research | UAA | 199,434 |
| 93.RD | National Institute of Occupational Safety and Health | UAA | 44,549 |
| 93.RD | National Institute on Aging | UAA | 1,772,349 |
| 93.RD | National Institute on Alcohol Abuse and Alcoholism | UAA | 504,958 |
| 93.RD | National Institute on Deafness and Other Communication | UAA | 2,084,480 |
| 93.RD | National Institute on Drug Abuse | UAA | 2,609,075 |
| 93.RD | National Institute of Health | ASA | 8,246,758 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|---|-------------------------|--------------|
| 93.RD | National Institute of Health | NAA | 1,135,556 |
| 93.RD | National Institute of Health | UAA | 12,838,588 |
| 93.RD | National Library of Medicine | UAA | 43,831 |
| 93.RD | Office of the Secretary | ASA | 9,150 |
| 93.RD | Public Health Service | UAA | 1,021,520 |
| 93.RD | Substance Abuse/Mental Health Services | UAA | 132,262 |
| 93.RD | Arizona Department of Economic Security, Contract # E6209023 | UAA | 44,153 |
| 93.RD | Arizona Department of Health Services, Contract # 861032 | NAA | 285 |
| 93.RD | Arizona Department of Health Services, Contract #'s 832005, 832016, 932012, 932019, 32029 | UAA | 868,924 |
| 93.RD | Arizona State University, Contract #'s R01AR41687, 98246SG, 99145 | UAA | 24,092 |
| 93.RD | AMC Cancer Research Center, Contract # 7004621 | UAA | 190,435 |
| 93.RD | American College of Obstetricians and Gynecology, Contract # U01HS09506 | UAA | 665 |
| 93.RD | American Health Foundation, Contract # R01CA45504 | UAA | 128,768 |
| 93.RD | Arkansas Children's Hospital Research Institute, Inc., Contract # 034225 | UAA | 25,441 |
| 93.RD | Association of Teachers of Preventive Medicine, Contract #'s TS2921414, TS3521515 | UAA | 149,848 |
| 93.RD | Bioforce Laboratory, Inc., Contract # 98106SGMOD2 | ASA | 61,741 |
| 93.RD | Claremont Graduate University, Contract # R01DA12578 | UAA | 212,182 |
| 93.RD | Codac Behavioral Health Services of Pima County, Contract # KD1TI11422 | UAA | 336,426 |
| 93.RD | Community Partnership of Southern Arizona, Contract # L9902 | UAA | 30,859 |
| 93.RD | Duke University, Contract # DS334 | UAA | 55,700 |
| 93.RD | Emory University, Contract # R01NS36643 | UAA | 1,130 |
| 93.RD | Empact-SPC, Contract # CK005712 | UAA | 167,249 |
| 93.RD | Family Health International, Contract # N01HD732715009 | UAA | 128,196 |
| 93.RD | George Washington University, Contract # 678 | UAA | 2,737 |
| 93.RD | Gynecologic Oncology Group Administrative Office, Contract #'s U10CA27469, GOG167 | UAA | 216,643 |
| 93.RD | Indiana University, Contract # 5R01HD1344917 | ASA | 1,072 |
| 93.RD | Indiana University, Contract # R01AR43348 | UAA | 120,817 |
| 93.RD | Indiana University-School of Medicine, Contract # R03MH60825 | ASA | 1,577 |
| 93.RD | JAEB Center for Health Research Inc., Contract # U10EY11751 | UAA | 2,800 |
| 93.RD | Johns Hopkins University, Contract # DA1179601A1 | ASA | 5,992 |
| 93.RD | Johns Hopkins University, Contract #'s 8410274727, 890100791X | UAA | 41,398 |
| 93.RD | Lankenau Medical Research Center, Contract # R02ES09899 | UAA | 15,258 |
| 93.RD | Marine Biological Laboratory, Contract #'s 990956408, 9564011 | UAA | 125,668 |
| 93.RD | Massachusetts General Hospital, Contract # N01MH80001 | UAA | 33,883 |
| 93.RD | McMaster University, Contract # RO1HD37448-01 | ASA | 41,647 |
| 93.RD | Mount Sinai Hospital, Contract # G53781 | UAA | 26,083 |
| 93.RD | NSABP Foundation, Incorporated, Contract #'s ARZ01, ARZ01P1, ARZ01P2 | UAA | 126,382 |
| 93.RD | Oregon Health Sciences University, Contract # 1R01DA1201801A1 | ASA | 61,879 |
| 93.RD | Oregon Health Sciences University, Contract # 8401236A | UAA | 5,352 |
| 93.RD | Oregon Research Institute, Contract # R01DA09678 | ASA | 12,783 |
| 93.RD | Pasqua Yaqui Tribe, Contract # CK33487 | UAA | 5,278 |
| 93.RD | Pediatric Oncology Group Operations Office, Contract # 0600370C347UAZX | UAA | 10,565 |
| 93.RD | Pennsylvania State University, Contract # 2R01DA05629 | ASA | 351,277 |
| 93.RD | Scientific Monitoring, Inc., Contract # PHS 98-2 NIMH 1.B.2 | ASA | 16,402 |
| 93.RD | Southwest Oncology Group, Contract #'s 5U10CA3210219, CCOP98003, CCOP98012, CCOP99000, SELENIUM99005, SWOG00006, SWOG00017, SWOG00018, SWOG99006, SWOG99017, SWOG99018, SWOG99066 | UAA | 408,378 |

See accompanying notes to schedule.

STATE OF ARIZONA
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2000

| CFDA/Identifying Number | Federal Grantor/Program Title/Pass-Through Grantor Reference | Grantee (Appendix B) | Expenditures |
|----------------------------|--|-------------------------|--------------------------------|
| 93.RD | St. Joseph's Hospital and Medical Center, Contract # 1R01NS34437-03 | ASA | 6,632 |
| 93.RD | Statistics & Epidemiology Corporation, Contract #'s 50018, 8888202 | UAA | 43,620 |
| 93.RD | Superior Court of Arizona in Maricopa County, Contract #'s LTE 01/08/96, LTR 01/08/96 | ASA | 190,339 |
| 93.RD | Thomas Jefferson University, Contract # 08006000R06305 | UAA | 24,470 |
| 93.RD | TRW, Contract # 48615CDV9S | UAA | 32,480 |
| 93.RD | University of Alabama, Contract # N01AI65296 | UAA | 4,491 |
| 93.RD | University of California, Irvine, Contract #'s B97N01CN750191, D98NS26862,DB97CA72294AZ, F99CA81886UA | UAA | 179,010 |
| 93.RD | University of California, Los Angeles, Contract #'s 1564GAB724, 1430GAB801 | UAA | 134,827 |
| 93.RD | University of California, San Diego, Contract #'s 10154581, 10153814, 450019, 450011 | UAA | 212,435 |
| 93.RD | University of Colorado, Contract #'s U01CA74799, P30AG15292 | UAA | 270,644 |
| 93.RD | University of Denver, Contract # 119H10 | UAA | 17,622 |
| 93.RD | University of Kentucky, Contract # 4-61397-98-55 | NAA | 14,240 |
| 93.RD | University of Nebraska, Contract #'s R01NS34239, P01NS31492 | UAA | 36,007 |
| 93.RD | University of North Carolina, Contract # 533182 | UAA | 55,157 |
| 93.RD | University of Oklahoma, Contract # 5R01-DK47920-05 | ASA | 9,236 |
| 93.RD | University of Oklahoma, Contract # 199903 | UAA | 49,568 |
| 93.RD | University of Southern California, Contract # H16901 | UAA | 14,998 |
| 93.RD | University of Texas, Contract #'s UTA00031, GALLH320, P01AI46029, GAHK646116 | UAA | 73,483 |
| 93.RD | University of Utah, Contract # 99030621 | UAA | 96,124 |
| 93.RD | University of Wisconsin, Contract # L356860144GX17 | UAA | 6,983 |
| 93.RD | Veterans Medical Research Foundation, Contract # P01AI37232 | UAA | 66,938 |
| 93.RD | Wake Forest University, Contract #'s NS34447, N01HC85080 | UAA | 50,075 |
| 93.RD | Washington State University, Contract # G00090391564 | UAA | 2,820 |
| 93.RD | Wayne State University, Contract #'s WSU96070, WSU98060 | UAA | 200,397 |
| | Subtotal Department of Health and Human Services | | <u>83,173,005</u> |
| | <u>Miscellaneous Federal Agencies</u> | | |
| 99.RD | National Reconnaissance Office | UAA | 168,791 |
| 99.RD | Classified Federal Agencies | UAA | <u>12,007</u> |
| | Subtotal Miscellaneous Federal Agencies | | <u>180,798</u> |
| | Total Research and Development Cluster | | <u>\$ 245,272,114</u> |
| | Total Expenditures of Federal Awards | | <u><u>\$ 4,212,925,110</u></u> |

See accompanying notes to schedule.

STATE OF ARIZONA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2000

Note 1 – Significant Accounting Policies

Basis of Presentation—The information in the schedule is presented in accordance with the requirements of OMB Circular A-133.

Reporting Entity—The schedule includes all federal awards activity administered by the State of Arizona.

Basis of Accounting—The federal awards reported in the schedule were presented in the State's governmental, proprietary, fiduciary, and university fund types, and discretely presented component units on the general purpose financial statements of the State of Arizona for the year ended June 30, 2000; and were accounted for using the modified accrual and full accrual basis of accounting, as applicable, in conformity with generally accepted accounting principles.

Expenditures—Certain transactions relating to expenditures of federal awards may appear in the records of more than one state grantee agency. To avoid duplication and the overstatement of the aggregate level of federal awards expended by the State of Arizona, the following policies have been adopted:

1. When monies are received by one state grantee agency and distributed to another state grantee agency, the federal monies are reported in the accounts of the state grantee agency that expends the monies.
2. Purchases of services between state grantee agencies using federal monies are recorded as expenditures on the purchasing agency's records and as revenues for services rendered on the providing agency's records. Therefore, the receipt of federal awards is attributed to the purchasing agency, which is the primary receiving/expending state grantee agency.

Note 2 – Catalog of Federal Domestic Assistance (CFDA) Numbers

The program titles and CFDA numbers were obtained from the federal or pass-through grantor or the *Update to the 2000 Catalog of Federal Domestic Assistance*. When a CFDA number was nonexistent or unknown, the first two digits applicable to the federal agency, if known, were used; followed by the federal contract number to identify the program. If the federal contract number was also unknown, the identifying number was composed of the first two digits applicable to the federal agency followed by the word "unknown."

STATE OF ARIZONA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2000

Note 3 – Research and Development Cluster

As provided by OMB Circular A-133, the research and development cluster of programs is summarized by federal agency subdivision or pass-through entity.

Note 4 – Pass-through Entity Identifying Numbers

For federal awards received by the State from a pass-through entity, the pass-through entity identifying number is included, if applicable, immediately following the pass-through entity's name. Pass-through entities are italicized.

Note 5 – Loan and Loan Guarantee Programs

Only administrative costs incurred for loan and loan guarantee programs are included in the schedule. However, OMB Circular A-133, Subpart B, §205(b) requires that the current year administrative costs and loan distributions, and the balances of the previous year's outstanding student loans and loan guarantees, also be included in these programs when determining federal awards expended for single audit purposes. Further, Subpart B, §310(b)(6) requires that loans or loan guarantees outstanding at year-end be included in either the schedule or a note to the schedule. Loans and loan guarantees outstanding at June 30, 2000, are described below.

Student Loan Programs

The universities administer the following three federal student loan programs. The current-year loan distributions and the balances of loans outstanding at year-end are shown below.

| Loan Program | CFDA Number | Loans Distributed During the Year | Loan Balances Outstanding at June 30, 2000 |
|--|------------------------|--|---|
| Federal Perkins Loan Program-Federal Capital Contributions | 84.038 | \$5,157,113 | \$30,281,669 |
| Health Professions Student Loans | 93.342 | 292,395 | 2,010,738 |
| Nursing Student Loans | 93.364 | 171,000 | 972,847 |

In addition, the universities distribute loans from two federal loan programs that are administered by independent third parties. During the year ended June 30, 2000, the universities distributed \$87,455,068 of Federal Family Education Loans (CFDA number 84.032) and \$163,849,302 of Federal Direct Loans (CFDA number 84.268).

STATE OF ARIZONA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2000

Loan Guarantee Programs

The Water Infrastructure Financing Authority (WIFA), a discretely presented component unit on the State's fiscal year 1999-00 general purpose financial statements, has entered into capitalization grant agreements with the U.S. Environmental Protection Agency. During fiscal year 1999-00, the WIFA received capitalization grant monies totaling \$5,465,890 from the Capitalization Grants for State Revolving Funds (CFDA number 66.458) program and \$11,177,184 from the Capitalization Grants for Drinking Water State Revolving Fund (CFDA number 66.468) program, for deposit into their revolving loan guarantee funds. Further, the balances of the federal guarantee portion of the loans outstanding for these capitalization grants were \$105,865,189 and \$17,086,257, respectively, at June 30, 2000.

Note 6 – Food Commodities

Food commodities received by the State are disbursed through distributing agencies. Such commodities are included in the definition of federal awards when distributed and accordingly, are included in the amounts presented on the schedule. The State's food commodity distributions totaled \$19,397,617 and the amounts, by CFDA number, are presented as follows.

| <u>CFDA No.</u> | <u>Program</u> | <u>Amount</u> |
|-----------------|---|---------------|
| 10.550 | Food Distribution | \$ 414,279 |
| 10.555 | National School Lunch Program | 11,251,880 |
| 10.559 | Summer Food Service Program for Children | 18,170 |
| 10.565 | Commodity Supplemental Food Program | 3,173,664 |
| 10.569 | Emergency Food Assistance Program (Food Commodities) | 2,897,862 |
| 10.570 | Nutrition Program for the Elderly (Commodities) | 1,641,762 |

Note 7 – Donation of Federal Surplus Personal Property

The value of the Donation of Federal Surplus Personal Property program (CFDA number 39.003) reported on the schedule is based on a "market basket formula" developed by the U.S. General Services Administration. This "market basket formula" represents 23.3 percent of the original federal acquisition cost, totaling \$1,921,610 for the year ended June 30, 2000.

Note 8 – Immunization Grants

The Immunization Grants (CFDA number 93.268) expenditures of \$15,170,579 include \$12,287,126 worth of vaccines. Vaccines administered by the Department of Health Services are included in the definition of federal awards and accordingly, are included in the amount presented on the schedule.

STATE OF ARIZONA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2000

Note 9 – Unemployment Insurance (CFDA No. 17.225)

The unemployment compensation system is a unique federal-state partnership, founded upon federal law but implemented through state law. As prescribed by the U.S. Department of Labor in consultation with the Office of Management and Budget, certain state monies, in addition to federal monies, were considered federal awards for determining type A programs, and were included in the Schedule of Expenditures of Federal Awards.

The amount presented on the schedule is comprised of the following:

| | |
|--|-----------------------------|
| Regular Unemployment Compensation Benefits | \$175,760,710 |
| Unemployment Compensation for Federal Employees | 3,812,975 |
| Unemployment Compensation for Ex-Service Members | 924,773 |
| Administrative Costs | <u>30,840,384</u> |
| Total expenditures | <u><u>\$211,338,842</u></u> |

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

Summary of Auditors' Results

Financial Statements

| | | | |
|--|-------------|-----------|--|
| Type of auditors' report issued: | Unqualified | | |
| | YES | NO | |
| Material weaknesses identified in internal control over financial reporting? | ___ | <u>X</u> | |
| Reportable conditions identified not considered to be material weaknesses? | <u>X</u> | ___ | |
| Noncompliance material to the financial statements noted? | ___ | <u>X</u> | |

Federal Awards

| | | | |
|--|----------|-----|-----------|
| Material weaknesses identified in internal control over major programs? | <u>X</u> | ___ | |
| Reportable conditions identified not considered to be material weaknesses? | <u>X</u> | ___ | |
| Type of auditors' report issued on compliance for major programs: | | | Qualified |
| Any audit findings disclosed that are required to be reported in accordance with Circular A-133 (section .510[a])? | <u>X</u> | ___ | |

Identification of major programs:

| <u>CFDA Number(s)</u> | <u>Name of Federal Program or Cluster</u> |
|---|---|
| 10.551/10.561 | Food Stamp Cluster |
| 10.553/10.555/ 10.556/10.559 | Child Nutrition Cluster |
| 10.557 | Special Supplemental Nutrition Program for Women, Infants, and Children |
| 10.558 | Child and Adult Care Food Program |
| 12.401 | National Guard Military Operations and Maintenance (O&M) Projects |
| 17.207/17.801/ 17.804 | Employment Services Cluster |
| 17.246/17.250 | Job Training Partnership Act Cluster |
| 17.235 | Senior Community Service Employment Program |
| 20.205 | Highway Planning and Construction |
| 83.548 | Hazard Mitigation Grant |
| 84.007/84.032/84.033/ 84.038/84.063/84.268/ 93.342/93.364/93.820/ 93.925 | Student Financial Aid Cluster |
| 84.027/84.173 | Special Education Cluster |
| 84.010 | Title I Grants to Local Educational Agencies |

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

| <u>CFDA Number(s)</u> | <u>Name of Federal Program or Cluster</u> |
|-----------------------|--|
| 84.048 | Vocational Education—Basic Grants to States |
| 84.213 | Even Start—State Educational Agencies |
| 84.243 | Tech-Prep Education |
| 84.276 | Goals 2000—State and Local Education Systemic Improvement Grants |
| 84.332 | Comprehensive School Reform Demonstration |
| 84.340 | Class Size Reduction |
| 93.558 | Temporary Assistance for Needy Families |
| 93.659 | Adoption Assistance |
| 93.767 | State Children's Insurance Program |
| 93.779 | Health Care Financing Research, Demonstrations and Evaluations |
| 93.919 | Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs |
| 93.959 | Block Grants for Prevention and Treatment of Substance Abuse |
| R&D | Research and Development Cluster |

Dollar threshold used to distinguish between Type A and Type B programs: \$13,861,339

YES NO

Auditee qualified as low-risk auditee?

 X

Other Matters

Auditee's summary schedule of prior audit findings required to be reported in accordance with Circular A-133 (section .315[b])?

 X

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

Financial Statement Findings

00-01

The State's Information Services Division has not completed a Disaster Recovery Plan

The State's Information Services Division operates the State's computer system. Should that system fail, the State would be unable to process critical transactions necessary to ensure its daily operations. However, the Division has only initiated a project to develop a disaster recovery plan, called a business continuity plan, for all its core information systems. As of the 2000 fiscal year-end, the Division had no formal plan for processing critical jobs in the event of a major hardware, software, or telecommunications failure. This is similar to a prior-year finding that has not been corrected.

To help ensure that critical jobs can be processed in the event of a major hardware, software, or telecommunications failure, the Information Services Division should complete its business continuity plan as soon as possible. The plan should include a formal equipment backup agreement that contains the following requirements:

- Machine time availability
- Application-processing priorities
- Information exchanges regarding equipment configuration/system software changes
- Testing procedures
- Cost
- Voice and data communication recovery

A formal backup agreement could be costly to the State; therefore, the Information Services Division should evaluate the cost/benefit and feasibility of entering into a consortium with other states that have similar accounting systems to negotiate a formal equipment backup agreement with an outside vendor. This could help to reduce the State's overall cost.

Finally, a copy of the business continuity plan should be maintained at a secure off-site location and updated when changes occur.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

Federal Award Findings and Questioned Costs

00-101

CFDA No. 84.010 Title I Grants to Local Educational Agencies

U.S. Department of Education

Award Period/Number: July 1, 1999 through September 30, 2000/#S010A990003

Questioned Cost: \$6,649

The Improving America's Schools Act of 1994, §§1120A and 14501 requires the Department of Education to perform maintenance-of-effort calculations before awarding Title I Part A monies.

The Department failed to perform these calculations for any Arizona charter school before awarding \$1,400,049 for fiscal year 1999-2000. After being notified of this finding, the Department performed the necessary calculations and determined that six charter schools failed to meet the maintenance-of-effort requirements for fiscal year 1999-2000. As a result, the Department reduced \$6,649 in federal funding for the 2001 award year.

This finding is a material internal control weakness and material noncompliance with the program's matching, level of effort, and earmarking requirements, and is similar to a prior-year finding. If proper internal control procedures are not implemented the questioned cost could increase in future years.

To help ensure compliance with the Improving America's Schools Act 1994, §§1120 and 14501, the Department should implement internal control procedures to ensure that it performs maintenance-of-effort calculations before awarding monies.

00-102

CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A990056

CFDA No.: 84.243 Tech-Prep Education, #V243A990074

U.S. Department of Education

Award Periods: July 1, 1999 through September 30, 2000

Questioned Cost: Unknown

The Department of Education relied primarily on subrecipients' audit reports to determine if a subrecipient supplanted monies from non-federal sources. The Department did require subrecipients to submit budgets; however, there was no documentation to indicate a review of the budget against actual results to monitor for supplanting of federal monies. Furthermore, as the majority of federal monies passed through to subrecipients were less than the major programs threshold outlined in the OMB Circular A-133 §.520, the programs would rarely be subject to audit.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

It was not practical to extend our auditing procedures sufficiently to determine a questioned cost, if any, that may have resulted from this finding. However, this finding is considered a material internal control weakness of the programs' matching, level of effort, and earmarking requirements.

To help ensure compliance with the federal program requirements outlined in 34 CFR §403.196, the Department should establish additional policies and procedures to ensure that subrecipients are not supplanting federal funds.

00-103

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants
U.S. Department of Education

Award Period/Number: July 1, 1999 through September 30, 2000/#S276A990003A

Questioned Cost: Unknown

The Goals 2000—Educate America Act, Title III—State and Local Education Systemic Improvement, § 309(c) requires the Department of Education to award at least 50 percent of federal funding to local educational agencies that have a greater percentage or number of disadvantaged children than the statewide average for all local education agencies. The Department awarded federal funding to local education agencies based on a formula that considered the average daily attendance of each local education agency instead of the number or percentage of disadvantaged children.

This finding is a material internal control weakness and material instance of noncompliance because the Department has not developed policies and procedures to ensure that funding was awarded to local education agencies according to program requirements. It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding as the Department did not maintain sufficient documentation to demonstrate compliance. As a result, we were unable to determine if the Department complied with the program's matching, level of effort, and earmarking requirements.

To help ensure compliance with the Goals 2000—Educate America Act, Title III—State and Local Education Systemic Improvement, § 309(c), the Department should implement internal control procedures to ensure that it awards at least 50 percent of the program monies to local education agencies with a greater percentage or number of disadvantaged children than the statewide average. These procedures should require that documentation be retained to allow subsequent verification of amounts used in the calculations for this requirement.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

00-104

CFDA No.: 10.553 School Breakfast Program
CFDA No.: 10.555 National School Lunch Program
CFDA No.: 10.558 Child and Adult Care Food Program
U.S. Department of Agriculture
Award Period/Number:1999-2000/#7AZ300AZ3

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A990003
CFDA No.: 84.027 Special Education—Grants to States, #H027A80003
CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A990056
CFDA No.: 84.173 Special Education—Preschool Grants, #H027A80001
CFDA No.: 84.213 Even Start—State Educational Agencies, #S213C990003
CFDA No.: 84.243 Tech-Prep Education, #V243A990074
CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants,
#S276A990003A
CFDA No.: 84.332 Comprehensive School Reform Demonstration, #S332A990003
U.S. Department of Education
Award Period: July 1, 1999 through September 30, 2000

Questioned Cost: Unknown

The Department of Education did not have adequate policies and procedures to ensure that it received single audit reports of subrecipients expending federal financial awards greater than or equal to \$300,000 for the year ended June 30, 1999. As a result, the Department failed to receive single audit reports from 14 subrecipients. Audit reports on these subrecipients were required by OMB Circular A-133, §.400(d)(4).

In addition, for single audit reports the Department received, it did not always ensure that subrecipients took appropriate corrective action for audit findings disclosed in the reports. We reviewed 28 reports and determined that the Department did not receive assurance that 3 subrecipients had taken corrective action within six months of receiving their reports as required by OMB Circular A-133, §.400(d)(5). Furthermore, the Department did not monitor the activities for 2 of the 13 subrecipients tested that were not required to submit a single audit report as required by OMB Circular A-133, §.400(d)(3).

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a material internal control weakness and material noncompliance with the programs' subrecipient monitoring requirements, and is similar to a prior-year finding.

To help ensure compliance with subrecipient monitoring requirements, the Department should further develop its policies and procedures to ensure that it receives single audit reports for all of its subrecipients. In addition, the Department should ensure that subrecipients with audit

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

findings take appropriate and timely correction action and that subrecipients not required to submit single audit reports are properly monitored.

00-105

CFDA No.: 10.553 School Breakfast Program

CFDA No.: 10.555 National School Lunch Program

CFDA No.: 10.558 Child and Adult Care Food Program

U.S. Department of Agriculture

Award Period/Number: 1999-2000/#7AZ300AZ3

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A990003

CFDA No.: 84.027 Special Education—Grants to States, #H027A80003

CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A990056

CFDA No.: 84.173 Special Education—Preschool Grants, #H027A80001

CFDA No.: 84.213 Even Start—State Educational Agencies, #S213C990003

CFDA No.: 84.243 Tech-Prep Education, #V243A990074

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants,
#S276A990003A

CFDA No.: 84.332 Comprehensive School Reform Demonstration, #S332A990003

CFDA No.: 84.340 Class Size Reduction, #S340A990017

U.S. Department of Education

Award Period: July 1, 1999 through September 30, 2000

Question Cost: N/A

The *Cash Management Improvement Act Agreement Between The State of Arizona and The Secretary of the Treasury* (Treasury-State Agreement), Section 6.2.12 for fiscal year 1999-2000 and the *31 Code of Federal Regulations* (CFR) §205.7(b), require the Department of Education to request federal monies to be deposited into a state account not more than two business days before the day they are disbursed.

We tested 55 drawdown requests of federal monies and noted the following deficiencies:

- Seventeen of the drawdown requests were not disbursed within two days.
- Supporting documentation for one of the drawdown requests did not include the date warrants were mailed to subrecipients. Therefore, we could not verify the disbursement date and could not determine whether the monies were disbursed within two days.
- For two drawdown requests the Department did not maintain adequate supporting documentation, such as screen prints, project need sheets, drawdown sheets, or claims.

This finding did not result in a questioned cost because interest earned on federal monies is calculated at the state level and is remitted to the federal government on an annual basis. However, this finding is a material internal control weakness and material noncompliance with the programs' cash management requirements, and an instance of noncompliance in the prior

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

year that has not been corrected.

00-106

CFDA No.: 10.553 School Breakfast Program

CFDA No.: 10.555 National School Lunch Program

CFDA No.: 10.558 Child and Adult Care Food Program

U.S. Department of Agriculture

Award Period/Number: 1999-2000/#7AZ300AZ3

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A990003

CFDA No.: 84.027 Special Education—Grants to States, #H027A80003

CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A990056

CFDA No.: 84.173 Special Education—Preschool Grants, #H027A80001

CFDA No.: 84.213 Even Start—State Educational Agencies, #S213C990003

CFDA No.: 84.243 Tech-Prep Education, #V243A990074

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants,
#S276A990003A

CFDA No.: 84.332 Comprehensive School Reform Demonstration, #S332A990003

CFDA No.: 84.340 Class Size Reduction, #S340A990017

U.S. Department of Education

Award Period: July 1, 1999 through September 30, 2000

Question Cost: Unknown

The Department of Education did not have adequate policies and procedures to ensure that its subrecipients were in compliance with cash management compliance requirements.

- The Department required subrecipients to submit fiscal year-to-date cash management reports according to the amounts they were budgeted to receive.
 - *More than \$50,000:* Reports were due at September 30, November 30, January 31, and March 31.
 - *Between \$10,000 and \$50,000:* Reports were due at November 30 and January 31.

Consequently, the Department failed to receive cash management reports from the last required date through the end of the fiscal year and did not monitor its subrecipients' cash balances for three to five months.

- The Department did not monitor cash balances of subrecipients budgeted to receive less than \$10,000 annually.
- The Department allowed subrecipients to accumulate up to 8.5 percent of excess cash and this accumulated cash was not remitted back to the Department until their final completion report was submitted on September 30.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

- The Department's cash management system allows employees to request payments only between the first and nineteenth of every month. Warrants are then produced and distributed between the 20th day and the end of the month. Consequently, we noted that for 12 of 15 transactions tested for the Even Start—State Educational Agencies program, the subrecipient received federal funding between six and nine days prior to the date the monies were needed.

In addition to these deficiencies, we noted that for 1 of 18 subrecipients tested that the Department paid the subrecipient \$18,227 in one lump sum and did not receive or request additional cash management reports.

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a material internal control weakness and material noncompliance with the program's cash management requirements and is similar to a prior-year finding.

The U.S. Department of Education's *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments*, 34 CFR, subpart C, §80.37(a)(4) requires states to conform any advances of grant monies to subgrantees substantially to the same standards of timing and amount that apply to cash advances by federal agencies.

00-107

CFDA No.: 10.553 School Breakfast Program

CFDA No.: 10.555 National School Lunch Program

CFDA No.: 10.558 Child and Adult Care Food Program

U.S. Department of Agriculture

Award Period/Number: 1999-2000/#7AZ300AZ3

CFDA No.: 84.010 Title I Grants to Local Educational Agencies, #S010A990003

CFDA No.: 84.027 Special Education—Grants to States, #H027A80003

CFDA No.: 84.048 Vocational Education—Basic Grants to States, #V048A990056

CFDA No.: 84.173 Special Education—Preschool Grants, #H027A80001

CFDA No.: 84.213 Even Start—State Educational Agencies, #S213C990003

CFDA No.: 84.243 Tech-Prep Education, #V243A990074

CFDA No.: 84.276 Goals 2000—State and Local Education Systemic Improvement Grants,
#S276A990003A

CFDA No.: 84.332 Comprehensive School Reform Demonstration, #S332A990003

CFDA No.: 84.340 Class Size Reduction, #S340A990017

U.S. Department of Education

Award Period: July 1, 1999 through September 30, 2000

Question Cost: N/A

The 31 Code of Federal Regulations (CFR) §205.7(d) limits the amount of monies that the

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

Department of Education can request from the federal government to meet the State's immediate cash needs for federal programs. Any additional monies received should be returned to the federal government or transferred to another federal program. In addition, as required by the *Cash Management Improvement Act Agreement Between The State of Arizona and The Secretary of the Treasury* (Treasury-State Agreement), Section 6.2.12 for fiscal year 1999-2000 and the 31 CFR §205.7(b), all federal monies should be requested so that they are deposited into a state account not more than two business days before the day they are disbursed.

The Department's policy for handling excess cash is to transfer it to another program that has unreimbursed expenditures. We examined five revenue transfers and noted the following deficiencies:

- For three of the revenue transfers, the Department did not transfer all excess monies out of the federal program. In addition, we were unable to determine if the monies were transferred to another federal program.
- For all five revenue transfers tested, the Department could not provide supporting documentation indicating when the excess cash was originally received. Therefore, we were unable to determine if the monies were expended within two business days.

Since the Department did not adequately document cash management activity for revenue transfers, it was not practical to extend our auditing procedures to test additional transfers. However, this finding did not result in a questioned cost because interest earned on federal monies was calculated at the state level and remitted to the federal government annually. This finding is a material internal control weakness and material noncompliance with the programs' cash management requirements.

00-108

CFDA No.: 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

U.S. Department of Health and Human Services

Award Period/Number: 1999-00/U57/CCU910969-05-2

Questioned Cost: Unknown

The U.S. Department of Health and Human Services *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments*, subpart C, §92.24(b)(6) requires that costs counting toward satisfying a cost-sharing or matching requirement must be verifiable from the records of grantees. The Department of Health Services did not have adequate policies and procedures to ensure compliance with the program's matching requirements. As a result, the Department could not provide sufficient documentation to support whether the required match had been made.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a material internal control weakness and material noncompliance with the program's matching, earmarking, and level of effort requirements.

To help ensure compliance with the U.S. Department of Health and Human Services *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments*, subpart C, §92.24(b)(6), the Department should implement internal control procedures to ensure that at least 25 percent of the program expenditures can be verified as coming from allowable matching sources, as defined in §92.24(a).

00-109

CFDA No.: 93.919 Cooperative Agreements for State-Based Comprehensive Breast and
Cervical Cancer Early Detection Programs

U.S. Department of Health and Human Services

Award Period/Number: 1999-00/U57/CCU910969-05-2

Questioned Cost: Unknown

The OMB Circular A-133, §.400(d)(3) requires pass-through entities to monitor the activities of subrecipients, as necessary, to ensure that federal awards are used only for authorized purposes in compliance with laws, regulations, and the provisions of contracts or grant agreements and that performance goals are achieved.

For six of nine subrecipients tested, the Department of Health Services did not retain sufficient supporting documentation to demonstrate that site visits were performed in order to ensure the subrecipients complied with the compliance requirements of the federal program. It was not practical to extend our auditing procedures sufficiently to determine questioned costs, if any, that may have resulted from this finding. However, this finding is a material internal control weakness and material noncompliance with the program's subrecipient monitoring requirements.

To help ensure compliance with the OMB Circular A-133, §.400(d)(3), the Department should implement internal control procedures to ensure adequate supporting documentation is retained that verifies the subrecipients' compliance with the federal program's compliance requirements.

STATE OF ARIZONA
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Year Ended June 30, 2000

00-110

CFDA No.: 93.919 Cooperative Agreements for State-Based Comprehensive Breast and
Cervical Cancer Early Detection Programs

U.S. Department of Health and Human Services

Award Period/Number: 1999-00/U57/CCU910969-05-2

Questioned Cost: \$46,237

The OMB Circular A-133, §.300(b) requires the grantee to maintain internal control over federal programs that provides reasonable assurance that its federal programs are managed in compliance with laws, regulations, and provisions of contracts or grant agreements that could have a material effect on the program.

For 10 of 55 expenditures tested, the Department of Health Services reimbursed a vendor at an improper rate. After being notified of this finding, the Department reviewed all payments to this vendor and determined a total overpayment of \$38,855. Further, for one additional expenditure tested, the Department did not retain adequate supporting documentation for \$7,382 of the expenditure. Based on our projections, we estimate a likely questioned cost of \$39,187.

To help ensure compliance with the OMB Circular A-133, §.300(b), the Department should ensure the implementation of its internal control over activities allowed or unallowed and allowable costs/cost principles compliance requirements in that all expenditure documentation is retained, that all such transactions are reviewed to ensure proper amounts are reimbursed to the subrecipients/vendors, and that such activities and costs were allowable.

00-111

CFDA No.: 10.557 Special Supplemental Nutrition Program for Women, Infants, and
Children

U.S. Department of Agriculture

Award Period: 1999-00

CFDA No.: 93.919 Cooperative Agreements for State-Based Comprehensive Breast and
Cervical Cancer Early Detection Programs

U.S. Department of Health and Human Services

Award Period: 1999-00

Questioned Cost: Unknown

The OMB Circular A-133, §.400(d)(4) requires the pass-through entity to ensure that subrecipients expending \$300,000 or more in federal awards have met the audit requirements for the subrecipients' fiscal year.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
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The Department of Health Services' internal control was inadequate to identify subrecipients receiving smaller awards and to ensure these subrecipients submitted single audit reports in a timely manner. For two of nine subrecipients of the Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs and for 1 of 18 subrecipients of the Special Supplemental Nutrition Program for Women, Infants, and Children, single audit reports for the year ended June 30, 1999, had not been received. It was not practical to extend our auditing procedures to determine questioned costs, if any, that may have resulted from this finding.

To help ensure compliance with the OMB Circular A-133, §.400(d)(4), the Department should implement internal control procedures to identify all of its subrecipients and ensure that those subrecipients meet their audit requirements and submit their single audit reporting packages to the Department.

00-112

CFDA No.: 17.235 Senior Community Service Employment Program

U.S. Department of Labor

Award Period/Number: 1999-2000/D-7513-9-00-81-55-02

Pass-through grantor: The National Council on Aging

Questioned Cost: N/A

The Department of Economic Security—Aging and Adult Administration (Administration) did not have adequate procedures in place to ensure compliance with the cash advance requirements reflected in 31 CFR, Chapter II, Subpart B, §205.20(a) for the Senior Community Service Employment Program. To reduce the balance of any excess cash on hand during the fiscal year, the Administration began drawing monies biweekly and, beginning in February 2000, they further reduced the timing of their drawdowns to weekly. In prior fiscal years, draws were made approximately monthly. However, during the year we noted instances where the cash draws were not fully expended for up to one week or where significant cash balances were maintained in excess of three business days. In order to comply with 31 CFR, Chapter II, Subpart B, §205.20(a), the Administration should develop and implement formal policies and procedures to limit cash draws to the minimum amounts needed to carry out the program and to time such cash draws as close to the actual cash outlay as is administratively feasible. There were no questioned costs associated with this finding as 31 CFR, Chapter II, Subpart B, §205.20(b) stipulates that neither a state nor the federal government will incur an interest liability for those programs subject to the provisions of Subpart B. This is similar to a prior finding that has not been fully corrected.

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

00-113

CFDA No.: 17.235 Senior Community Service Employment Program

U.S. Department of Labor

Award Period/Number: 1999-2000/D-7513-9-00-81-55-02

Pass-through grantor: The National Council on Aging

Questioned Cost: \$113,273

The Department of Economic Security received funding for the Senior Community Service Employment Program (SCSEP) from two funding sources during the award period, the U.S. Department of Labor (DOL) and the National Council on Aging (NCOA). Initially, the period of availability for the award was July 1, 1999 through June 30, 2000; however, subsequent to the end of award period the NCOA extended the period of availability to August 31, 2000, for that portion of the award administered by them. During the extended period of availability, the Department incurred \$113,273 of additional program costs. Since the extension of the period of availability was not formally approved by NCOA until August 2000, the Department recorded these costs as expenditures under the DOL portion of the award and drew down monies for reimbursement of these costs from the DOL. In addition, the Department was reimbursed by the NCOA for these costs in January 2001. As a result, the Department was reimbursed twice for the same costs. Finally, the Department reported the \$113,273 on both the final Financial Status Reports (SF-269) and Subgrant Monthly Financial Report submitted to the DOL and NCOA, respectively. As a result, the program expenditures reported to DOL were overstated by \$113,273 for that portion of the program funded by the DOL.

00-114

CFDA No.: 10.561 State Administration Matching Grants for Food Stamp Program

U.S. Department of Agriculture

Award Period: 1999-2000

CFDA No.: 93.558 Temporary Assistance for Needy Families

CFDA No.: 93.779 Health Care Financing Research, Demonstrations and
Evaluations

U.S. Department of Health and Human Services

Award Period: 1999-2000

Questioned Cost: Unknown

The Department of Economic Security's public assistance cost allocation plan provided for charging personal services and employee-related expenditures for caseworkers of the Department's Family Assistance Administration (FAA) and Administration for Children, Youth, and Families (ACYF) to cost pools. Amounts were then allocated and charged to benefiting federal programs according to the monthly results of the Department's Arizona Random Moment Sample (ARMS). We tested the ARMS cost allocation method for compliance with allowable costs/cost principles and noted that the Department was unable to locate the joint hit

STATE OF ARIZONA
Schedule of Findings and Questioned Costs
Year Ended June 30, 2000

interview sheets of the random moment sample that supported the September 1999 allocation of one of the ARMS cost pools (i.e., Pool 328). A joint hit occurs when a caseworker is working on activities chargeable to more than one federal program at the random moment sampled. The total charges allocated from this cost pool for September 1999 totaled \$394,385. As a result, we were unable to determine the propriety of the cost allocation between the participating federal programs. In order to comply with OMB Circular A-87, Attachment A, Subpart C, and to ensure that costs charged to federal programs are adequately documented, the Department must retain the interview sheets supporting all ARMS-allocated costs.

APPENDIX

STATE OF ARIZONA
Appendix A
Abbreviations Used in the Reports
Year Ended June 30, 2000

| | |
|--------|---|
| AFIS | Arizona Financial Information System |
| AHCCCS | Arizona Health Care Cost Containment System |
| CFDA | Catalog of Federal Domestic Assistance |
| CFR | Code of Federal Regulations |
| CMIA | Cash Management Improvement Act |
| GAO | General Accounting Office |
| GAPS | Grant Administration and Payment System |
| LEAs | Local Educational Agencies |
| N/A | Not Applicable |
| OMB | Office of Management and Budget |
| SEFA | Schedule of Expenditures of Federal Awards |
| USDOE | U. S. Department of Education |

STATE OF ARIZONA
Appendix B
State of Arizona Agency Codes
Year Ended June 30, 2000

| <u>Agency Code</u> | <u>Agency</u> |
|---------------------------|--|
| ADA | Department of Administration |
| AEA | Radiation Regulatory Agency |
| AFA | Affirmative Action |
| AGA | Office of the Attorney General |
| AHA | Department of Agriculture |
| ASA | Arizona State University |
| ATA | Automobile Theft Prevention Authority |
| BNA | State Board of Nursing |
| BRA | Arizona Board of Regents |
| CCA | Arizona Corporation Commission |
| CMA | State Board of Directors for Community Colleges |
| CMC | Comcare |
| DCA | State Department of Corrections |
| DEA | Department of Economic Security |
| DJA | Department of Juvenile Corrections |
| DTA | Department of Transportation |
| EDA | Department of Education |
| EPA | Department of Commerce |
| EVA | Department of Environmental Quality |
| GFA | Arizona Game and Fish Department |
| GSA | Arizona Geological Survey |
| GVA | Office of the Governor |
| HCA | Arizona Health Care Cost Containment System |
| HIA | Arizona Historical Society |
| HSA | Department of Health Services |
| HUA | Commission on the Arts |
| ICA | Industrial Commission of Arizona |
| JCA | Arizona Criminal Justice Commission |
| LAA | Department of Library, Archives and Public Records |
| LDA | State Land Department |
| MAA | Department of Emergency and Military Affairs |
| MIA | State Mine Inspector |
| NAA | Northern Arizona University |
| PEA | Commission for Postsecondary Education |
| PRA | Arizona State Parks Board |
| PSA | Department of Public Safety |
| SBA | Structural Pest Control Commission |
| SDA | Arizona State Schools for the Deaf and Blind |
| SPA | Supreme Court |
| UAA | University of Arizona |
| VSA | Veteran's Service Commission |
| WCA | Department of Water Resources |
| WFA | Water Infrastructure Financing Authority |

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State Responses

**STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000**

Financial Statement Findings

Agency Department of Administration

The State's Data Center Lacked an Adequate Disaster Recovery Plan

Finding No. 00-01

Agency description:

ADOA has completed the purchase of Business Continuity Planning software and is currently in testing. The next step is to make initial plan configuration inputs followed with on-site vendor training for those ADOA business unit representatives. After training, representatives will follow the BCP software-planning wizard to input their specific information to restore their business process. After all business processes are documented then we will begin testing those developed plans for effectiveness.

Contact person(s): Kenneth Natoli
 (602) 542-5717

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Education

CFDA No. 84.010 Title I Grants to Local Educational Agencies

Finding No. 00-101

Status: Concur

Agency description:

We agree with the Auditor's finding that maintenance-of-effort calculations have been performed after awards to sub-recipients have been made. The School Finance Division of the Arizona Department of Education (ADE) performs maintenance-of-effort calculations. Those districts who failed to maintain effort at the appropriate level are highlighted and their allocations are adjusted accordingly. As recommended we have revised our standard operating procedures to allow for an additional check to ensure that all sub-recipients receiving an allocation have received a maintenance-of-effort calculation. As of April 30, 2001, any sub-recipient who has not had a valid maintenance-of-effort calculation completed will be reviewed prior to receiving an allocation. At that time a determination will be made as to whether a maintenance-of-effort calculation should be performed and whether or not the sub-recipient met or failed to maintain effort.

For the fiscal year ending June 30, 2000, the calculation of maintenance-of-effort was made after the allocations were made. However, the ADE was able to reduce the allocations for each of the affected sub-recipients during the following fiscal year. No sub-recipient received funds in excess of what they would have been entitled to had the maintenance-of-effort calculations been performed timely.

In conjunction with the development of business rules for sub-recipients and the grants management system, the ADE is developing a definition of the "entity" for business purposes including calculation of maintenance-of-effort. Once defined this definition will help both the School Finance Division and the Academic Support Division, to determine whether or not a maintenance-of-effort calculation should take place or if the entity has in fact changed. The Department will fully define the entity and implement new maintenance-of-effort procedures on or before April 30, 2001.

Contact person(s): Vicki Salazar
 (602) 542-3234

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Education

CFDA No. 84.048 Vocational Education – Basic Grants to States
 84.243 Tech-Prep Education

Finding No. 00-102

Status: Concur

Agency description:

The following process will focus primarily on the Basic Grant recipients for the following reasons:

1. Tech-Prep is basically a supplemental program to the Basic Grant. Every recipient of Tech Prep funds is a recipient of Basic Grant funds also. The reverse is not true.
2. Tech-Prep funds are awarded to consortiums, whose members are all recipients of Basic Grant funds. It would be necessary to review the records of all consortium members to determine if one, or more, members of a consortium has a problem. By concentrating on the Basic Grant recipients you indirectly accomplish this task.

If a recipient is violating the supplanting rules under the Basic Grant, they are automatically violating the supplanting rules, relative to Tech-Prep (only if they receive Tech-Prep monies). However, every other member of the consortium may not be in violation of the supplanting requirements of the Perkins Act.

The Arizona Department of Education is in the process of establishing an electronic comparison of non-federal expenditures by eligible recipients as reported to the CTE Program Office and the School Finance Division.

Currently, the districts/recipients are asked to provide the information to CTE if they do not already provide it to the School Finance Division. The current data collection form gives the recipient the option of checking a box indicating that the information has already been supplied to School Finance or submitting the information to CTE.

A review of the data indicates that a number of them did not supply the data as indicated. Effective August 30, 2001, the agency plans to institute a policy requiring the data to be supplied to School Finance or their budget summaries will not be accepted as required under A.R.S. §15-255 and §15-904.

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Data collected will allow the CTE Program Area to compare expenditure levels and identify possible concerns related to supplanting. If a supplanting concern is raised, the eligible recipient will be contacted, and additional information requested.

In addition, the recipient's response and a letter of concern will be placed in the recipient's audit file. Firms contracting to conduct the A-133 audits will be required to contact the agency to inquire about funds provided and to check for letters of concern. The audit firm would be required to test for supplanting, relative to a recipient's non-federal support of CTE/vocational programs.

Finally, CTE specialists review the budgets of every application submitted by an eligible recipient. Routinely, and particularly in the event there is a dramatic change in the amount of funds requested for personnel costs, they typically ask why and remind the recipient's contacts that the Perkins funds can not be supplanted.

Often the application is revised based upon this review. However, there is not a procedure in place to affirm the recipient's statement that supplanting is not occurring.

Effective on or before May 2001, we will ask for written confirmation related to an eligible recipient's prior level of expenditures at the time the application is reviewed if the CTE specialist has a concern. Funds will not be awarded if a recipient does not supply the requested information. In the event it is apparent that supplanting has occurred or will occur if the application were approved, the federal program office will be contacted for guidance.

Contact person(s): Vicki Salazar
(602) 542-3234

Agency Department of Education

CFDA No. 84.276 Goals 2000 – State and Local Education Systemic Improvement Grants

Finding No. 00-103

Status: Concur

Agency description:

In calculating FY2000 allocations in April 1999, the formula employing average daily membership (ADM) was run for participating local educational agencies (LEAs) because it was published as the State Board-approved method.

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

When the majority of local educational agencies (LEAs) began Goals 2000 Program participation, verifiable data on “low performing” schools was unavailable. The Program Office did not collect from sub-recipients the school-level data on poverty as a part of the annual application. However, an allocation based solely upon ADM should not have created, on its own merits, noncompliance in the targeting of school sites possessing largest percentages of disadvantaged children. In the absence of low poverty and low student achievement data for each and every site at the state educational agency level, LEAs were expected—in light of the information contained in their District Assessment Plans—to target such schools with more dollars.

LEAs plan in their first year of participation the methods they will use to address “low student achievement.” In addition, participating LEAs submit signed assurances that their allocation of resources to individual schools shall adhere to the law and indicate, via instructions (found on the Annual Application Assurances form attached to each application), “*applicant LEA shall allocate 85% of the grant award among the school sites of the district—of which 50%, or more, shall be made available to school sites with a special need for assistance, as indicated by a high percentage of students from low-income families, with low student achievement, or by similar criteria.*”

During the investigative stage of this audit, the current Goals 2000 Program Office attempted the lengthy task of determining the extent of the statewide poverty average (*believed from this research to be 49 percent*). Using reports obtained from the School Finance Unit, Student Services Division, the Title I Program Office, the ASD Systems Programmer and ASD’s Audit Resolution & Allocations Unit, attempts were made to identify as a percentage of total the “poverty” plus “low-performing” schools (*revised at present to be no more than 43 percent of total enrollments used to calculate allocations*) for participating LEAs and “low-performance ONLY” schools (*believed to represent 7 percent of total enrollments*).

This extra effort was undertaken to help demonstrate that the Goals 2000 Program may have met, *by virtue of results*, the requirement of awarding at least 50% of funds to LEAs that have a greater percentage or number of disadvantaged pupils.

When the Arizona Department of Education (ADE) placed the Goals 2000 Program with the Academic Support Division (ASD) in November 1998, annual allocations to recipient local LEAs were already calculated for FY1999 and payments, *underway*.

FY2000, the period of time under audit, is the first fiscal year for which the ASD had oversight responsibilities. There was little that the Goals 2000 Program Office could do then to “exclude” districts and charter schools that were actively participating in the state’s program. This also applies to the local resource allocation underway at participating districts and charter schools.

STATE OF ARIZONA
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Year Ended June 30, 2000

Federal Findings and Questioned Costs

Furthermore, there existed no practical opportunity to revise the allocation formula because the federal statute expired in FY2000, where FY2001 is perceived to be the final year or close-out of the federal appropriations for the program. Between fiscal years 1999 and 2000 only 24 LEAs were added as “planning-grant” recipients. The internal control weakness cited for FY2000—in the absence of a remedy to “delete” participating LEAs—affects directly these 24 LEAs participating since that year.

Contact person(s): Vicki Salazar
(602) 542-3234

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education – Grants to States
84.048 Vocational Education – Basic Grants to States
84.173 Special Education – Preschool Grants
84.213 Even Start – State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000 – State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration

Finding No. 00-104

Status: Concur

Agency description:

The Department agrees with the finding that the Department did not have adequate policies and procedures in place to ensure that it received single audit reports for all sub-recipients expending federal awards greater than or equal to \$300,000. As of fiscal year 2001 the Department developed additional procedures to assist in the identification of all sub-recipients receiving awards greater or equal to \$300,000. The audit resolution unit will use the supporting financial reports of the Arizona Financial System as well as the state AFR data in determining and documenting if a sub-recipient received federal funds greater or equal to \$300,000. The Department has also implemented procedures in FY 2001 that will hold current year funds of sub-recipients that fail to submit a single audit report as required by OMB Circular A-133. Furthermore, the Department has put into place an audit resolution task team, which consists of one to two representatives from each federal program area, that will meet on a monthly basis to review all sub-recipients audit findings and develop procedures to ensure that the sub-

STATE OF ARIZONA
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Year Ended June 30, 2000

Federal Findings and Questioned Costs

recipients take appropriate corrective action in the resolution of their audit findings, within appropriate time lines as required by OMB Circular A-133.

District's that do not expend at least \$300,000 in federal funds in a fiscal year and are not required to have an A-133 audit are subject to a procedural review by the State Auditor General's Office which reviews basically the district's internal controls to determine whether they are functioning in accordance with the USFR and are done on approximately a four-year rotating basis. In addition to the procedural reviews and Charter Schools annual audits, the Department's program offices administer programmatic monitoring on all sub-recipients within a four to six year cycle period.

Contact person(s): Vicki Salazar
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Agency Department of Education

CFDA No. 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.213 Even Start – State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000 – State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration
84.340 Class Size Reduction

Finding No. 00-105

Status: Concur

Agency description:

It has always been the policy of the Department that all federal monies not be deposited into a state account more than two business days before the day they are disbursed. Due to turnover in the accounting department and the lack of key supervisory positions, the Department's policies and procedures were not properly enforced. Effective February 2001, the Department has taken additional steps to ensure that all staff members document the disbursement date and that all drawdowns are

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Federal Findings and Questioned Costs

supported adequately with copies of screen prints, project need sheets, drawdown sheets or claims. Unit supervisors are held accountable for each of their units and are required to periodically review and initial appropriate documents verifying funds were deposited and disbursed within the two business days required by the State of Arizona and the Secretary of the Treasury State Agreement.

Contact person(s): Vicki Salazar
(602) 542-3139

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
10.555 National School Lunch Program
10.558 Child and Adult Care Food Program
84.010 Title I Grants to Local Educational Agencies
84.027 Special Education—Grants to States
84.048 Vocational Education—Basic Grants to States
84.173 Special Education—Preschool Grants
84.213 Even Start – State Educational Agencies
84.243 Tech-Prep Education
84.276 Goals 2000 – State and Local Education Systemic Improvement Grants
84.332 Comprehensive School Reform Demonstration
84.340 Class Size Reduction

Finding No. 00-106

Status: Concur

Agency description:

The Department agrees with the finding that the Department failed to receive cash management reports from the last required date through the end of the fiscal year. As such, the Department did not receive or request additional cash management reports to monitor the sub-recipients cash balance. In addition, the Department does not have procedures to contact a sub-recipient to obtain these reports. The Department is currently reviewing our policy and procedures and while the Department does not wish to place additional burdens on the sub-grantee, the Department will implement a policy to take effect beginning July 1, 2001 that requires all federal projects (irrespective of budget total) to submit quarterly cash management reports for periods ending September 30, November 30, January 31, and March 31, or until such time as the grant/project funding is reported by the sub-recipient as fully expended.

We agree with the finding that the Department allowed sub-recipients to accumulate up to 8.5% of unexpended cash and that excess accumulated cash was not remitted back to the Department until their

STATE OF ARIZONA
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Federal Findings and Questioned Costs

final completion report was submitted on September 30. The last cash management report is required for the period ending March 31, with most federal projects ending at state fiscal year end (June 30). The majority of those projects receive the last disbursement in May & June. It is therefore viewed as unreasonable to require cash management reporting that near to the end of the project end date because few or no sanctions exist to be applied by the Department, in terms of interrupting payment(s). This leaves a 2-3 month window of not requiring a report. In addition, in accordance with USFR regulations, districts are allowed a 60-day encumbrance period after state fiscal year end (i.e., project end date). Districts must then closeout and balance their books in cooperation with the respective County School Superintendent (CSS) office, and complete the Annual Financial Report (AFR). The AFR typically cannot be completed until after that 60-day encumbrance period. The completion report (considered the last cash management report) is compared against CSS fiscal records and cannot be approved and forwarded to the Department until such time. The Department must then review and approve the completion report. The earliest, then, that districts could return funds would be after this closeout period.

We agree with the finding that the Department's cash management system only allows programs to request payments between the first and the nineteenth of every month and that the warrants are printed and mailed to the sub-recipient six to nine days prior to the actual date needed, consequently putting the sub-recipient out of cash management compliance if the funds cannot be expended within two business days. As of March 2001 the Department changed the procedures so that all sub-recipients payments will be processed within the last week of each month to ensure that sub-recipients do not receive funds prior to date requested.

Contact person(s): Vicki Salazar
(602) 542-3139

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.173 Special Education—Preschool Grants
 84.213 Even Start – State Educational Agencies
 84.243 Tech-Prep Education
 84.276 Goals 2000 – State and Local Education Systemic Improvement Grants
 84.332 Comprehensive School Reform Demonstration
 84.340 Class Size Reduction

Finding No. 00-107

Status: Concur

Agency description:

We agree with the finding that the Department did not adequately document cash management activity for revenue transfers. The department's accounting unit is working with the state's general accounting department in implementing by April 1, 2001, the following policy and procedures for revenue transfers.

1. For all payments to other state agencies,
 - The Department's accounting unit will send all original transfers to the General Accounting Office marked **CMIA - PROCESS IMMEDIATELY**
 - Note to call the Department's accounting unit (Name/Number) when the State General Accounting Office receives the signed copy from the other state agency so that funds can be drawn down.
 - The General Accounting Office will mail a copy of the revenue transfer with final signatures back to the Department's accounting department verifying processing time and closure of transfer.
2. For handling excess cash on hand the following procedures will be followed:

STATE OF ARIZONA
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Federal Findings and Questioned Costs

- On a daily basis each grant and phase will be checked to the AFIS. Each fiscal service specialists will monitor assigned grants and determine their daily needs. If there are funds available, AFIS screen-prints will be printed and the fiscal specialist will indicate if the funds have been already obligated, indicating the claim or GAPS batch they are obligated to.
- If excess cash is on hand the fiscal service specialist handling the drawdowns will use excess funds for other programs cash needs during the daily drawdown. The fiscal service specialist who is responsible for the daily draws of federal funds will be required to document each programs needs and the transfer of excess funds from one federal program to another federal program attaching screen prints and / or transfer forms to the actual drawdown document. The supervisor over the accounts receivables unit will review and sign off on all draw downs indicating all required documentation is attached and the draw has been processed in accordance with the CMIA.

Contact person(s): Vicki Salazar
(602) 542-3139

Agency Department of Health Services

CFDA No. 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

Finding No. 00-108

Status: Concur

Agency description:

The Department has verified that the matching requirements for the 1999-2000 fiscal year were fulfilled. For the current and future fiscal years, the program will include plans to meet matching requirements during budget development. Each subrecipient will be required to submit documentation of in-kind and matching funds provided at least quarterly. The program business officer will compile the information and monitor the progress of each subrecipient, as well as the overall program in meeting its matching budget. Significant deficiencies will be reported to the subrecipient, program management, and the Department's Controller after the end of each quarter.

Contact person(s): Diana Dexter
(602) 542-7200

**STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000**

Federal Findings and Questioned Costs

Agency Department of Health Services

CFDA No. 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical
Cancer Early Detection Programs

Finding No. 00-109

Status: Concur

Agency description:

Informal site visits were conducted during the 1999-2000 fiscal year. However, sufficient documentation of these site visits was not maintained. For the current and future fiscal years, the Department will develop a risk based monitoring plan, which will include site visits as well as other monitoring processes. Documentation will be maintained on both the plan and on all monitoring activities.

Contact person(s): Diana Dexter
 (602) 542-7200

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Health Services

CFDA No. 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical
Cancer Early Detection Programs

Finding No. 00-110

Status: Concur

Agency description:

Subsequent to the end of the 1999-2000 fiscal year, but prior to the start of the Auditor General's audit, the program management discovered that a single vendor, Mobile On-Site Mammography, was being paid in excess of the allowable rate. The Department entered into negotiations with this vendor to reduce amounts billed to be within the allowable rate. These negotiations failed and the vendor's contract was canceled as of October 31, 2000, and all subrecipients were informed of the allowable rates for mobile services. The Department will maintain all documentation related to the expenditures in accordance with Department policy.

Contact person(s): Diana Dexter
 (602) 542-7200

Agency Department of Health Services

CFDA No. 10.557 Special Supplemental Nutrition Program for Women, Infants and Children
 93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical
 Cancer Early Detection Programs

Finding No. 00-111

Status: Concur

Agency description:

The Office of Auditing does currently receive and review the single audit reports for its major subrecipients. The reports are reviewed in a timely manner and the subrecipients are required to submit a corrective action plan for any deficiencies noted that may affect the Department's programs. Beginning in March, 2001, we will implement procedures to ensure that all subrecipients, regardless of the amount of federal funds received from the Department, are required to submit a single audit report

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

for our review or submit a document certifying that the subrecipient did not require a single audit to be performed. All single audit reports received will be reviewed in a timely manner and all subrecipients will be required to submit a corrective action plan for any deficiencies noted that may affect the Department's programs.

Contact person(s): Jim Humble
 (602) 364-0679

Agency Department of Economic Security

CFDA No. 17.235 Senior Community Service Employment Program

Finding No. 00-112

Status: Concur

Agency description:

During fiscal year 1999-00, projected cash needs were forwarded to the National Council on Aging (NCOA) biweekly. To limit cash draws to the minimum amounts needed to carry out the program, beginning February 2000, the Department increased the frequency of its funding requests to weekly. The weekly request format did not prove to be adequate to ensure compliance with the applicable requirements. Therefore, the Department will implement procedures to more closely monitoring the status of scheduled provider payments and federal cash balances to time cash draws as close to the actual cash outlay as administratively feasible.

Contact person(s): Bridget Casey
 (602) 542-6458

Anticipated completion date: Procedures to more closely monitor the status of scheduled provider payments and federal cash balances will be in place as of July 2001

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Economic Security

CFDA No. 17.235 Senior Community Service Employment Program

Finding No. 00-113

Status: Concur

Agency description:

In February 2001, the Department of Economic Security–Aging and Adult Administration (Administration) prepared expenditure correction (EXCOR) documents that reduced expenditures charged to the U.S. Department of Labor (DOL) grant and increased expenditures to the National Council on Aging (NCOA) grant by \$90,047 in the Department’s Financial Management Control System (FMCS), and on February 15, 2001, the Department returned \$90,047 to DOL via the Payment Management System (PMS). The Administration will prepare EXCOR documents to reduce expenditures charged to the DOL grant and increase expenditures to the NCOA grant on FMCS for the remaining \$23,226, after which the Department will return the remaining \$23,226 to DOL via PMS and prepare a revised SF 269 Report to DOL reflecting both adjustments in order to properly state expenditures for the federal fiscal year.

Contact person(s): Bridget Casey
 (602) 542-6458

Anticipated completion date: All funds overdrawn will be returned and a revised SF 269 report submitted to DOL by June 30, 2001.

STATE OF ARIZONA
Corrective Action Plan
Year Ended June 30, 2000

Federal Findings and Questioned Costs

Agency Department of Economic Security

CFDA No. 10.561 State Administrative Matching Grants for Food Stamp Program
 93.558 Temporary Assistance for Needy Families
 93.779 Health Care Financing Research, Demonstrations and Evaluations

Finding No. 00-114

Status: Concur

Agency description:

Prior to July 2000, Family Assistance Administration District One East (IE) caseworkers charged their time to ARMS cost pool P328. In July 2000, District IE caseworkers began direct time charging (i.e., charging their time directly to the benefiting federal programs), and the P328 ARMS cost pool was discontinued. The September 1999 P328 allocation was reviewed, analyzed and determined to be consistent with the average monthly allocations from this pool throughout the year; therefore, no adjustments to the September 1999 allocation will be made as a result of the missing joint hit interview sheets.

To help ensure that all future allocations of cost pools are properly supported, the ARMS supervisor will review all related monthly pool allocation support for completeness.

Contact person(s): Mona Spellman
 (602) 271-4309, Ext. 7455

Anticipated completion date: Procedures to review all related monthly pool allocation support for completeness were in place as of March 2001.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. N/A - Administrative Requirements

Finding No. 96-102

Status: Partially corrected

Agency description:

As of June 2001 the Department has revised the policy and procedures for the resolution of audit findings. It is ADE's policy to monitor and ensure that those sub-recipients that expend \$300,000 or more in federal awards have a single audit performed, as required by OMB Circular A-133, Subpart D and submit their single audit reports within 30 days of issuance to the Audit Resolution Unit within the department. For those sub-recipients that do not submit an audit report or submits an audit report identifying findings the following policy and procedures will be implemented.

ADE Audit Resolution will send a notice of unresolved audit findings to the appropriate school system's chief executive officer with a copy to the districts/school board chairman and a copy to the ADE program office of any findings unresolved at 120 days after receipt of the audit by the Audit Resolution Unit. The notice will state what appropriate corrective action must be taken and by when for timely audit resolution. It will also indicate that failure to respond timely may interrupt the current funding of the identified program's project. If unresolved audit findings remain after 150 days, a Preliminary Letter of Determination will be sent from ADE Audit Resolution unit to the school system's chief executive officer with a copy to the district / school board chairman and a copy to the ADE program office stating what audit findings are still not resolved and identifying the appropriate corrective action and the due date for audit resolution before suspension of current year funds. If audit findings are not resolved by the end of 180 days, a Letter of Final Determination will be issued citing the appropriate corrective action for the district and the suspending of current -year funding will be implemented until the findings are resolved. *As of January 2001, in the event an audit report is not submitted to the ADE Audit Resolution Unit within 30 days of issuance the sub-recipients current year funding will be suspended.*

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. N/A - Cash Management

Finding No. 96-105

Status: Partially corrected

Agency description:

Sub-grantees may NOT accumulate unencumbered cash exceeding 8.5% of the total budget. Excess cash is determined from the amount of funds on hand at the close of the reporting period less encumbrances.

Sub-grantees whose budget is \$50,000 or more are required to submit within 15 days from the end of the reporting period a Cash Management Report for each of the periods ending September 30th, November 30th, January 31st, and March 31st. If a project's budget is \$10,000 to \$49,999, sub-grantees are required to submit two Cash Management Reports for periods ending November 30th and January 31st. In addition, cash on hand is submitted on the completion report that is submitted 90 days after the projects ending date. Since most projects end June 30th, these reports are due September 30th.

As of FY 2000, if the Cash Management Report is not filed on time or indicates an excess unencumbered cash balance, the sub-grantee will be considered *not in compliance* and have its current year funding interrupted. Before the next payment will be released, an additional Cash Management Report must be filed indicating that excess cash no longer exists. ***Effective July 1, 2001 all sub-grantees will be required to submit four cash management reports or submit reports until the sub-grantees cash management report indicate all cash has been received and that excess cash does not exists.***

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Commerce

CFDA No. 14.228 Community Development Block Grants/State's Program

Finding No. 96-110

Status: Partially Corrected/Fully Corrected (as of October 1, 2000)

Agency description:

In order to bring the State into compliance with its statutory obligation to match federal funds expended for state administration, the Arizona Department of Commerce (EPA) has devised the following plan. Every day that passes has the potential to change the actual amount of CDBG match that is due. Therefore, the EPA is using a figure of \$184,000 as the approximate balance owed. The essence of the plan is that EPA will draw no additional federal funds for administration until October 1, 2000, and shall increase its contribution of State funds, both hard and soft match, to eliminate the current deficit in match.

| | |
|------------------|---|
| \$ 33,000 | Out of state money to cover CDBG's share of expenses for production of the 5-Year Consolidated Plan. |
| 6,000 | Soft match on purchases of new equipment paid out of State funds. |
| 6,000 | COSCDAs dues and travel paid out of State funds. |
| 15,000 | Salary soft match previously uncalculated. |
| 60,000 | Moving the required number of CDBG personnel, currently paid with Federal funds, to State funding sources for four months (June through September, 2000). |
| 50,000 | Hard match for four months (June through September, 2000). |
| <u>14,000</u> | Soft match for four months (June through September, 2000). |
| \$184,000 | |

The EPA Office Housing and Infrastructure has been working with the EPA Accounting Department to create the above solution to the CDBG match disparity. Not only will this plan clear up the present problem, but it will also provide assurance that we will not find ourselves in this position again. The current amount of combined hard and soft match generally equals the amount of current Federal funds being drawn. The deficit is due to prior years in which there was insufficient match.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.011 Migrant Education - Basic State Grant Program
 84.027 Special Education - Grants to States
 84.048 Vocational Education - Basic Grants to States

Finding No. 97-103

Status: Partially corrected

Agency description:

As of June 2001 the Department has revised the policy and procedures for the resolution of audit findings. It is ADE's policy to monitor and ensure that those sub-recipients that expend \$300,000 or more in federal awards have a single audit performed, as required by OMB Circular A-133, Subpart D and submit their single audit reports within 30 days of issuance to the Audit Resolution Unit within the department. For those sub-recipients that do not submit an audit report or submits an audit report identifying findings the following policy and procedures will be implemented.

ADE Audit Resolution will send a notice of unresolved audit findings to the appropriate school system's chief executive officer with a copy to the districts/school board chairman and a copy to the ADE program office of any findings unresolved at 120 days after receipt of the audit by the Audit Resolution Unit. The notice will state what appropriate corrective action must be taken and by when for timely audit resolution. It will also indicate that failure to respond timely may interrupt the current funding of the identified program's project. If unresolved audit findings remain after 150 days, a Preliminary Letter of Determination will be sent from ADE Audit Resolution unit to the school system's chief executive officer with a copy to the district / school board chairman and a copy to the ADE program office stating what audit findings are still not resolved and identifying the appropriate corrective action and the due date for audit resolution before suspension of current year funds. If audit findings are not resolved by the end of 180 days, a Letter of Final Determination will be issued citing the appropriate corrective action for the district and the suspending of current -year funding will be implemented until the findings are resolved. *As of January 2001, in the event an audit report is not submitted to the ADE Audit Resolution Unit within 30 days of issuance the sub-recipients current year funding will be suspended.*

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Health Services

CFDA No. 10.557 Special Supplemental Nutrition Program for Women, Infants and Children

Finding No. 97-104

Status: Fully corrected

Agency Department of Emergency and Military Affairs

CFDA No. 12.401 National Guard Military Operations and Maintenance (O&M) Projects
12.404 National Guard Civilian Youth Opportunities Program
12.405 National Guard Drug Interdiction and Counter Drug Activities
DAHA02-9X-3003 Camp Navajo

Finding No. 97-105

Status: No longer valid

Contact: Richard M. Deville
Colonel, NGB
USPFO for Arizona
(602) 267-2812

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Commerce

CFDA No. 14.228 Community Development Block Grants/State's Program

Finding No. 97-107

Status: Partially Corrected/Fully Corrected (as of October 1, 2000)

Agency description:

In order to bring the State into compliance with its statutory obligation to match federal funds expended for state administration, the Arizona Department of Commerce (EPA) has devised the following plan. Every day that passes has the potential to change the actual amount of CDBG match that is due. Therefore, the EPA is using a figure of \$184,000 as the approximate balance owed. The essence of the plan is that EPA will draw no additional federal funds for administration until October 1, 2000, and shall increase its contribution of State funds, both hard and soft match, to eliminate the current deficit in match.

| | |
|---------------|---|
| \$ 33,000 | Out of state money to cover CDBG's share of expenses for production of the 5-Year Consolidated Plan. |
| 6,000 | Soft match on purchases of new equipment paid out of State funds. |
| 6,000 | COSCDAs dues and travel paid out of State funds. |
| 15,000 | Salary soft match previously uncalculated. |
| 60,000 | Moving the required number of CDBG personnel, currently paid with Federal funds, to State funding sources for four months (June through September, 2000). |
| 50,000 | Hard match for four months (June through September, 2000). |
| <u>14,000</u> | Soft match for four months (June through September, 2000). |
| \$184,000 | |

The EPA Office Housing and Infrastructure has been working with the EPA Accounting Department to create the above solution to the CDBG match disparity. Not only will this plan clear up the present problem, but it will also provide assurance that we will not find ourselves in this position again. The current amount of combined hard and soft match generally equals the amount of current Federal funds being drawn. The deficit is due to prior years in which there was insufficient match.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Emergency and Military Affairs

CFDA No. 83.534 Emergency Management - State and Local Assistance

Finding No. 97-114

Status: No longer valid

Federal Contact: Chuck Arnold
 FEMA Region IX
 (415) 923-7221

Agency University of Arizona

CFDA No. 84.007 Federal Supplemental Educational Opportunity Grants
 84.032 Federal Family Education Loans
 84.038 Federal Perkins Loan Program - Federal Capital Contributions
 84.063 Federal Pell Grant Program

Finding No. 97-117

Status: Do not concur

Agency description:

As indicated in the last Summary Schedule of Prior Audit Findings, The University of Arizona (UAA) does not concur with this finding. However, UAA did review procedures and continues to comply with all applicable regulations. The UAA developed and implemented additional procedures during the 1998-99 academic year to determine if Federal student financial aid recipients unofficially withdrew from classes and documented the date of last attendance. At the end of each semester, the UAA identified students with no units completed and/or failing ("E") grades. Each of those students who received Federal financial aid was sent a letter asking the student to identify their last date of attendance. The results indicated that 93.4 percent of those responding either completed the academic term or withdrew after the authorized refund date. The 12 students who indicated they had withdrawn prior to the last refund date would have had \$4,935 returned to Federal loan programs; an average refund of \$411. If the student completed the entire semester, s/he was offered the opportunity to document the cause(s) of poor academic performance and was coached to utilize existing academic support services. In accordance with our satisfactory progress procedures, no future aid eligibility will be granted until the UAA has received a response from the student.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency University of Arizona

CFDA No. 93.R & D Faculty Development in Family Medicine Grant

Finding No. 97-128

Status: Fully corrected

Agency description:

In August 1999, the University of Arizona (UAA) filed an appeal with the Department of Health and Human Services (DHHS) Grants Appeals Board to reverse the Health Resources and Services Administration (HRSA) Committee's decision to uphold a \$78,180 disallowance on an HRSA grant. On December 28, 1999, the DHHS Departmental Appeals Board sustained the decision of the Committee to disallow \$78,180 in costs charged to the Border Health Fellowship component for the second and third budget periods. The UAA transferred the disallowed costs to an institutional funding source and the final financial status report was submitted to HRSA in March 2000.

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.002 Adult Education State Grant Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.173 Special Education—Preschool Grants
 84.276 Goals 2000—State and Local Education Systemic Improvement Grants
 84.318 Technology Literacy Challenge Fund Grants

Finding No. 98-101

Status: Partially corrected

Agency description:

As of June 2001 the Department has revised the policy and procedures for the resolution of audit findings. It is ADE's policy to monitor and ensure that those sub-recipients that expend \$300,000 or

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

more in federal awards have a single audit performed, as required by OMB Circular A-133, Subpart D and submit their single audit reports within 30 days of issuance to the Audit Resolution Unit within the department. For those sub-recipients that do not submit an audit report or submits an audit report identifying findings the following policy and procedures will be implemented.

ADE Audit Resolution will send a notice of unresolved audit findings to the appropriate school system's chief executive officer with a copy to the districts/school board chairman and a copy to the ADE program office of any findings unresolved at 120 days after receipt of the audit by the Audit Resolution Unit. The notice will state what appropriate corrective action must be taken and by when for timely audit resolution. It will also indicate that failure to respond timely may interrupt the current funding of the identified program's project. If unresolved audit findings remain after 150 days, a Preliminary Letter of Determination will be sent from ADE Audit Resolution unit to the school system's chief executive officer with a copy to the district / school board chairman and a copy to the ADE program office stating what audit findings are still not resolved and identifying the appropriate corrective action and the due date for audit resolution before suspension of current year funds. If audit findings are not resolved by the end of 180 days, a Letter of Final Determination will be issued citing the appropriate corrective action for the district and the suspending of current –year funding will be implemented until the findings are resolved. *As of January 2001, in the event an audit report is not submitted to the ADE Audit Resolution Unit within 30 days of issuance the sub-recipients current year funding will be suspended*

Agency Department of Economic Security

CFDA No. 17.235 Senior Community Service Employment Program

Finding No. 98-105

Status: Partially corrected

Agency description:

During fiscal year 1999-00, projected cash needs were forwarded to the National Council on Aging (NCOA) bi-weekly. To limit the cash draws to the minimum amounts needed to carry out the program, beginning February 2000, the Department increased the frequency of its funding requests to weekly. The weekly request format did not prove to be adequate to ensure compliance with applicable requirements. Therefore, the Department will implement procedures to more closely monitoring the status of scheduled provider payments and federal cash balances to time cash draws as close to the actual cash outlay as administratively feasible.

Procedures to more closely monitor the status of scheduled provider payments and federal cash balances will be placed as of July 2001.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Economic Security

CFDA No. 84.126 Rehabilitation Services—Vocational Rehabilitation Grants to States
93.563 Child Support Enforcement

Finding No. 98-111

Status: Partially corrected

Agency description:

Audit and Management Services (AMS) has revised the information in its policy directive on subrecipient monitoring in accordance with the requirements of OMB Circular A-133. The issue date of revised policy No. 1-16-05-Single Audit Act Requirements was January 2000.

In addition, DEA implemented procedures that included:

1. notification to subrecipients of the federal grantor's name, CFDA title and number, the award name and number, the award period, and the pass-through number assigned to the grant by the Department; and
2. guidance on the means to monitor subrecipients, such as site visits, limited scope audits, review of documentation supporting requests for reimbursement, and other means in the form of a checklist. These procedures also include guidance on the consideration of risk factors when developing subrecipients monitoring procedures.

As the above procedures were not implemented until May 25, 2000, this finding will not be fully corrected until next year.

Agency Department of Health Services

CFDA No. 93.958 Block Grants for Community Mental Health Services

Finding No. 98-115

Status: Fully corrected

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Health Services

CFDA No. 93.959 Block Grants for Prevention and Treatment of Substance Abuse

Finding No. 98-116

Status: Fully corrected

Agency Arizona Criminal Justice Commission

CFDA No. 16.579 Byrne Formula Grant Program

Finding No. 99-101

Status: Fully corrected

Agency Department of Library, Archives and Public Records

CFDA No. 45.310 State Library Program

Finding No. 99-102

Status: Fully corrected

Agency Department of Library, Archives and Public Records

CFDA No. 45.310 State Library Program

Finding No. 99-103

Status: Fully corrected

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Library, Archives and Public Records

CFDA No. 45.310 State Library Program

Finding No. 99-104

Status: Not Corrected

Agency description:

The LAA respectfully disagrees with the finding as we request the subrecipient to notify us, on financial forms provided by us, of all federal funds received above and beyond our programs, and to supply LAA with an audit if appropriate. As this finding was received too late to make the necessary insert into our subrecipient guidelines the necessary changes will be reflected in the 2001 guidelines to our subrecipients, which will be issued in March 2001.

Agency Department of Education

CFDA No. 84.010 Title I Grants to Local Educational Agencies

Finding No. 99-105

Status: Partially corrected

Agency description:

We will comply for all future periods by adhering to the Policy Guidance for Title I, Part A: Improving Basic Programs Operated by Local Educational Agencies – April 1996. Beginning in FY 2000, the department will calculate maintenance of effort by February of each year, prior to awarding the forthcoming years funds. ***The Audit Resolution Unit will get confirmation from the program office and the School Finance area. As of FY 2002 new business rules will be developed to assist in assuring all sub-grantees are identified and included in the maintenance of effort calculation, the program area will be held responsible to verify systems calculations.***

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.162 Immigrant Education
 84.173 Special Education—Preschool Grants
 84.281 Eisenhower Professional Development State Grants
 84.298 Innovative Education Program Strategies

Finding No. 99-106

Status: Partially corrected

Agency description:

As of June 2001 the Department has revised the policy and procedures for the resolution of audit findings. It is ADE's policy to monitor and ensure that those sub-recipients that expend \$300,000 or more in federal awards have a single audit performed, as required by OMB Circular A-133, Subpart D and submit their single audit reports within 30 days of issuance to the Audit Resolution Unit within the department. For those sub-recipients that do not submit an audit report or submits an audit report identifying findings the following policy and procedures will be implemented.

ADE Audit Resolution will send a notice of unresolved audit findings to the appropriate school system's chief executive officer with a copy to the districts/school board chairman and a copy to the ADE program office of any findings unresolved at 120 days after receipt of the audit by the Audit Resolution Unit. The notice will state what appropriate corrective action must be taken and by when for timely audit resolution. It will also indicate that failure to respond timely may interrupt the current funding of the identified program's project. If unresolved audit findings remain after 150 days, a Preliminary Letter of Determination will be sent from ADE Audit Resolution unit to the school system's chief executive officer with a copy to the district / school board chairman and a copy to the ADE program office stating what audit findings are still not resolved and identifying the appropriate corrective action and the due date for audit resolution before suspension of current year funds. If audit findings are not resolved by the end of 180 days, a Letter of Final Determination will be issued citing the appropriate corrective action for the district and the suspending of current –year funding will be implemented until the findings are resolved. *As of January 2001, in the event an audit report is not submitted to the ADE Audit Resolution Unit within 30 days of issuance the sub-recipients current year funding will be suspended.*

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.162 Immigrant Education
 84.173 Special Education—Preschool Grants
 84.281 Eisenhower Professional Development State Grants
 84.298 Innovative Education Program Strategies

Finding No. 99-107

Status: Partially Corrected

Agency description:

Beginning in FY 1998, money is only requested when a valid claim is in hand. As of May 1999, monies received are distributed within two working days but due to AFIS (computer payment system) limitations, occasionally we are not able to comply within this time frame. We always do our best to minimize the time cash is on hand. It has always been our practice to mail out all warrants within 2 days of receipt of the warrant. We have not always documented the date the warrant was mailed. Beginning July 1, 1998 we started documenting the date the warrant was mailed on all warrant copies or payment schedules. Supervisors also are sampling warrant copies or payment schedules, on a quarterly basis, to ensure that the date the warrants were mailed was adequately documented. This process was implemented August 1998. Due to staff turnover, Department policy and procedures for some cash management transactions were not followed. Our internal system requires all drawdowns to be supported by the exact amount of the claims and by document number for tracking. We also require screen prints of the balances of accounts needing funds in order to minimize cash needs. Claims are input into the system and ready for payment before the funds arrive. They are released for payment when notified the funds have been received. Stamps have been purchased to indicate the date the funds were mailed to the recipients.

We are in the process of rewriting the procedures for drawdowns. They will be fully implemented no later than *July 1, 2001*.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.162 Immigrant Education
 84.173 Special Education—Preschool Grants
 84.281 Eisenhower Professional Development State Grants
 84.298 Innovative Education Program Strategies

Finding No. 99-108

Status: Fully Corrected

Agency description:

We agree with the finding. The GAPS system was scheduled to be implemented at the beginning of February 1998. Due to problems with the U. S. Department of Education computer system, GAPS did not actually go on line until May 1998. When it was implemented, many problems were confronted. Some grants were missing, some were in twice and others did not reflect the correct balance. It was not until January 1999 that we were able to accomplish our first reconciliation. **Starting in October 1999, GAPS has been balanced to AFIS at least monthly. During FY 98-99 USDOE incorrectly loaded the original figures in the USDOE GAPS system, causing part of the difference between AFIS and GAPS. The Department also recycled expired funds, resulting in the USDOE showing excess balances in the GAPS System. We are currently working with USDOE on procedures to properly process expired funds.**

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Education

CFDA No. 10.553 School Breakfast Program
 10.555 National School Lunch Program
 10.558 Child and Adult Care Food Program
 84.010 Title I Grants to Local Educational Agencies
 84.027 Special Education—Grants to States
 84.048 Vocational Education—Basic Grants to States
 84.162 Immigrant Education
 84.173 Special Education—Preschool Grants
 84.281 Eisenhower Professional Development State Grants
 84.298 Innovative Education Program Strategies

Finding No. 99-109

Status: Partially corrected

Agency description:

Sub-grantees may NOT accumulate unencumbered cash exceeding 8.5% of the total budget. Excess cash is determined from the amount of funds on hand at the close of the reporting period less encumbrances.

Sub-grantees whose budget is \$50,000 or more are required to submit within 15 days from the end of the reporting period a Cash Management Report for each of the periods ending September 30th, November 30th, January 31st, and March 31st. If a project's budget is \$10,000 to \$49,999, sub-grantees are required to submit two Cash Management Reports for periods ending November 30th and January 31st. In addition, cash on hand is submitted on the completion report that is submitted 90 days after the projects ending date. Since most projects end June 30th, these reports are due September 30th.

As of FY 2000, if the Cash Management Report is not filed on time or indicates an excess unencumbered cash balance, the sub-grantee will be considered *not in compliance* and have its current year funding interrupted. Before the next payment will be released, an additional Cash Management Report must be filed indicating that excess cash no longer exists. ***Effective July 1, 2001 all sub-grantees will be required to submit four cash management reports or submit reports until the sub-grantees cash management report indicate all cash has been received and that excess cash does not exists***

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Economic Security

CFDA No. 10.561 State Administrative Matching Grants for Food Stamp Program
 17.207 Employment Service
 17.225 Unemployment Insurance
 17.246 Employment and Training Assistance—Dislocated Workers
 17.250 Job Training Partnership Act
 84.126 Rehabilitation Services—Vocational Rehabilitation Grants to States
 93.558 Temporary Assistance for Needy Families
 93.563 Child Support Enforcement
 93.575 Child Care and Development Block Grant
 93.596 Child Care Mandatory and Matching Funds of the Child Care and
 Development Fund
 93.658 Foster Care—Title IV-E
 93.667 Social Services Block Grant
 93.779 Health Care Financing Research, Demonstrations and Evaluations
 96.001 Social Security—Disability Insurance

Finding No. 99-110

Status: Fully corrected

Agency description:

Notification was provided to the State of Arizona, Department of Administration, by the U.S. Department of Health and Human Services, Division of Cost Allocation, that the actions taken by DEA to correct this finding were acceptable and constituted final resolution.

STATE OF ARIZONA
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2000

Status of Federal Award Findings and Questioned Costs

Agency Department of Economic Security

CFDA No. 10.561 State Administrative Matching Grants for Food Stamp Program
93.558 Temporary Assistance for Needy Families
93.658 Foster Care—Title IV-E
93.659 Adoption Assistance
93.667 Social Services Block Grant
93.779 Health Care Financing Research, Demonstrations and Evaluations

Finding No. 99-111

Status: Fully corrected

Agency description:

Notification was provided to the State of Arizona, Department of Administration, by the U.S. Department of Health and Human Services, Division of Cost Allocation, that the actions taken by DEA to correct this finding were acceptable and constituted final resolution.

Agency Department of Economic Security

CFDA No. 17.246 Employment and Training Assistance—Dislocated Workers
17.250 Job Training Partnership Act
84.126 Rehabilitation Services—Vocational Rehabilitation Grants to States
93.558 Temporary Assistance for Needy Families
93.563 Child Support Enforcement
93.667 Social Services Block Grant

Finding No. 99-112

Status: Partially corrected

Agency description:

Audit and Management Services (AMS) has revised the information in its policy directive on subrecipient monitoring in accordance with the requirements of OMB Circular A-133. The issue date of revised policy No. 1-16-05-Single Audit Act Requirements was January 2000.

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In addition, DEA implemented procedures that included:

1. notification to subrecipients of the federal grantor's name, CFDA title and number, the award name and number, the award period, and the pass-through number assigned to the grant by the Department; and
2. guidance on the means to monitor subrecipients, such as site visits, limited scope audits, review of documentation supporting requests for reimbursement, and other means in the form of a checklist. These procedures also include guidance on the consideration of risk factors when developing subrecipients monitoring procedures.

As the above procedures were not implemented until May 25, 2000, this finding will not be fully corrected until next year.

Agency Department of Health Services

CFDA No. 93.268 Immunization Grants

Finding No. 99-113

Status: Fully Corrected

Agency Department of Health Services

CFDA No. 93.959 Block Grants for Prevention and Treatment of Substance Abuse

Finding No. 99-114

Status: Fully Corrected

Agency Arizona Health Care Cost Containment System (AHCCCS)

CFDA No. 93.779 Health Care Financing Research, Demonstrations and Evaluations

Finding No. 99-115

Status: Fully corrected

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Agency Arizona Health Care Cost Containment System (AHCCCS)

CFDA No. 93.779 Health Care Financing Research, Demonstrations and Evaluations

Finding No. 99-116

Status: Fully corrected

Agency ComCare Inc.

CFDA No. 14.235 Supportive Housing Program
 14.238 Shelter Plus Care
 93.779 Health Care Financing Research, Demonstrations and Evaluations
 93.958 Block Grants for Community Mental Health Services
 93.959 Block Grants for Prevention and Treatment of Substance Abuse

Finding No. 99-01 through 99-11 and 98-01 through 98-10

Status: No Longer Valid

For fiscal years 1999 and 1998, ComCare Inc., submitted its own single audit reporting package to the Federal Audit Clearinghouse. As a result, information pertaining to the federal awards administered by ComCare Inc., has not been included in the State of Arizona Single Audit Packages until fiscal year 2000. Therefore, for those Finding Numbers noted above, it is necessary to examine the separate single audit reporting packages submitted to the Federal Audit Clearinghouse by ComCare Inc., for those applicable fiscal years.

On September 30, 1999, ComCare completed a plan of liquidation. Subsequent to that date, the federal programs administered by ComCare were either terminated or assigned to other third parties. As a result, further corrective action by ComCare on its prior years' audit findings is no longer warranted.